

13 October 2017

Mr. Orestes Fredesman Orteza Andrade
Minister
Ministry of Agriculture and Livestock
Final 1a Avenida Norte y Avenida Manuel Gallardo
Santa Tecla, La Libertad
EL SALVADOR, Central America
orteza@mag.gob.sv

Dear Minister Orteza Andrade,

The Conservation and Management Measure on Cooperating Non-Members (CMM 2009-11) tasks the Executive Director to forward a copy of the relevant TCC recommendations and advice to applicants for Cooperating Non-Member (CNM) status following TCC13.

Cooperating Non-Member Requests

TCC13 in considering the applications for CNM status in 2018 reached the following decisions and recommendations:

- (a) agreed to forward your country's application to WCPFC14 for consideration.
- (b) noted with appreciation the attendance and participation of Ecuador, Liberia, Panama and Vietnam at this year's meeting and encourages other CNM applicants to attend TCC meetings in the future.
- (c) noted that all CNM applicants other than Mexico and Vietnam have made financial contribution and also noted Vietnam's statement that payment is in progress and Mexico had paid its 2016 contributions and agreed to pay its 2017 contribution in the second semester of 2017.
- (d) noted that in three CNM applications (Ecuador, El Salvador and Mexico) have reiterated interest in becoming full Commission members.
- (e) considered the broader issue of membership was beyond the mandate of the group. However, TCC13 recommends that compliance records be considered among any criteria that could be developed for the process of considering applications for full membership. CCMs are encouraged to consult on broader issues related to the membership process prior to WCPFC14.

- (f) did not note any gaps or issues in the application for Cooperating Non-Member status.
- (g) recommended that this letter and any responses from your country should be assessed by WCPFC14.
- (h) recommended that WCPFC14 take into consideration the Compliance Status of all CNM applicants in making its decision on the CNM applications and participatory rights.

Please find attached to this letter for your review and consideration prior to WCPFC14 Attachment 1 which include relevant advice and recommendations from the draft summary report of the Thirteenth Regular Session of the WCPFC Technical and Compliance Committee on CNM applications.

Any supplementary information to support your CNM application can be sent to the Compliance Manager at lara.manarangi-trott@wcpfc.int with a copy to Executive Assistant at arlene.takesy@wcpfc.int. We would suggest that where possible these should be provided to the Secretariat before **Friday 3rd November**, so that other CCMs can consider your information in advance of WCPFC14.

Compliance Monitoring Report

As was advised in Circular 2017-77, TCC13 has completed provisional compliance assessments for all obligations which are contained in the Provisional CMR and the online systems have been duly updated to reflect the outcomes from TCC13. Please find attached to this letter for your review and consideration prior to WCPFC14 Attachment 2 which include relevant advice and recommendations under the WCPFC Compliance Monitoring Scheme (CMM 2015-07), which is comprised of the provisional compliance monitoring report as adopted by TCC13 containing provisional compliance assessments for each CCM as related to their 2016 activities as was recommended by TCC13.

TCC13 did not note any obligations where a reply or additional information was expected in accordance with paragraph 33 of CMM 2015-07.

Finally, to confirm that your country may also participate as an observer at WCPFC14, and details for the meeting and registration can be found on the WCPFC website <https://www.wcpfc.int/meetings/wcpfc14>.

Yours sincerely,



Feleti Penitala Teo, OBE
EXECUTIVE DIRECTOR

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AGENDA ITEM 4 — CNM REQUESTS

In accordance with CMM 2009-11, TCC13 considered the applications for Cooperating Non-Member status for 2018, as summarised in **WCPFC-TCC13-2017-09_rev1**, and established a small working group (CNM SWG) led by TCC Vice Chair Monte Depaune (Nauru) to develop draft recommendations and technical advice for the consideration of the Commission. The Compliance Manager advised that requests for the granting of CNM status in 2018 had been received from the seven current CNMs (Ecuador, El Salvador, Liberia, Mexico, Panama, Thailand and Vietnam). It was noted that Ecuador, Panama and Vietnam were present at TCC13.

As tasked by WCPFC13, the Secretariat had updated the CNM request template to include a section 4 – indicate interest in WCPFC membership. Some CNM requests were submitted using the 2017 version of the electronic pdf form while some were submitted as scanned versions that were then reproduced by the Secretariat in the correct e-format to enable their inclusion in the combined csv format. The documentation from each applicant was made available to members through the secure section of the website and a summary of financial contributions as of 19 September 2017 was included in the paper. As it had for other CCMs of the Commission, the Secretariat had prepared draft Compliance Monitoring Reports (dCMRs) covering the 2016 activities for the seven applicants, and these were reviewed alongside the dCMRs of other CCMs.

The TCC Chair reminded delegates that TCC13's task in accordance with CMM 2009-11 was to consider the applications for CNM status for 2018, and make recommendations and provide technical advice to the Commission. The TCC Chair asked that the small working group also consider any technical advice relating to the procedures and processes that would assist in the consideration of CNMs wishing to apply for full Commission membership.

On 2 October the TCC Vice Chair reported back on the work of the CNM SWG with draft recommendations and technical advice relating to the CNM applications from Ecuador, El Salvador, Liberia, Mexico, Panama, Thailand and Vietnam. He noted with appreciation the attendance and participation of Ecuador, Liberia, Panama and Vietnam at this year's meeting and encouraged other CNM applicants to attend TCC meetings in the future.

The TCC Chair thanked the group for its work assessing the CNM applications for 2017, and the CNM applicants that were at TCC13 and had assisted the process.

TCC13 provided the following decisions and recommendations to WCPFC14.

TCC13 has reviewed the following CNM applications and is forwarding them to WCPFC14 for consideration: Ecuador, El Salvador, Liberia, Mexico, Panama, Thailand and Vietnam.

TCC13 thanked the Secretariat for the development of the CNM application template, which assisted the process greatly and thanked CNM applicants for using the template in submitting their applications.

TCC13 noted with appreciation the attendance and participation of Ecuador, Liberia, Panama and Vietnam at this year's meeting and encouraged other CNM applicants to attend TCC meetings in the future.

TCC13 noted the CNM working group process had occurred in parallel with the compliance monitoring scheme process and recommended that following TCC13, in consultation with SPC, the Secretariat write a particularised letter to CNMs outlining any identified deficiencies in data provision and request that CNM applicants provide any information outlined in these letters, as requested during the CMS process, 30 days in advance of WCPFC14, and that these letters and any responses be assessed by WCPFC14.

TCC13 recommended that WCPFC14 take into consideration the compliance status of all CNM applicants in making its decision on the CNM applications and participatory rights.

TCC13 noted that all CNM applicants other than Mexico and Vietnam have made financial contribution and noted Vietnam's statement that payment is in progress and that Mexico had paid its 2016 contributions and agreed to pay its 2017 contribution in the second semester of 2017.

TCC13 noted the following gaps or issues in the applications for individual applicants and encourages applicants to rectify them if possible by WCPFC14:

Ecuador – TCC13 noted from the Secretariat that Ecuador provided its Annual Report Part 2, which forms a fundamental part of the TCC13 process, late. TCC13 recognised the domestic circumstances in Ecuador that contributed to this late provision and encourages Ecuador to provide its Annual Report Part 2 on time in the future.

Mexico – TCC13 noted that Mexico has not made an explicit commitment to accept High Seas Boardings and Inspections and that Mexico considers this requirement as not applicable. TCC13 noted that Mexico's financial contribution had been received for 2016 and at WCPFC13 they made a commitment that they will pay their contribution fee for 2017 before 31 December 2017.

Panama – TCC13 noted from the Secretariat that Panama provided its Annual Report Part 2, which forms a fundamental part of the TCC13 process, late. TCC13 recognised the domestic circumstances with Panama that contributed to this late provision and encourages Panama to provide its Annual Report Part 2 on time in the future.

