

**TO ALL COMMISSION MEMBERS, COOPERATING NON-MEMBERS AND
PARTICIPATING TERRITORIES**

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Announcing availability online for each CCM of their Preliminary Draft CMR

Dear all,

To advise that the WCPFC Secretariat has completed a preliminary draft of each CCMs draft Compliance Monitoring Report (draft CMR). These were prepared in accordance with paragraphs 9 and 10 of CMM 2012-01, *Conservation and Management Measure for Compliance Monitoring Scheme* which says

9. Prior to the annual meeting of the Technical and Compliance Committee (TCC), the Executive Director shall compile information received through Part 1 and 2 Annual Reports, other reporting obligations, the transshipment program, the regional observer program, the Vessel Monitoring System and any other data collection programs of the Commission and, where appropriate, any suitably documented information provided by non-government organisations and shall prepare a Draft Compliance Monitoring Report (the Draft Report) containing sections with respect to each CCM.

10. The Executive Director shall transmit the relevant section of the Draft Report to each CCM by 28 July each year. .

At WCPFC9, we were asked to continue our work to develop internal systems to streamline the process of generating draft Compliance Monitoring Reports (CMRs), in particular

- i. in 2013, a system that could automate the generation and development by the Secretariat of dCMRs;
- ii. possibly a system in 2013 to allow CCMs to provide responses to draft CMRs prepared by the Secretariat through an online interface similar to that proposed for use by Annual Report Part 2.

This work was able to be completed in time for the preparation and delivery of this years draft Compliance Monitoring Reports. Our work was also supported by the majority of CCMs completion of Annual Report Part 2 using the online interface, and more timely submission of Annual Reports. Each CCMs preliminary draft CMR is now available on

the WCPFC secure site, and can be accessed using the same country-specific CCM login that was used to complete the online Annual Report Part 2.

There were a few late submissions of Annual Report Part 1 and Part 2, and although these have been logged as received, please note that the Secretariat out of necessity had to use an arbitrary cut-off date of 26 July 2013 for all Annual Report submissions. There were at least two Annual Report Part 2 that came in over the weekend, and a revised Annual Report Part 1 which were not taken into account in the preparation of draft CMRs. If these CCMs could assist us with providing the requested additional information in the draft CMR, in the areas identified.

Please find attached a guide for CCMs on accessing their preliminary draft CMR through the online facility, and for providing a response to the Secretariat in accordance with paragraph 11 of CMM 2012-02. Although there is no formal “submission” button for CCMs to provide their replies, we ask that CCMs advise the Secretariat when their feedback and comments can be taken as completed. In any case the Secretariat will commence preparations of the final draft CMR and intends to use the deadline in CMM 2012-02 of **28 August 2013** as a cut-off date for any CCMs replies.

The Secretariat is currently preparing pdf printouts of each CCMs preliminary draft CMR, and these will be emailed directly to each CCMs shortly. We expect that at the same time we will provide to most CCMs, a copy of some of the supporting information which was referenced in the draft CMRs.

If CCMs have any problems or questions, in the first instance, CCMs should contact the Compliance Manager, lara.manarangi-trott@wcpfc.int.

Thanks



Professor Glenn Hurry
Executive Director

GUIDE TO REVIEWING AND REPLYING TO WCPFC DRAFT COMPLIANCE MONITORING REPORT 2013

Connecting Online

- Connection to the WCPFC is required and following these steps will do that:
 - Connect PC computer to the Internet, and open your favorite Internet browser such as Internet Explorer, Chrome, Safari or Firefox
 - Enter: <https://intra.wcpfc.int>
 - You will be asked to log on to the WCPFC “**Application and Network Access Portal**”. This is the same country-specific CCM login and password that was used for Annual Report Part 2. If we take the case of an example CCM, the Username will be entered as shown below. Remember that **wcpfc** is required for Username:

Successful login takes you to the **WCPFC INTRANET Home Page**. On this page you will find the new link to online “**Compliance Monitoring Report (2012)**” on the right side of the screen, under the heading **Compliance Monitoring Scheme**:



The below is what the **Compliance Monitoring Reports (2012)** home page looks when first opened. Note there are two sections: **Compliance Monitoring Report-2012**; and **CMR Potential Issues-2012**.

