

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES

Prepared by the WCPFC Secretariat_as at 5 September 2014



SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES FOR INDIVIDUAL CCMs

Prepared by the WCPFC Secretariat

WCPFC-TCC10-2014-dCMR02

5 September 2014

This working document has been drawn up by the Secretariat, on the basis of information received as at 3 September 2014 (inclusive). A list of submissions received after that date will be provided as a supplement to this paper. There is a table (1 – 22 pages length) for each CCM or collective group based on the below template:

- The text shown in the below template in Column 2 and 4 are the headings for the draft CMR sections (ie paragraph 3 of CMM 2013-02 or equivalent in the previous CMM on CMS).
- Column 2 and 3 provides a summary of previous year identified compliance issues and any CCM replies (CMM 2013-02 22);
- Column 4 and 5 provide a summary of any noted potential compliance issues and CCM replies in the full draft CMR prepared by the Secretariat , ie where “potential compliance issue” or “additional information needed” was chosen in the “Potential Issues” of full draft CMR. The “Summary of Issue” explanation is shown alongside eg. CMM 2012-01 27 | *Late Submission*

Please note that this is a working document and the instances of potential non-compliance issues indicated here are not definitive. Following examination of the full draft CMR by the TCC, issues may be added or removed.

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
* COUNTRY	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
*PARTICIPATING TERRITORY	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
Or	n/a		(iii) Reporting for seabirds and other non-target species		
*COLLECTIVE GROUP	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme		
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines		
	Xx issues		Xx potential issues		

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AUSTRALIA	KIRIBATI	SOLOMON ISLANDS
BELIZE	DEMOCRATIC PEOPLES REPUBLIC OF KOREA (DPRK)	THAILAND
CANADA	REPUBLIC OF KOREA	TOKELAU
CHINA	REPUBLIC OF MARSHALL ISLANDS	TONGA
COOK ISLANDS	MEXICO	TUVALU
ECUADOR	NAURU	CHINESE TAIPEI
EL SALVADOR	NEW CALEDONIA	UNITED STATES OF AMERICA
EUROPEAN UNION	NEW ZEALAND	VANUATU
FEDERATED STATES OF MICRONESIA	NIUE	VIETNAM
FIJI	PALAU	WALLIS AND FUTUNA
FRANCE	PANAMA	FFA
FRENCH POLYNESIA	PAPUA NEW GUINEA	PNAO
INDONESIA	PHILIPPINES	
JAPAN	SAMOA	

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AUSTRALIA	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i>	Australia has reviewed the process for the submission of this data and will provide outstanding data as soon as possible.	
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines CMM 2012-01 27 <i>Late Submission</i>	Australia submitted the BET monthly data for March 2013 on 1 May 2013.	
0 issues		2 potential issues	Replies received		

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BELIZE	<p>(i) Catch and effort limits for target species CMM 09-03 03: 2010, 2011, 2012</p> <p>CMM 09-06 34:2012</p>	<p>Reply in AR Pt 2 confirming reported incident did occur</p>	<p>(i) Catch and effort limits for target species CMM 2009-06 34 <i>Reporting Gap</i> CMM 2010-07 07 <i>Reporting Gap</i> CMM 2010-07 09 <i>Reporting Gap</i></p>	<p>09-06: 10-07: Belize kindly request that WCPFC provides whatever information was reported to them of the vessels regarding the high seas transshipment events so that proper investigation and/or verification can be conducted before a clear statement can be provided to the Commission.</p> <p><i>Secretariat note: on 1 Sept WCPFC sent a supplementary reminder to Belize about the supporting information for dCMRs that has been made available on the individual CCM portal</i></p>	
	<p>(ii) catch and effort reporting for target species; CMM 09-06 35 a ii): 2012 CMM 09-06 35 a iii): 2012 CMM 09-06 35 a iv): 2012</p>	<p>Reply in AR Pt2, confirming changes in administration in 2013, incl. New Fisheries Act adopted Nov 2013 which is expected to improve reporting of high seas authorisations to transship</p>	<p>(ii) Catch and effort reporting for target species CMM 2009-06 11 <i>Reporting Gap</i> CMM 2009-06 35 a (ii) <i>Reporting Gap</i> CMM 2009-06 35 a (iii) <i>Reporting Gap</i> CMM 2009-06 35 a (iv) <i>Reporting gap</i></p>	<p>09-06 35 a(iii) and (iv): We wish to advise you that with regard to the attachment found within the online CCM portal of Summary of Belize High Seas Transshipment reporting for 2013 where the referenced Belize flagged vessel m/v Heng Yu is mentioned, we advise that for transshipments conducted by this vessel up to July 2013 which are stated on the summary did not occur while the vessel was registered under Belize but instead under Panama flag. This vessel was registered with Belize on July 31st, 2013. Therefore, all transshipments conducted before this time where under Panama flag and under the</p>	