Thailand – TCC13 noted that Thailand may not have provided full historical data on fisheries activities in the Convention area pursuant to CMM 2009-11 (2)(d). An applicant for CNM status is required to include in its requests full data on its historical fisheries in the Convention Area, including nominal catches, number/type of vessels, name of fishing vessels, fishing effort and fishing areas, pursuant to CMM 2009-11(2)(d).

Vietnam – TCC13 noted that Vietnam's financial contribution had not yet been received during TCC13 but that had Vietnam made a statement that payment was in progress.

**2017 PROVISIONAL COMPLIANCE MONITORING REPORT
(COVERING 2016 ACTIVITIES)
Executive Summary**

I. INTRODUCTION

1. TCC13 undertook its seventh annual review of compliance by CCMs against an updated priority list of Commission obligations agreed to at WCPFC13 for 2016 – 2018. (Attachment I to WCPFC13 Summary Report)
2. TCC13 conducted its review in accordance with the revised Compliance Monitoring Scheme (CMS) adopted at WCPFC12 – CMM 2015-07.
3. Unlike past versions of the CMS, the current CMS does not require an overall assessment of each CCM, but only asks TCC to identify a compliance assessment for each specific obligation.
4. In accordance with Annex I of the CMS CMM, the following statuses were considered in making the assessments: Compliant, Non-Compliant, Priority Non-Compliant, Capacity Assistance Needed, Flag State Investigation and CMM Review.

II. DEVELOPMENT OF THE PROVISIONAL COMPLIANCE MONITORING REPORT BY TCC13

5. TCC13 reviewed the draft Compliance Monitoring Report (draft CMR) for thirty-seven (37) CCMs and one (1) collective group of Members in a closed working group session. The draft CMR is classified as non-public domain data and some CCMs were not able to agree to release their non-public domain data, therefore the decision was made to close the session. There continues to be interest among some CCMs and the Observers (who are not able to attend the closed session) in finding a way to address the confidentiality concerns of CCMs. It was noted that an effort to adopt guidelines to allow Observers to participate in the closed CMS working group session occurred intersessionally, but CCMs were not able to reach agreement on those guidelines.
6. TCC13 notes that the Provisional CMR provides its provisional compliance assessment and it is submitting this report to the Commission for its consideration and final assessment.

III. COMPLIANCE REVIEW PROCESS AND ASSESSMENTS

7. TCC13 agreed to a CMR Review Process in advance of conducting its review (WPCFC-TCC13-2017-12). Once the review began, it became clear that adjustments were needed to the process for considering the reports on Flag State Investigations and Capacity Development Plans. Instead of taking a new assessment, as proposed in WPCFC-TCC13-2017-12, TCC13 agreed to hear the report back from CCMs, and then note whether the investigation or capacity development plan was complete or whether it remained ongoing. The outcome of the review of the Flag State

Attachment 2 Provisional CMR adopted at TCC13

CLEAN as adopted by TCC13 on 3 October 2017

Investigations is in the table below. The outcome of the review of the Capacity Development Plan is included in the table in Section V below.

| CMM | Flag State Investigation (CMR RY2015) Ongoing | Flag State Investigation (CMR RY2015) Completed |
|------------------|--|--|
| 2007-01, para 14 | China, Japan, Korea, Papua New Guinea, Philippines, Chinese Taipei | Federated States of Micronesia, United States, Vanuatu |
| 2010-07, para 9 | Korea | Papua New Guinea |
| 2013-08, para 1 | China, Japan, Korea, Philippines, Chinese Taipei | Papua New Guinea |
| 2014-01, para 14 | Chinese Taipei | Papua New Guinea, United States |
| 2014-01, para 16 | | Papua New Guinea |

8. Despite the significant discrepancies in the amount of information provided by different CCMs TCC13 noted that an increased number of CCMs provided very good, detailed information regarding ongoing and closed investigations; however, there is still a need for significant improvement in some reports. Some CCMs noted concern at the level of information being provided on ongoing and closed investigations.

9. TCC13 recommends that the information that should be reported generally includes: 1) what is the current status of the investigation; 2) what steps were taken to investigate the alleged violation(s); 3) what is the outcome of the investigation; 4) if closed without any enforcement action, why was it closed; 5) if charged, how was it charged (e.g., verbal warning, written warning, penalty/fine, permit sanction, etc.) and what was the level of the sanction, if any; and 6) if ongoing, what is the anticipated timeline for completing the investigation. TCC13 requests the Secretariat to revise the Investigation Status Report (ISR) template to reflect this recommendation.

10. TCC13 noted that there has been improvement in the ability of flag states to obtain observer reports relevant to investigate alleged violations, but there remains room for improvement. TCC13 urged flag states and observer providers to engage while here at TCC and in the margins of other meetings to facilitate the provision of observer reports.

11. TCC13 noted that as more detailed information is provided on investigations, it was difficult to track which case was relevant to each CMR obligation as TCC moved through the CMR process.

12. Some CCMs recommend that the Secretariat identify the cases by vessel name in the CMR report, so that flag states and other interested CCMs can more clearly identify which cases are being discussed and assessed.

13. Some CCMs noted that TCC practice for assessment of some obligations was that where there were vessel breaches subsequent steps by flag states (including sanction) led to compliant assessments being given in some cases. Some CCMs consider that an additional record of where there has been vessel breaches/issues for particular obligations (even where compliant assessments were given) will give a more effective picture of compliance issues that may require Commission attention and that appropriate differentiation of obligations needs to occur if this assessment practice continues.

14. TCC13 noted that a significant portion of the time in the CMS process is spent addressing incomplete, missing or very late reports from a small number of CCMs through verbal provision of the missing information. Provision of timely and complete reports would significantly streamline the CMS process. In addition, TCC13 and the Secretariat noted that it would be helpful to the Secretariat, and streamline the CMS process, if CCMs fill out all fields in their annual reports even when the response is zero or some other null response, in order to avoid the Secretariat noting this as a reporting gap.

15. TCC13 recommends that in future CMS reviews, TCC minimise the practice of allowing CCMs to provide additional information verbally to fill reporting gaps. In making this recommendation, TCC13 notes that CCMs have several formal opportunities to provide the required information – through the submission of its Annual Reports Parts 1 and 2 and other required reports, in responding to the dCMR report provided by the Secretariat in late July, and again up to 30 days prior to the Commission meeting. In addition, the Secretariat makes great efforts to review and incorporate additional information provided outside these formal mechanisms.

16. In accordance with paragraph 29 of CMM 2015-07, where there were majority/minority views on the correct assessment, TCC13 took the assessment of the majority view and noted the minority view. TCC13 notes the following assessments with majority/minority views for the Commission for its final assessment:

- a. CMM 2009-03, para 2 – The majority view was that China should be assessed as priority non-compliant; however, there was a minority view that China should be assessed as compliant.
- b. CMM 2010-07, para 6 – The majority view was that Japan should be assessed as compliant; however, there was a minority view that Japan should be assessed as non-compliant.
- c. CMM 2015-01, para 14 – The majority view was that China, Federated States of Micronesia, Kiribati, Marshall Islands, and Solomon Islands all should be assessed as compliant; however, there was a minority view that these CCMs should be assessed as Non-Compliant with this obligation. The difference of view related to the interpretation of footnote 2 of the measure.
- d. CMM 2015-01, para 25 – The majority view was that Chinese Taipei should be assessed as priority non-compliant; however, there was a minority view that Chinese Taipei should be assessed as compliant.