[Home](#)

WCPFC Intranet > [Compliance Monitoring Scheme](#) > Compliance Monitoring Reports (2012)

CCM's Compliance Monitoring Report and Potential Issues

Secure site for WCPFC staff and authorised users

Compliance Monitoring Report - 2012

CCM	CMR Paragraph	CCM Assessment	Applicability Checked	CCM Implementation	Compliance Evaluation	Evaluation Explanation	for system use
	<div>CMR Section : (i) Catch and effort limits for target species (20)</div> <div>CMR Section : (ii) Catch and effort reporting for target species (17)</div> <div>CMR Section : (iii) Spatial and temporal closures and restrictions on the use of FADs (6)</div> <div>CMR Section : (iv) Observer and VMS coverage (13)</div> <div>CMR Section : (v) Provision of Scientific Data (7)</div>						

CMR Potential Issues - 2012

CCM	CMR Requirement	Evaluation Explanation	CCM Additional Information	Link to CMR Record
XYZ	(i) CMM 2009-03 03 -- "Need additional information"	Limit = Don't shift fishing effort for swordfish to areas N of 20S Additional information needed: about whether level of fishing effort for swordfish by XYZ vessels has shifted to or increased in area north of 20S since 2000 - 2006.		104
XYZ	(ii) CMM 2009-01 09 -- "Potential compliance issue"	Reporting requirement = Fish/Did not fish report expected in 2013, CCM had vessels on the WCPFC RFV in 2012. This report was not received prior to the 1 July deadline. During 2012, 2 purse seine vessels were detected within the WCPFC Convention Area on the WCPFC VMS during 2012 (this number should be the same or less than the number of vessels that were reported to have fished but may also include vessels transiting)		2314

Viewing the preliminary draft Compliance Monitoring Report

The top half of the home page (under the heading **Compliance Monitoring Report – 2012**) is where the complete preliminary Draft Compliance Monitoring Report can be viewed. It is within this section where every CMM paragraph evaluation by WCPFC, including those which were assessed as “no issues detected” can be reviewed.

As in past years, there are five sections to the draft CMR, which is intended to reflect the five bullet points listed in paragraph 3 of CMM 2012-02, which were:

- (i) catch and effort limits for target species;
- (ii) catch and effort reporting for target species;
- (iii) spatial and temporal closures, and restrictions on the use of fish aggregating devices;
- (iv) observer and VMS coverage; and
- (v) provision of scientific data through the Part 1 Annual Report and the Scientific Data to be provided to the Commission.

The numbers in brackets at the end of each CMR section heading refer to the total number of CMM paragraphs which were evaluated by the WCPFC within a particular draft CMR section (eg the number “7” at the end of the CMR Section: (v) Provision of Scientific Data) indicates that there are seven requirements which were evaluated within section (v) related to Scientific data provision).

To view the Compliance Monitoring Report, expand any Section as required by clicking on the plus sign (+) on the left side. This will expand the list and show the list of all Requirements within the selected Section:

[Home](#)

WCPFC Intranet > Compliance Monitoring Scheme > Compliance Monitoring Reports (2012)


CCM's Compliance Monitoring Report and Potential Issues

Secure site for WCPFC staff and authorised users

Compliance Monitoring Report - 2012							
CCM	CMM Paragraph	CCM Assessment	Applicability Checked	CCM Implementation	Compliance Evaluation	Evaluation Explanation	for system use
+ CMR Section : (i) Catch and effort limits for target species (20)							
+ CMR Section : (ii) Catch and effort reporting for target species (17)							
+ CMR Section : (iii) Spatial and temporal closures and restrictions on the use of FADs (6)							
XYZ	CMM 2008-01 17	N/A	NOT APPLICABLE	Applicable to PNA members only	No issues detected	Applicable to PNA members only	XYZ - CMR 2012 [(i) CMM 2008-01 17]
XYZ	CMM 2008-01 18	YES (fully implemented)	APPLICABLE	XYZ has implemented a ban on the use of FADs north of 20°S (paragraph 12 of Eastern Skipjack Tuna general permit conditions - attachment 1 to AR Pt 2 2013). AR Pt 1 2013 (pg 11): In 2012, there were no active purse-seine vessels in the Eastern Skipjack Fishery. CMM 2009-01 09 (received on 18 March 2013): XYZ reported to WCPFC in 2012 that the seven purse seine vessels registered in WCPFC RFV during 2012 did not fish beyond XYZ national jurisdiction during 2012.	No issues detected	non-PNA Coastal CCM Requirement = compatible measures to that applied by PNA (FAD Measures) "to reduce purse seine fishing mortality on bigeye tuna in their EEZ this requirement is based on a self-assessment by each of the CCMs, based on their implementation and interpretation of what is a compatible measure. No issues detected: the evaluation is mostly as a self-assessment by the CCM, which states that use of FADs north of 20S has been banned.	XYZ - CMR 2012 [(ii) CMM 2008-01 18]