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				<p>previous vessel name Shota Maru. Additionally, since we are members of IATTC and are a part of this RFMO's Regional Observer Program, observers from IATTC are utilized for all transhipments. I have attached a comprehensive report on all transhipments that were conducted under Belize in 2013 by this vessel and supporting declarations submitted by the vessel owner/master.</p> <p><i>Secretariat note: CCM reply received on 4 Sept, and there was not time to consider this before full dCMR was published. This reply is still being reviewed.</i></p>	
	n/a		<p>(iii) Reporting for seabirds and other non-target species CMM 2007-04 09 Report inconsistent</p>	<p>07-04: Belize had submitted this report on July 28th 2014</p> <p><i>Secretariat note: the requirement says that available information should be reported in AR Pt1. However, there is some inconsistency between information provided in AR Pt 1 and data reported to WCPFC as reply to dCMR - additional information needed</i></p> <p><i>* AR Pt 1 (received 28 July 2014) says: "We did not receive any reports of seabirds and sea turtle interactions by our vessel in 2013"</i></p> <p><i>*Data submitted by Belize as a reply to</i></p>	

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			<p>CMM 2008-03 02 <i>Report inconsistent</i></p>	<p><i>dCMR (28 Aug 2014) reports "Number of Seabirds caught as at December 2013 - 3/Alive and released."</i></p> <p>08-03: Belize confirms to the Commission that although the FAO guidelines for Turtles was not fully implemented in 2013, vessel owners had been duly notified through legally binding fishing vessel circulars of the measures they need to take to mitigate the targeting or catching of Turtles. In April 2014, Belize has signed on to a contractual agreement with Capricorn Fisheries Monitoring CC to ensure through the proper utilization of Observers that this guideline is fully implemented.</p> <p><i>Secretariat note: Information provided by BZ as reply to dCMR seems to confirm some form of regulation was used to implement CMM 2008-03 during 2013.</i></p> <p><i>However, there is some inconsistency between information provided in AR Pt 1 and data reported to WCPFC as reply to dCMR - additional information needed * AR Pt 1 (received 28 July 2014) says: "We did not receive any reports of seabirds and sea turtle interactions by our vessel in 2013"</i></p>	

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			CMM 2011-04 03 <i>Interpretation</i>	<p><i>*Data submitted by Belize as a reply to dCMR (28 Aug 2014) reports "Number of Turtles caught as at December 2013 - 2/Alive and released"</i></p> <p>This report has been submitted on July 28th 2014 due to some administrative delay. Nevertheless, the report has been submitted to the Secretariat. Secretariat note: AR Pt 1 2014 (received 28 July 2014) shark catches for 2013: were 25.4 Mt (the report was not species specific). no mention of Oceanic White Tip sharks in AR Pt 1 2014. Please provide information on the total estimated number of releases of oceanic whitetip shark, including the status upon release (dead or alive)</p>	
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage CMM 07-01 Att.K Annex C 06: 2012 CMM 11-02 09a: 2012	Reply in AR Pt2 - May 2014: Implemented National Observer Program with company contracted and implemented NPOA-IUU. Change in administration in 2013, incl. New Fisheries Act adopted	(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 09 <i>Observer coverage</i> CMM 2007-01 10 <i>Not Implemented</i>	07-01 09: Belize unfortunately did not fully implement its Observer program in 2013 due to the transition after the appropriation of businesses by the government of Belize. Due to this, the creation of the Observer Program in its infancy was delayed. However, the only operating long line vessel complied with the requirement of monthly	