17. There were some obligations that TCC13 either was unable to assess or chose not to assess for individual CCMs or across the board. TCC13 notes the following issues for the Commission to consider in its final assessment:

- a. CMM 2010-06, para 22 – TCC13 could not take an assessment for Wallis and Futuna against this obligation, because there was not agreement on what legislation would be applicable to this obligation.
- b. CMM 2014-02, paras 9a and SSPs 2.8 – TCC13 did not assess these obligations for all CCMs because TCC could not agree on assessments that were consistent and fair to all CCMs.
- c. CMM 2015-01, para 25 – TCC13 did not assess this obligation for China as there was a plurality of opinions on the correct assessment with no clear majority or minority position.
- d. Convention Article 25(2) – TCC13 did not assess implementation of this obligation for all CCMs as it did not lend itself easily to clear assessment, but it did assess CCMs against the reporting deadline.
- e. SciData 03 – TCC13 did not assess this obligation for Chinese Taipei as there was a plurality of opinions on the correct assessment with no clear majority or minority position.

18. As noted in the paper on the CMR Review Process, WCPFC-TCC13-2017-12, there were a number of alleged violations from 2015 that were not included in last year's CMS because the information came in after the CMS was completed. TCC13 reviewed the outstanding alleged violations from 2015. The table below reflects the status of that review. For those noted as Flag State Investigation (FSI), CCMs will report back on the status of those investigations during the 2018 review process.

| CMM | Flag State Investigation (RY2015) | Completed |
|----------------------|---|---|
| CMM 2007-01, para 14 | China, Japan, Korea, Marshall Islands, Papua New Guinea, Philippines, Chinese Taipei, | Kiribati, United States |
| CMM 2010-07, para 9 | China, Korea, Philippines | Kiribati |
| CMM 2015-01, para 14 | Japan, Marshall Islands, Philippines, Chinese Taipei | China*, Federated States of Micronesia*, Kiribati*, Solomon Islands |

** TCC13 did not reach consensus on these assessments. A majority of CCMs thought that these CCMs should be assessed as compliant; however, there was a minority view that these CCMs should be assessed as Non-Compliant with this obligation. The difference of view related to the interpretation of footnote 2 of the measure.*

19. As noted in the paper outlining the TCC CMR process (WCPFC-TCC13-2017-12), TCC13 did not consider the information contained in the ROP Pre-notification List for the purpose of assessing any obligations for which it was relevant, with the exception of those cases related to observer interference or obstruction. **TCC13 recommends that this process be followed in future years.**

20. Consistent with the Final Compliance Monitoring Reports from 2012 – 2016, CCMs evaluated as “non-compliant” for obligations are strongly encouraged to address their implementation issues even without a response procedure.

IV. ISSUES RELATED TO SPECIFIC CMMs OR OTHER OBLIGATIONS

21. For CMM 2005-03, para 2, the United States emphasized that CCMs need to report on how they are implementing their obligation to limit fishing effort including the measure of fishing effort used.

22. For CMM 2009-03, para 8, some CCMs noted the need to revise the measure to clarify that the reporting requirement applies not only to vessels that are targeting swordfish, but also to those that have caught swordfish as bycatch. In addition, the Secretariat noted that it would appreciate some additional guidance on applicability.

23. For CMM 2009-06, para 35, and CMM 2010-02, New Zealand noted that allowing reports to be provided at TCC does fill a reporting gap but does not meet the intent of the obligations which is focused on timely notification for MCS purposes.

24. For CMM 2010-07, there was a lengthy discussion regarding the operation of paragraphs 6 and 7 and whether or not they must be read together, but TCC13 was not able to reach a consensus

opinion on this issue. Some CCMs request that TCC assess paragraph 7, in addition to paragraph 6, when TCC next assesses this measure.

25. For CMM 2011-03, para 5 and CMM 2012-04, para 6, most CCMs had provided information based on observer collected data, but had not provided reports from the vessel operators.

26. For CMM 2012-07, the United States requests that TCC also assess paragraphs 1 and 2, in addition to paragraphs 4 and 9, when TCC next assesses this measure.

27. For CMM 2014-02, paras 9a and SSPs 2.8, some CCMs' position is that the requirement under paragraph 9a is not met solely by provision of the VTAFs, because provision of the VTAFs is assessed separately under para 2.8 of the VMS SSPs and these CCMs believe the onus lies on the flag state to ensure VMS is functioning before fishing takes place or that, if not functioning, appropriate manual reporting take place.

28. For CMM 2014-03, as in past years, TCC took our assessments against this obligation as a block. TCC13 noted there are a large number of CCMs with some reporting gaps, and some CCMs felt this raised the question as to whether the measure needs to be revised or whether TCC needed to rethink our assessment of this obligation. Other CCMs noted that a number of the fields that are related to the reporting gaps are fields that are required by the Convention. The Secretariat noted that despite the large number of CCMs with reporting gaps, the actual volume of reporting gaps is much smaller than in past years and they have noted significant improvement in implementation of this obligation.

29. For CMM 2014-05, some CCMs recommend that TCC also assess paragraph 1 of this measure when TCC next assesses this measure.

30. For CMM 2015-01, para 19(b), there was a discussion as to whether the required information can come in an aggregated form or needs to be on an individual vessel level. For this year's assessment, TCC13 agreed that information provided in an aggregated form was acceptable.

31. For CMM 2015-01, para 49 and 50, the European Union noted that assessments against these two paragraphs should be done in conjunction.

32. For CMM 2015-04, para 6, some CCMs think that all CCMs should be reporting against this obligation even if the response is zero. Those CCMs requested that the Secretariat assess all CCMs against this obligation. Other CCMs disagreed and felt this would be burdensome on CCMs.

33. For CMMs with reporting deadlines of 31 July (eg CMM 2015-04), the Secretariat noted that this complicates the review by the Secretariat in preparation of draft CMRs.

34. For CMM 2015-05, New Zealand recommends that this measure needs review to address more specific apportionment of responsibility between the flag state and chartering state for Commission obligations.

35. For SciData 03 – TCC13 noted with pleasure that for the first time, all CCMs are providing some operational level catch and effort data. While some data gaps remain, this is a significant improvement from just three years ago.

V. REQUESTS FOR ASSISTANCE AND CAPACITY BUILDING

36. Several areas were identified where targeted assistance is required to assist SIDS and other CCMs in implementing specific obligations.

| CMM | Obligation | CMR section ¹ | CCM | Capacity Assistance Needed CMR score |
|---|-------------------------------|--------------------------|--------------------------------|--|
| CMM 2009-06 transshipment | CMM 2009-06 11 | ii | Vanuatu | |
| | CMM 2009-06 35 a (iii) | vii | Vanuatu | |
| | CMM 2009-06 35 a (iv) | vii | Vanuatu | |
| CMM 2010-06 IUU | CMM 2010-06 22 | v | Vanuatu | |
| CMM 2010-07 Sharks | CMM 2010-07 06 | i | Vanuatu | |
| CMM 2012-04 whale sharks | CMM 2012-04 01 | i | Federated States of Micronesia | |
| CMM 2012-07 seabirds | CMM 2012-07 04 | iii | Vanuatu | Vanuatu - Capacity Assistance needed (CMR RY2016) |
| CMM 2013-05 Daily catch and effort reporting | CMM 2013-05 02 | ii | Federated States of Micronesia | |
| CMM 2014-02 VMS | CMM 2014-02 9a VMS SSPs 7.2.2 | v | Tuvalu | Tuvalu - Capacity assistance needed (CMR RY2015, CMR RY2016) |
| | Convention Article 24 (3) | v | Vanuatu | |
| | Convention Article 25 (2) | vii | Federated States of Micronesia | |
| Scientific data provision | Scidata 03 | vi | Indonesia | Indonesia - Capacity Assistance needed (CMR RY 2016) |

¹ CMM 2015-07 paragraph 3: (i) catch and effort limits for target species; (ii) catch and effort reporting for target species; (iii) reporting including with respect to implementation of measures for non-target species; (iv) spatial and temporal closures, and restrictions on the use of fish aggregating devices; (v) authorizations to fish and the Record of Fishing Vessels, observer, VMS coverage, transshipment and the High Seas Boarding and Inspection Scheme; (vi) provision of scientific data through the Part 1 Annual Report (and its addendum) and the Scientific Data to be provided to the Commission; and (vii) submission of the Part II Annual Report, including compliance with the obligations in paragraph 36, and compliance with other Commission reporting deadlines.