To view any particular Requirement on a single page, click on the blue link on the right side, which is in the last column (named “for system use”). For example, by clicking on the link “**XYZ - CMR 2012 [(iii) CMM 2008-01 18]**” the following page will open:

Compliance Monitoring Report - 2012: XYZ - CMR 2012 [(iii) CMM 2008-01 18]

 Alert Message	
CCM	XYZ
CMR Section	(iii) Spatial and temporal closures and restrictions on the use of FADs
CMM	CMM 2008-01
CMM Paragraph	CMM 2008-01 18
CCM Assessment	YES (fully implemented)
Applicability Checked	APPLICABLE
CCM Implementation	<p>XYZ has implemented a ban on the use of FADs north of 20°S. (paragraph 12 of Eastern Skipjack Tuna general permit conditions - attachment 1 to AR Pt 2 2013).</p> <p>AR Pt 1 2013 (pg 11): In 2012, there were no active purse-seine vessels in the Eastern Skipjack Fishery.</p> <p>CMM 2009-01 09 (received on 18 March 2013): XYZ reported to WCPFC in 2012 that the seven purse seine vessels registered in WCPFC RFV during 2012 did not fish beyond XYZ national jurisdiction during 2012.</p>
Compliance Evaluation	No issues detected
Evaluation Explanation	<p>non-PNA Coastal CCM Requirement = compatible measures to that applied by PNA (FAD Measures) "to reduce purse seine fishing mortality on bigeye tuna in their EEZ.</p> <p>this requirement is based on a self-assessment by each of the CCMs, based on their implementation and interpretation of what is a compatible measure.</p> <p>No issues detected: the evaluation is mostly as a self-assessment by the CCM, which states that use of FADs north of 20S has been banned.</p>
CCM Additional Information	
Capacity Building Requested	No
for system use	XYZ - CMR 2012 [(iii) CMM 2008-01 18]

Explanatory notes:

CMR Section: *one of the five sections described in paragraph 3 of CMM 2012-02.*

CMM paragraph - *refers to the specific paragraph within a Conservation and Management Measure (CMM), or other WCPFC decision which was the basis for the assessment.*

CCM Assessment – *this was populated automatically from the same paragraph which was in AR Pt 2 – choice of YES (fully implemented), NO (not fully implemented) or N/A]. Note that this will be blank for some CMM paragraphs, because they were not included in AR Pt 2 and/or because a CCM had not completed AR Pt 2 prior to completing dCMR.*

Applicability checked – *populated by Secretariat. In some cases, this might differ from a CCMs assessment in AR Pt 2, and was made based on the nature of the requirement and the characteristics of a particular CCMs fishery, eg whether a requirement applies to flag CCMs, or coastal CCMs.*

CCM Implementation - *populated automatically from ARPT2 . The Secretariat may have also included other information from AR Pt 1, and notes from WCPFC or SPC on relevant submissions or data holdings made directly by the CCM. The source of these additional notes should be referenced.*

Compliance evaluation - *Secretariat – choice of: no issues detected; additional information; potential compliance issue.*

Evaluation explanation - *Secretariat – text explanation as the basis for the evaluation by WCPFC. The summary assessment or issues of note, should be highlighted in **RED BOLDED text**.*

CCM Additional information - *to be completed by CCM by following the instructions starting on page 4 (see below).*

Capacity Building Requested – *will be completed by WCPFC Secretariat based on preliminary dCMR replies and any requests in AR Pt2.*

Providing additional information and feedback in response to the preliminary draft CMR (see paragraph 11 of CMM 2012-02)