Attachment 2 Provisional CMR adopted at TCC13

Appendix 1: 2017 pCMR Matrix covering 2016 activities

[illegible]

Attachment 2 Provisional CMR adopted at TCC13

Appendix 1: 2017 pCMR Matrix covering 2016 activities

[illegible]

Attachment 2 Provisional CMR adopted at TCC13

Appendix 1: 2017 pCMR Matrix covering 2016 activities

[illegible]

Attachment 2 Provisional CMR adopted at TCC13

Appendix 1: 2017 pCMR Matrix covering 2016 activities

| CMM para / CMR section | | Column Labels | | | | | | | | | | | | | | | | | | | | | | | | | | | | Total # of applicable CCMs assessed | # of Non-Compliance (Red/Yellow) | % of Non-Compliance | | | | | |
|-----------------------------|--|---------------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|-----|----|----|----|-------------------------------------|----------------------------------|---------------------|----|----|----|----|----|
| | | AU | CA | CK | CN | EC | EU | FJ | FM | FR | ID | JP | KI | KR | LR | MH | MX | NC | NR | NU | NZ | PA | PF | PG | PH | PNA | PW | SB | SV | | | | TH | TK | TO | TV | TW |
| CMM 2015-01 Att C 03 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| ii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| vii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 1 | 1 | 100% | | | | | |
| CMM 2015-01 Att C 05-06 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| v | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| CMM 2015-01 Att C 08 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| ii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 1 | 0 | 0% | | | | | |
| CMM 2015-02 01 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| i | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| CMM 2015-02 04 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 10 | 1 | 10% | | | | | |
| ii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| CMM 2015-04 03 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 14 | 0 | 0% | | | | | |
| i | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| CMM 2015-04 04 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 5 | 2 | 40% | | | | | |
| i | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| CMM 2015-04 06 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 5 | 1 | 20% | | | | | |
| ii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| CMM 2015-04 12 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 6 | 0 | 0% | | | | | |
| vii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| CMM 2015-05 03 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 8 | 1 | 13% | | | | | |
| vii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| CMM 2015-07 36 (7, 11) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 8 | 5 | 63% | | | | | |
| iii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 26 | 3 | 12% | | | | | |
| Convention Article 22 (4) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| iii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 26 | 0 | 0% | | | | | |
| Convention Article 23 (5) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| vii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Convention Article 23 2 (b) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| iii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 36 | 1 | 3% | | | | | |
| vii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 36 | 3 | 8% | | | | | |
| Convention Article 23 2 (c) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| iii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 37 | 1 | 3% | | | | | |
| vii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 37 | 6 | 16% | | | | | |
| Convention Article 24 (3) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| v | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 28 | 0 | 0% | | | | | |
| Convention Article 25 (2) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| iii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| vii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 5 | 2 | 40% | | | | | |
| SciData 01 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| vi | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 27 | 0 | 0% | | | | | |
| vii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 27 | 0 | 0% | | | | | |
| SciData 02 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| vi | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 25 | 0 | 0% | | | | | |
| vii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 25 | 0 | 0% | | | | | |
| SciData 03 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| vi | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 24 | 0 | 0% | | | | | |
| vii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 25 | 0 | 0% | | | | | |
| SciData 05 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| vi | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 29 | 1 | 3% | | | | | |
| vii | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 29 | 2 | 7% | | | | | |

Compliant Non Compliant Priority Non-Compliant Not Assessed Capacity Assistance Needed Flag State Investigation

| | |
|----|-----------|
| AU | Australia |
| CA | Canada |

| | |
|----|---------------|
| MX | Mexico |
| NC | New Caledonia |

| | |
|----|----------------|
| TV | Tuvalu |
| TW | Chinese Taipei |

Attachment 2 Provisional CMR adopted at TCC13

Appendix 1: 2017 pCMR Matrix covering 2016 activities

| CMM para / CMR section | Column Labels | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Total # of applicable CCMs assessed | # of Non-Compliance (Red/Yellow) | % of Non-Compliance | | | | | |
|------------------------|---------------|--------------------------------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|------------------|----|----|----|----|----|----|----|----|----|-------------------------------------|--------------------------|----|-------------------------------------|----------------------------------|---------------------|----|----|----|----|----|
| | AU | CA | CK | CN | EC | EU | FJ | FM | FR | ID | JP | KI | KR | LR | MH | MX | NC | NR | NU | NZ | PA | PF | PG | PH | PN | PW | SB | SV | TH | TK | TO | TV | | | | TW | US | VN | VU | WF |
| | CK | Cook Islands | | | | | | | | | | | | | | | | | NR | Nauru | | | | | | | | | | US | United States of America | | | | | | | | | |
| | CN | China | | | | | | | | | | | | | | | | | NU | Niue | | | | | | | | | | VN | Vietnam | | | | | | | | | |
| | EC | Ecuador | | | | | | | | | | | | | | | | | NZ | New Zealand | | | | | | | | | | VU | Vanuatu | | | | | | | | | |
| | EU | European Union | | | | | | | | | | | | | | | | | PA | Panama | | | | | | | | | | WF | Wallis and Futuna | | | | | | | | | |
| | FJ | Fiji | | | | | | | | | | | | | | | | | PF | French Polynesia | | | | | | | | | | WS | Samoa | | | | | | | | | |
| | FM | Federated States of Micronesia | | | | | | | | | | | | | | | | | PG | Papua New Guinea | | | | | | | | | | Collective groups (para 4) | | | | | | | | | | |
| | FR | France | | | | | | | | | | | | | | | | | PH | Philippines | | | | | | | | | | | | | | | | | | | | |
| | ID | Indonesia | | | | | | | | | | | | | | | | | PW | Palau | | | | | | | | | | PNAO Parties to the Nauru Agreement | | | | | | | | | | |
| | JP | Japan | | | | | | | | | | | | | | | | | SB | Solomon Islands | | | | | | | | | | | | | | | | | | | | |
| | KI | Kiribati | | | | | | | | | | | | | | | | | SV | El Salvador | | | | | | | | | | | | | | | | | | | | |
| | KR | Republic of Korea | | | | | | | | | | | | | | | | | TH | Thailand | | | | | | | | | | | | | | | | | | | | |
| | LR | Liberia | | | | | | | | | | | | | | | | | TK | Tokelau | | | | | | | | | | | | | | | | | | | | |
| | MH | Marshall Islands | | | | | | | | | | | | | | | | | TO | Tonga | | | | | | | | | | | | | | | | | | | | |

Appendix 2: 2017 Provisional Compliance and Monitoring Report (for 2016 activities)

| | Compliance or Implementation Status | | | | | | |
|--------------------|---|---------------|------------------------|----------------------------|--------------------------|---|-----------|
| CMM/Data Provision | Compliant | Non-Compliant | Priority Non-Compliant | Capacity Assistance Needed | Flag State Investigation | 2 nd , 3 rd , 4 th , 5 th , 6 th or 7 th Year with a Potential Compliance Issue | Next Step |
| | <i>CMM 2004-03: Fishing Vessel Marking and Specifications</i> | | | | | | |
| <i>Para (2)</i> | Australia, Canada, China, Cook Islands, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Chinese Taipei, Tuvalu, United States, Vanuatu | Ecuador | | | | | |
| | <i>CMM 2005-03: North Pacific Albacore</i> | | | | | | |
| <i>Para (2)</i> | Canada, China, Japan, Korea, Philippines, Chinese Taipei, United States | | | | | | |
| <i>Para (3)</i> | Canada, China, Fiji, Federated States of Micronesia, Japan, | | | | | | |

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|--|--|-------|-------|--|--|-----------|--|
| | Kiribati, Korea, Marshall Islands, Philippines, Tuvalu, Chinese Taipei, United States, Vanuatu | | | | | | |
| <i>Para (3) (reporting deadline)</i> | Canada, China, Fiji, Federated States of Micronesia, Kiribati, Marshall Islands, Philippines, Tuvalu, Chinese Taipei, United States, Vanuatu | Korea | Japan | | | Japan [2] | |
| <i>Para (4)</i> | Canada, China, Fiji, Federated States of Micronesia, Japan, Kiribati, Korea, Chinese Taipei, Tuvalu, United States, Vanuatu | | | | | | |
| <i>CMM 2007-01: Regional Observer Programme</i> | | | | | | | |
| <i>Para (7)</i> | Australia, China, Cook Islands, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, French Polynesia, Solomon Islands, El Salvador, Tuvalu, Chinese Taipei, United States, Vanuatu | | | | | | |

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|----------------------------|--|--|--|--|--|--|--|
| <i>Para (10)</i> | Australia, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Tuvalu, Chinese Taipei, United States, Vanuatu | | | | | | |
| <i>Para (13)</i> | Australia, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, Nauru, New Zealand, Papua New Guinea, Philippines, Palau, Solomon Islands, Tonga, Tuvalu, Chinese Taipei, United States, Vanuatu | | | | | | |
| <i>Para (14) (vii)</i> | Australia, Cook Islands, Ecuador, European Union, Fiji, Indonesia, Liberia, Marshall Islands, New Caledonia, New | | | | China, Federated States of Micronesi a, Japan, | | |

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|--|---|------------------------|--|--|--|---|--|
| | Zealand, Panama, Solomon Islands, El Salvador, Tuvalu, United States, Vanuatu | | | | Kiribati, Korea, Papua New Guinea, Philippines, Chinese Taipei | | |
| <i>Att K, Annex C, Para (6)</i> | Cook Islands, European Union, Fiji, Kiribati, Tuvalu | United States | China, Federated States of Micronesia, Japan, Korea, Chinese Taipei, Vanuatu | | | China[2], Federated States of Micronesia [5], Japan[4], Korea[2], Chinese Taipei[5], Vanuatu[3] | |
| <i>Att K, Annex C, Para (6) (reporting deadline)</i> | Cook Islands, Fiji, European Union, Federated States of Micronesia, Korea, Tuvalu, Chinese Taipei, United States, Vanuatu | China, Japan, Kiribati | | | | | |
| | <i>CMM 2009-03: Swordfish</i> | | | | | | |
| <i>Para (1)</i> | Australia, China, European Union, Indonesia, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States | | | | | | |
| <i>Para (2)</i> | Australia, European Union, Indonesia, Japan, | | China | | | China[2] | |

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|--------------------------------------|--|--|-----------------|--|--|-----------------------|--|
| | Korea, New Zealand, Philippines, Chinese Taipei, United States. | | | | | | |
| <i>Para (3)</i> | Australia, China, European Union, Indonesia, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States | | | | | | |
| <i>Para (8)</i> | Australia, China, Cook Islands, European Union, Fiji, Indonesia, Japan, Korea, New Caledonia, New Zealand, French Polynesia, Tonga, Chinese Taipei, United States, Vanuatu | | | | | | |
| <i>Para (8) (reporting deadline)</i> | Australia, China, Cook Islands, European Union, Fiji, Indonesia, Japan, Korea, New Caledonia, New Zealand, French Polynesia, Tonga, Chinese Taipei, United States, Vanuatu | | | | | | |
| CMM 2009-06: Transshipment | | | | | | | |
| <i>Para (11)</i> | China, Cook Islands, Ecuador, European Union, Fiji, Federated States of Micronesia, Japan, Korea, Kiribati, Marshall Islands, New Zealand, Philippines, | Liberia, Papua New Guinea, Chinese Taipei, | Panama, Vanuatu | | | Panama[5], Vanuatu[4] | |

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|---|--|-----------------|------------------|--|--|---------------------|--|
| | Solomon Islands, El Salvador, Tuvalu, United States | | | | | | |
| <i>Para (11) (reporting deadline)</i> | China, Cook Islands, European Union, Federated States of Micronesia, Fiji, Japan, Kiribati, Korea, Liberia, Marshall Islands, New Zealand, Philippines, Solomon Islands, El Salvador, Tuvalu, Chinese Taipei, United States, Vanuatu | Ecuador, Panama | Papua New Guinea | | | Papua New Guinea[3] | |
| <i>Para (13)</i> | China, Fiji, Japan, Korea, Liberia, Philippines, Chinese Taipei, United States, Vanuatu | Panama | | | | | |
| <i>Para (29)</i> | Australia, China, Ecuador, European Union, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Tuvalu, Chinese Taipei, United States, Vanuatu | | | | | | |
| <i>Para (34)</i> | China, Fiji, Japan, Korea, Liberia, Panama, Philippines, Chinese | | | | | | |

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|--|---|-------------------------|--|--|--|---|--|
| | Taipei, Thailand, United States, Vanuatu | | | | | | |
| <i>Para (35) (a) (ii)</i> | China, Fiji, Japan, Korea, Liberia, Panama, Philippines, Thailand, Chinese Taipei, United States, Vanuatu | | | | | | |
| <i>Para (35) (a) (iii)</i> | Fiji, Japan, Korea, Philippines, Thailand, Chinese Taipei, United States | China, Liberia | Panama, Vanuatu | | | Panama[2], Vanuatu[2] | |
| <i>Para (35) (a) (iii) (reporting deadline)</i> | Fiji, Korea, Philippines, Thailand, United States | Liberia, Chinese Taipei | China, Japan, Panama, Vanuatu | | | China[3], Japan[4], Panama[2], Vanuatu[4] | |
| <i>Para (35) (a) (iv)</i> | China, Fiji, Japan, Korea, Thailand, Chinese Taipei, United States | Liberia | Panama, Vanuatu | | | Panama[2], Vanuatu[2] | |
| <i>Para (35) (a) (iv) (reporting deadline)</i> | Fiji, Korea, Philippines, Thailand, United States | Japan, Liberia | China, Panama, Chinese Taipei, Vanuatu | | | China[4], Panama[2], Chinese Taipei [4], Vanuatu[4] | |
| <i>CMM 2010-01: North Pacific Striped Marlin</i> | | | | | | | |
| <i>Para 5</i> | China, European Union, Indonesia, Japan, Korea, Philippines, Chinese Taipei, United States | | | | | | |
| <i>Para 8</i> | China, European Union, Indonesia, Japan, Korea, | | | | | | |

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|--------------------------------------|---|----------------|---|--|--|--|---|
| | Philippines, Chinese Taipei, United States | | | | | | |
| | <i>CMM 2010-02: Eastern High Seas Pocket Special Management Area</i> | | | | | | |
| <i>Para (2) (ii)</i> | Cook Islands, China, Fiji, Japan, Kiribati, Korea, New Zealand, United States, Vanuatu | Chinese Taipei | Panama | | | Panama[5] | |
| <i>Para (2) (reporting deadline)</i> | Fiji, Japan, Korea, New Zealand, Vanuatu | United States | Cook Islands, China, Kiribati, Panama, Chinese Taipei | | | Cook Islands[2], China[4], Kiribati[3], Panama[4], Chinese Taipei[4] | |
| | <i>CMM 2010-06: IUU</i> | | | | | | |
| <i>Para (22) v</i> | Australia, Canada, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, New Caledonia, Nauru, New Zealand, French Polynesia, Panama, Papua New Guinea, Philippines, Palau, Samoa Solomon Islands, El Salvador, Thailand, Tokelau, Tonga, Tuvalu, Chinese Taipei, United States, Vanuatu, Vietnam | Mexico | | | | | <i>Wallis and Futuna (Not Assessed)</i> |