The bottom part of the home page, under the heading **CMR Potential Issues-2012** lists only a subset of the preliminary draft Compliance Monitoring Report. The list provided are only those WCPFC requirements where the Secretariat has requested additional information or is to provide an opportunity for CCMs to reply to potential compliance issues which have been identified. The list shown in the second half of the **Compliance Monitoring Report** home screen, is where WCPFC made a “compliance evaluation” of:

- Potential Compliance Issue
- Need Additional Information

(Note, CMM paragraphs where the Secretariat has evaluated “*no issues detected*” are not able to be seen in this part of the draft CMR. To view CMM paragraphs with an evaluation of “no issues detected” please refer to instructions on previous page. If the CCM would like to provide comments on these requirements, these can be provided by email to the Compliance Manager, and we can look to include these in the final drafts of CMRs).

It is within this section of the page where CCMs can provide their replies to the WCPFC Secretariats assessment. For example, to provide additional information for the requirement: “**(i) CMM 2009-03 03 -- "Need additional information"**”, refers to CMR section (i) catch and effort limit, the CMM 2009-03, paragraph 3. This can be selected by clicking on **‘Edit Item’** as shown below:

CMR Potential Issues - 2012				
CCM	CMR Requirement	Evaluation Explanation	CCM Additional Information	Link to CMR Record
XYZ	(i) CMM 2009-03 03 -- "Need additional information"	Limit = Don't shift fishing effort for swordfish to areas N of 20S Additional information needed: about whether level of fishing effort for swordfish by XYZ vessels has shifted to or increased in area north of 20S since 2000 - 2006.		104
XYZ	(i) CMM 2009-01 compliance issue"	Reporting requirement = Fish/Did not fish report expected in 2013, CCM had vessels on the WCPFC RFV in 2012. This report was not received prior to the 1 July deadline. During 2012, 2 purse seine vessels were detected within the WCPFC Convention Area on the WCPFC VMS during 2012 (this number should be the same or less than the number of vessels that were reported to have fished but may also include vessels transiting)		2314

This will open a new page for entering additional information in the box named “CCM Additional Information”:

WCPFC Intranet > CMR Potential Issues - 2012 > (i) CMM 2009-03 03 -- "Need additional information" > Edit Item

CMR Potential Issues - 2012: (i) CMM 2009-03 03 -- "Need additional information"

OK Cancel

Attach File

CCM	XYZ
CMR Requirement	(i) CMM 2009-03 03 -- "Need additional information"
Evaluation Explanation	Limit = Don't shift fishing effort for swordfish to areas N of 20S Additional information needed: about whether level of fishing effort for swordfish by XYZ vessels has shifted to or increased in area north of 20S since 2000 - 2006.
CCM Additional Information	<div><div></div><div></div></div> <p>Provide any explanation and additional information here. You can also attach additional files if needed</p>
Link to CMR Record	104

It is intended that within each of these boxes, each CCM may provide their reply in order to (where appropriate):

- (i) provide additional information, clarifications, amendments or corrections necessary to resolve the potential compliance issues identified in the Draft Report or respond to any other information;*
 - (ii) identify any particular causes of the potential compliance issues or difficulties with respect to implementation of the obligation in question, or circumstances which may mitigate the potential compliance issues; or*
 - (iii) identify technical assistance or capacity building needed to assist the CCM to address potential compliance issues.*
- (as described in CMM 2012-01 paragraph 11)***

Further, you can also attach one or more files relevant to this Requirement, by clicking on the 'Attach File' button (top left).

Submitting feedback/reply to the draft CMR

Unlike the Online Annual Report Part 2, **there is no formal “submit” button** for CCMs to send their reply to the preliminary draft Compliance Monitoring Report to the WCPFC Secretariat.

We ask that CCMs send an email to contact.ar@wcpfc.int and the Compliance Manager lara.manarangi-trott@wcpfc.int to advise that feedback on the preliminary draft CMR can be taken as complete. Any feedback on requirements categorized as “no issues detected” should be provided on a MS Word document, with the relevant section number ((i) – (v), and paragraph number clearly identified).

In any case, the Secretariat will use the deadline in CMM 2012-01 of **28 August**, as a cut-off for CCM responses to the preliminary draft CMR. This will mean that during the first week of September, the Secretariat is able to meet the deadline in CMM 2012-01 and can provide copies of each CCMs draft CMR and any replies, to all CCMs in time for review prior to TCC9.

-- END --