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| <i>Para (22) (reporting deadline) vii</i> | | | | | | | |
| | <i>CMM 2010-07: Shark</i> | | | | | | |
| <i>Para (6)</i> | Australia, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Samoa Solomon Islands, Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu | | | | | | |
| <i>Para (9)</i> | Australia, Canada, Cook Islands, China, Ecuador, European Union, Fiji, Indonesia, Liberia, New Caledonia, New Zealand, French Polynesia, Papua New Guinea, Solomon Islands, El Salvador, Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu | | Panama | | Federated States of Micronesia, Japan, Kiribati, Korea, Philippines, Marshall Islands | Panama[3] | |

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|---|--|----------------------------------|--|--|--|--|--|
| <i>Para (12) (reporting deadline)</i> | Australia, China, Cook Islands, Ecuador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, New Caledonia, New Zealand, French Polynesia, Philippines, Samoa, Solomon Islands, El Salvador, Thailand, Tonga, Tuvalu, Chinese Taipei, United States, Vanuatu | Canada, Panama, Papua New Guinea | | | | | |
| CMM 2011-03: Cetaceans | | | | | | | |
| <i>Para (1)</i> | Australia, Ecuador, European Union, Indonesia, Kiribati, New Zealand, Papua New Guinea, El Salvador, Vanuatu | | | | China, Federated States of Micronesia, Japan, Korea, Marshall Islands, Philippines, Solomon Islands, Tuvalu, Chinese Taipei, United States | | |

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| <i>Para (5)</i> | Australia, China, Ecuador, European Union, Indonesia, Kiribati, Korea, Marshall Islands, New Zealand, Philippines, El Salvador, Chinese Taipei, United States | Federated States of Micronesia, Japan, Papua New Guinea, Solomon Islands, Tuvalu, Vanuatu | | | | | |
| <i>Para (5) (reporting deadline)</i> | Australia, China, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Philippines, Solomon Islands, El Salvador, Tuvalu, Chinese Taipei, United States, Vanuatu | European Union, Ecuador, Papua New Guinea | | | | | |
| <i>CMM 2012-04: Whale Sharks</i> | | | | | | | |
| <i>Para (1)</i> | Australia, Ecuador, European Union, Indonesia, Korea, New Zealand, El Salvador, Tuvalu, United States, Vanuatu | | | | China, Federated States of Micronesia, Japan, Kiribati, Marshall Islands, Papua New Guinea, Philippines, Solomon | | |

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| | | | | | Islands, Chinese Taipei | | |
| <i>Para (3)</i> | Japan, United States | | | | | | |
| <i>Para (3) (reporting deadline)</i> | Japan, United States | | | | | | |
| <i>Para (6)</i> | Australia, China, Ecuador, European Union, Federated States of Micronesia, Indonesia, Korea, Marshall Islands, New Zealand, El Salvador, Tuvalu, Chinese Taipei, United States | Japan, Kiribati, Papua New Guinea, Philippines, Solomon Islands, Vanuatu | | | | | |
| <i>Para (6) (reporting deadline)</i> | Australia, China, Federated States of Micronesia, European Union, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Philippines, Solomon Islands, Tuvalu, Chinese Taipei, United States, Vanuatu | Ecuador, Papua New Guinea, El Salvador | | | | | |
| CMM 2012-07: Seabird | | | | | | | |
| <i>Para (4)</i> | Australia, China, Ecuador, European Union, Fiji, Japan, New Zealand, Chinese Taipei, United States | | | Vanuatu | | | |

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|--|---|---|----------------------|--|--|--------------------------|--|
| <i>Para (4) (reporting deadline)</i> | Australia, China, Ecuador, European Union, Fiji, Japan, New Zealand, Chinese Taipei, United States, Vanuatu | | | | | | |
| <i>Para (9)</i> | Australia, Cook Islands, China, Ecuador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, French Polynesia, Papua New Guinea, Philippines, Samoa, Solomon Islands, El Salvador, Tonga, Tuvalu, Chinese Taipei, United States, Vanuatu | | Wallis and Futuna | | | Wallis and Futuna [3] | |
| <i>Para (9) (reporting deadline)</i> | Australia, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, French Polynesia, Philippines, Samoa, Solomon Islands, El Salvador, Tonga, Tuvalu, | Papua New Guinea, Wallis and Futuna | | | | | |

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|---------------------------------------|--|--|--|--|--|--|--|
| | Chinese Taipei, United States, Vanuatu | | | | | | |
| | <i>CMM 2013-05: Catch and Effort Reporting</i> | | | | | | |
| <i>Para (1)</i> | Australia, Canada, China, Cook Islands, Ecuador, European Union, Fiji, Federated States of Micronesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, El Salvador, Tuvalu, United States, Vanuatu | | | | | | |
| <i>Para (2)</i> | Australia, Canada, China, Cook Islands, Ecuador, European Union, Fiji, Federated States of Micronesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Tuvalu, Chinese Taipei, United States, Vanuatu | | | | | | |
| | <i>CMM 2013-07: Special Requirements of SIDS</i> | | | | | | |
| <i>Para (19) (reporting deadline)</i> | Australia, China, European Union, New Zealand, Philippines, El | Canada, Ecuador, Indonesia, Japan, Korea. Liberia, | | | | | |

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| | Salvador, Chinese Taipei, United States | Panama, Thailand, Vietnam | | | | | |
| | <i>CMM 2013-10: Record of Fishing Vessels</i> | | | | | | |
| <i>Para (2)</i> | Australia, Canada, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, New Caledonia, New Zealand, French Polynesia, Panama, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Thailand, Tonga, Tuvalu, Chinese Taipei, United States, Vanuatu | | | | | | |
| <i>Para (3)</i> | Australia, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, New Caledonia, New Zealand, French Polynesia, Panama, Papua New Guinea, Philippines, Solomon Islands, El Salvador, | | | | | | |

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|-----------------|--|--|--|--|--|--|--|
| | Thailand, Tonga, Tuvalu, Chinese Taipei, United States, Vanuatu | | | | | | |
| <i>Para (4)</i> | Australia, Canada, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, New Caledonia, New Zealand, French Polynesia, Panama, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Chinese Taipei Thailand, Tonga, Tuvalu, United States, Vanuatu | | | | | | |
| <i>Para (7)</i> | Australia, Canada, Cook Islands, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, New Caledonia, New Zealand, Panama, French Polynesia, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Thailand, Tonga, Tuvalu, Chinese | | | | | | |

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|--|---|--|--|--|--|--|--|
| | Taipei, United States, Vanuatu | | | | | | |
| <i>Para (7) (reporting deadline)</i> | Australia, Canada, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, New Caledonia, New Zealand, Panama, French Polynesia, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Thailand, Tonga, Tuvalu, Chinese Taipei, United States, Vanuatu | | | | | | |
| <i>Para (9)</i> | Australia, Canada, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, New Caledonia, New Zealand, Panama, French Polynesia, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Thailand, Tonga, Tuvalu, Chinese | | | | | | |

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| | Taipei, United States, Vanuatu | | | | | | |
| <i>Para 9 (reporting deadline)</i> | Australia, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, New Caledonia, New Zealand, French Polynesia, Philippines, El Salvador, Thailand, Tonga, Tuvalu, Chinese Taipei, United States, Vanuatu | Canada, Panama, Papua New Guinea, Solomon Islands | | | | | |
| <i>Para (16)</i> | Australia, Canada, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, New Caledonia, New Zealand, French Polynesia, Panama, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Thailand, Tonga, Tuvalu, Chinese Taipei, United States, Vanuatu | | | | | | |

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| <i>Para (17)</i> | Australia, Canada, China, Cook Islands, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, New Caledonia, New Zealand, French Polynesia, Panama, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Thailand, Tonga, Tuvalu, Chinese Taipei, United States, Vanuatu | | | | | | |
| <i>CMM 2014-02: Vessel Monitoring System</i> | | | | | | | |
| <i>Para (4)</i> | Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, Panama, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Tuvalu, Chinese Taipei, United States, Vanuatu | | | | | | |
| <i>Para (9)(a)</i> | NOT ASSESSED | | | | | | |
| <i>Para (9)(a)</i> – VMS SSPs para 2.8 | NOT ASSESSED | | | | | | |

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|--|---|--|--|--------|--|---|--|
| <i>Para (9) (a) – VMS SSPs para 7.2.2</i> | Australia, Cook Islands, China, European Union, Fiji, Federated States of Micronesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Chinese Taipei, United States, Vanuatu | | | Tuvalu | | | |
| <i>Para (9) (a) – VMS SSPs para 7.2.2 (reporting deadline)</i> | Australia, Cook Islands, China, European Union, Fiji, Federated States of Micronesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Panama, Philippines, El Salvador, Tuvalu, Chinese Taipei, United States, Vanuatu | Liberia, Papua New Guinea, Solomon Islands | | | | | |
| <i>CMM 2014-03: RFV Standards, Specifications and Procedures</i> | | | | | | | |
| <i>Para (2)</i> | Cook Islands, Marshall Islands, New Caledonia, New Zealand, French Polynesia, El Salvador, Solomon Islands, Tonga, Tuvalu, United States, Vanuatu | Canada, Fiji, Federated States of Micronesia, Chinese Taipei | Australia, China, Ecuador, European Union, Indonesia, Japan, Kiribati, Korea, Liberia, Panama, | | | Australia[3], China[3], Ecuador[3], European Union[3], Indonesia[3], Japan[3], Kiribati[3], Korea[3], Liberia[2], | |

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| | | | Papua New Guinea, Philippines, Thailand | | | Panama[3], Papua New Guinea[3], Philippines [3], Thailand[2] | |
| | <i>CMM 2015-01: Tropical Tunas</i> | | | | | | |
| <i>Para (14)</i> | Australia, China, Ecuador, European Union, Federated States of Micronesia, Kiribati Marshall Islands, Japan Papua New Guinea, Solomon Islands, Chinese Taipei, United States, Indonesia, Korea, New Zealand, El Salvador, Tuvalu, Vanuatu | | Philippines | | | | |
| <i>Para (16)</i> | Federated States of Micronesia, Japan, Kiribati, Korea, United States | | | | | | |
| <i>Para 16 (sec iv)</i> | Australia, China, Ecuador, European Union, Indonesia, Marshall Islands, New Zealand, Philippines, El Salvador, Tuvalu, Chinese Taipei, Vanuatu | Papua New Guinea | | | Solomon Islands, | | |
| <i>Para (16) (reporting deadline)</i> | Australia, Japan, Korea, Philippines, El Salvador, Vanuatu | China, Ecuador, European Union, Federated States | | | | | |

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| | | of Micronesia, Indonesia, Kiribati, Marshall Islands, New Zealand, Papua New Guinea, Solomon Islands, Tuvalu, Chinese Taipei, United States | | | | | |
| <i>Para (16 footnote 3) (reporting deadline)</i> | | Federated States of Micronesia, Kiribati, Philippines, Solomon Islands | | | | | |
| <i>Para (19)</i> | Federated States of Micronesia, Japan, Kiribati, Korea, United States | | | | | | |
| <i>Para (20) (collective obligation)</i> | PNAO | | | | | | |
| <i>Para (22)</i> | Philippines | | | | | | |
| <i>Para (23)</i> | Australia, Cook Islands, Fiji , Japan, New Caledonia, Niue, New Zealand, French Polynesia, Tokelau, Tonga Chinese Taipei, United States, Vanuatu, Samoa, Wallis and Futuna | | Indonesia | | | Indonesia[2] | |

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| <i>Para (23) (reporting deadline)</i> | Australia, Cook Islands, Fiji, Japan, New Caledonia, Niue, New Zealand, French Polynesia, Tokelau, Tonga Chinese Taipei, United States, Vanuatu, Samoa | Indonesia, Philippines, Wallis and Futuna | | | | | |
| <i>Para (24)</i> | Australia, Cook Islands , Fiji, Japan, New Caledonia, Niue, New Zealand, French Polynesia, Tokelau, Tonga, Chinese Taipei, United States, Vanuatu, Samoa | Indonesia, Philippines | | | | | |
| <i>Para (24) (reporting deadline)</i> | Australia, Cook Islands, Fiji, Japan, New Caledonia, Niue, New Zealand, French Polynesia, Philippines, Tonga, Tokelau, United States, Vanuatu, Samoa | Indonesia, Chinese Taipei | | | | | |
| <i>Para (24) (para 4 – collective obligation) (reporting deadline)</i> | PNAO | | | | | | |
| <i>Para (25)</i> | Australia, Ecuador, European Union, | | Korea, Chinese | | | United States[2] | <i>China (not</i> |

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| | Indonesia, Japan, New Zealand, Philippines, El Salvador | | Taipei, United States | | | | <i>assessed)</i> |
| <i>Para (30)</i> | China, European Union, Federated States of Micronesia, Japan, Marshall Islands, Chinese Taipei, Ecuador, Indonesia, Kiribati, Korea, New Zealand, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Tuvalu, United States, Vanuatu | | | | | | |
| <i>Para (33)</i> | European Union, Papua New Guinea, China, Federated States of Micronesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Solomon Islands, El Salvador, Tuvalu, Chinese Taipei, United States, Vanuatu | Ecuador, Philippines | | | | | |
| <i>Para (34)</i> | Papua New Guinea, Australia, China, Federated States of Micronesia, Japan, Kiribati, Marshall Islands, Tuvalu, United States, Vanuatu | | Indonesia, Philippines | | | | |

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| <i>Para (37)</i> | Australia, China, Ecuador, European Union, Federated States of Micronesia , Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Papua New Guinea , Philippines, Solomon Islands, El Salvador, Chinese Taipei, Tuvalu, United States, Vanuatu | | | | | | |
| <i>Para (37) (reporting deadline)</i> | Australia, China , Ecuador, European Union, Federated States of Micronesia , Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Chinese Taipei, United States, Vanuatu | | | | | | |
| <i>Para (40)</i> | China, Indonesia, Japan, Korea, Chinese Taipei | | United States | | | | |
| <i>Para (41)</i> | Australia, European Union, New Zealand, Philippines, | | | | | | |
| <i>Para (44)</i> | China, Japan, Korea, Chinese Taipei, United States | | Indonesia | | | Indonesia[4] | |

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| <i>Para (44) (reporting deadline)</i> | China, Japan, Chinese Taipei, United States | Korea | Indonesia | | | Indonesia[4] | |
| <i>Para (47)</i> | Indonesia, Japan, Philippines | | | | | | |
| <i>Para (48)</i> | Indonesia, Japan, Philippines | | | | | | |
| <i>Para (49)</i> | Australia, Canada, China, Ecuador, European Union, Japan, Korea, New Zealand, Philippines, El Salvador, Chinese Taipei, United States | | | | | | |
| <i>Para (50)</i> | China, Japan, Korea, Philippines, Chinese Taipei, United States | | | | | | |
| <i>Para (51)</i> | Australia, China, European Union, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States | | | | | | |
| <i>Para (52)</i> | Australia, China, Japan, Chinese Taipei, Japan, Korea, Philippines, United States | | | | | | |
| <i>Para (57)</i> | China, Indonesia, Japan, Korea, Philippines, Chinese Taipei | | | | | | |
| <i>Para (59)</i> | China, Japan, Korea, Philippines, Chinese Taipei | | | | | | |
| <i>Att C (3)</i> | | Philippines | | | | | |

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| <i>Att C (3) (reporting deadline)</i> | | Philippines | | | | | |
| <i>Att C (5-6)</i> | Philippines | | | | | | |
| <i>Att C (8)</i> | Philippines | | | | | | |
| <i>CMM 2015-02: South Pacific Albacore</i> | | | | | | | |
| <i>Para (1)</i> | Australia, China, European Union, Indonesia, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States | | | | | | |
| <i>Para (4)</i> | Australia, Cook Islands, China, European Union, Fiji , Japan, Kiribati, New Caledonia, New Zealand, French Polynesia, Chinese Taipei, Tonga, United States, Vanuatu | | | | | | |
| <i>CMM 2015-04: Pacific Bluefin Tuna</i> | | | | | | | |
| <i>Para (3)</i> | Philippines, Chinese Taipei, United States | | Japan, Korea | | | | |
| <i>Para (4)</i> | Japan, Philippines, Chinese Taipei, United States | | Korea | | | | |
| <i>Para (6)</i> | Canada, Japan, Korea, Philippines, Chinese Taipei, United States | | | | | | |
| <i>Para (12) (reporting deadline)</i> | Australia, Canada, China, Japan, Philippines, | Korea | | | | | |

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| | Chinese Taipei, United States | | | | | | |
| | CMM 2015-05: Charter | | | | | | |
| <i>Para (3) (reporting deadline)</i> | Fiji, Federated States of Micronesia, Korea | Cook Islands, Kiribati, Marshall Islands, United States, Samoa | | | | | |
| | CMM 2015-07: CMS | | | | | | |
| <i>Para (7,11)</i> | Australia, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, France, Indonesia, Japan, Kiribati, Liberia, Mexico, Philippines, Palau, Papua New Guinea, El Salvador, Thailand, Tuvalu, Chinese Taipei, United States, Vietnam, Vanuatu | Korea, Panama, Wallis and Futuna | | | | | |
| | Convention | | | | | | |
| <i>Article 22 Para (4)</i> | Canada, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, France, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, Mexico, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, El | | | | | | |

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| | Salvador, Thailand, Tuvalu, Chinese Taipei, United States, Vanuatu | | | | | | |
| <i>Article 23 Para (2) (b)</i> | Australia, Canada, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, Mexico, New Caledonia, Niue, Nauru, New Zealand, French Polynesia, Papua New Guinea, Philippines, Palau, Solomon Islands, El Salvador, Thailand, Tokelau, Tonga, Tuvalu, Chinese Taipei, United States, Vietnam, Vanuatu, Samoa | Panama | Wallis and Futuna | | | Wallis and Futuna[4] | |
| <i>Article 23 Para (2) (b) (reporting deadline)</i> | Australia, Canada, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, Mexico, New Caledonia, Nauru, New Zealand, French Polynesia, Philippines, Palau, Solomon Islands, El | Niue, Panama | Papua New Guinea, Wallis and Futuna | | | Papua New Guinea[4], Wallis and Futuna[4] | |

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| | Salvador, Thailand, Tokelau, Tonga, Tuvalu, Chinese Taipei, United States, Vietnam, Vanuatu, Samoa | | | | | | |
| <i>Article 23 Para (2) (c)</i> | Australia, Canada, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia , France, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, Mexico, New Caledonia, Nauru, Niue, New Zealand, French Polynesia, Panama, Papua New Guinea, Philippines, Palau, Solomon Islands, El Salvador, Thailand, Tokelau, Tonga, Tuvalu, Chinese Taipei, United States, Vietnam, Vanuatu, Samoa | | Wallis and Futuna | | | Wallis and Futuna[4] | |
| <i>Article 23 Para (2) (c) (reporting deadline)</i> | Australia, Cook Islands, China European Union, Fiji, Federated States of Micronesia, France, Indonesia, Japan, Kiribati, Korea, Liberia, Marshall Islands, Mexico, New Caledonia, Nauru, Niue, New Zealand, French | Canada, Ecuador, Panama, Solomon Islands | Papua New Guinea, Wallis and Futuna | | | Papua New Guinea[2], Wallis and Futuna[4] | |

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| | Polynesia, Palau, Philippines, El Salvador, Thailand, Tokelau, Tonga, Tuvalu, Chinese Taipei, United States, Vietnam, Vanuatu, Samoa | | | | | | |
| <i>Article 24 Para (3)</i> | Australia, Canada, Cook Islands, China, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, French Polynesia, Philippines, Solomon Islands, El Salvador, Thailand, Tonga, Tuvalu, Chinese Taipei, United States, Vanuatu, Ecuador, European Union, Liberia, Panama, Papua New Guinea | | | | | | |
| <i>Article 25 Para (2)</i> | NOT ASSESSED | | | | | | |
| <i>Article 25 Para (2) (reporting deadline)</i> | China, Japan, Vanuatu | Fiji, Chinese Taipei | | | | | |
| | Scientific Data | | | | | | |
| <i>Section 01 – Estimate of</i> | Australia, Canada, Cook Islands, China, Ecuador, European Union, Fiji, | | | | | | |

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| <i>Annual Catches</i> | Federated States of Micronesia , Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, French Polynesia, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Tokelau, Tonga, Tuvalu, Chinese Taipei, United States, Vanuatu, Samo | | | | | | |
| <i>Section 01 – (reporting deadline)</i> | Australia, Canada, Cook Islands, China, Ecuador, European Union, Fiji , Federated States of Micronesia , Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, French Polynesia, Papua New Guinea , Philippines, Solomon Islands, El Salvador, Tokelau, Tonga, Tuvalu, Chinese Taipei, United States, Vanuatu, Samoa | | | | | | |
| <i>Section 02 – Number of Active Vessels</i> | Australia, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, | | | | | | |

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| | Marshall Islands, New Caledonia, New Zealand, French Polynesia, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Tonga, Tuvalu, Chinese Taipei, United States, Vanuatu, Samoa | | | | | | |
| <i>Section 02 (reporting deadline)</i> | Australia, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, French Polynesia, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Tonga, Tuvalu, Chinese Taipei, United States, Vanuatu, Samoa | | | | | | |
| <i>Section 03 (vi) – Operational Level Catch and Effort Data</i> | European Union, Australia, Cook Islands, China, Ecuador, Fiji, Federated States of Micronesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, French Polynesia, Papua New Guinea, Philippines, Solomon Islands, El | | | Indonesi a | | | Chine se Taipe i (not asses sed) |

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| | Salvador, Tonga, Tuvalu, United States of America, Vanuatu, Samoa | | | | | | |
| <i>Section 03 (reporting deadline) – Operational Level Catch and Effort Data</i> | Australia, Cook Islands, China, Ecuador, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, French Polynesia, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Tonga, Tuvalu, Chinese Taipei, United States, Vanuatu, Samoa | | | | | | |
| <i>Section 05 (vi)– Size Composition</i> 2 | Australia, Canada, Cook Islands, China, European Union, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, Nauru, New Zealand, French Polynesia, Papua New Guinea, Philippines, Palau, Solomon Islands, El Salvador, Tonga, Tuvalu, Chinese Taipei, United States, Vanuatu, Wallis and Futuna, Samoa | | Ecuador | | | Ecuador[5] | |

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| <i>Section 05 (reporting deadline)– Size Composition</i> | Australia, Canada, Cook Islands, China, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Niue, French Polynesia, Papua New Guinea, Palau, Philippines, Philippines, Solomon Islands, El Salvador, Tonga, Tuvalu, Chinese Taipei, United States, Vanuatu, Wallis and Futuna, Samoa | European Union | | Ecuador | | Ecuador[4] | |
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