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		Nov 2013 is expected to improve control over Belize fleet.	CMM 2007-01 14 (vii) <i>Not Implemented</i>	<p>submission of its catches to Belize and complied with all relevant RFMO reporting requirements as well mitigation measures through legally binding fishing vessel circulars. Additionally, transhipments that were carried out by this vessel was fully observed by IATTC ROP.</p> <p>07-01 14(vii): Having that Belize was unable to implement its National Observer program due to unforeseen circumstances relating to the appropriation of business by the Government in 2013, Belize has now been able to finalize its agreement with recognized company Capricorn Fisheries Monitoring CC in April 2014. By the utilization of their services, Belize will be able to acquire observers to be placed on its vessels as well receive proper training to selected persons qualified for the appointment as Belize National Observers. Through this accomplishment, Belize will be able to comply with the Guidelines for the Rights and Responsibilities of Vessel Operators, Captains and Crew through proper monitoring. Belize has realized through an extensive evaluation conducted in late 2013, of the areas</p>	

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			<p>CMM 2007-01 Attachment K Annex C 06 <i>Observer coverage</i></p> <p>CMM 2009-01 09 <i>Report inconsistent</i> CMM 2009-06 13 <i>Not Implemented and Observer Coverage</i></p>	<p>where there are constraints/limitation, areas where there is a need to implement the necessary legislation to properly govern this fleet, programs that needed to be implemented and so on to effectively comply with all the requirements of the RFMO. In 2013, Belize was unfortunately unable to meet some of these requirements which resulted in the decision to not renew the cooperating non-member status with WCPFC until all necessary requirements to strengthen the monitoring, control and surveillance of its fleet have been fully implemented.</p>	
	<p>v) Provision of Scientific Data and AR Pt 1</p> <p>CMM 09-06 11: 2012</p> <p>SciData 01: 2012</p> <p>SciData 02: 2012</p> <p>SciData 03: 2012</p> <p>SciData 04: 2012</p>		<p>(vi) Provision of Scientific Data</p> <p>SciData 01 <i>Data gap</i></p> <p>SciData 03 <i>Data gap</i></p> <p>SciData 04 <i>Data Gap</i></p> <p>SciData 05 <i>Data Gap</i></p> <p>(vii) Submission of AR Part 2 and compliance with other reporting deadlines</p>	<p>Scidata 05: Yes, Belize had provided 2013 Size data to the Secretariat in April 2014. Please find attached again.</p>	

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	SciData 05: 2012		<i>12 issues of Reporting Gaps and Late Submissions - see list below</i>		
	15 issues	3 replies	32 potential issues	Some replies	

(
 vii) Submission of AR Part 2 and compliance with other reporting deadlines

Section vii)	BZ
CMM 2005-03 03	
CMM 2006-08 30	
CMM 2006-08 33 and 36	
CMM 2006-08 40	
CMM 2006-08 41	
CMM 2007-01 Attachment K Annex C 06	Reporting Gap
CMM 2007-04 04	
CMM 2007-04 09	Late submission
CMM 2008-03 02	Late submission
CMM 2008-03 07c	
CMM 2008-04 05	
CMM 2009-01 07	
CMM 2009-01 09	
CMM 2009-02 12	

CMM 2009-03 08	Late submission
CMM 2009-06 11	Reporting Gap
CMM 2009-06 35 a (iii)	Reporting Gap
CMM 2009-06 35 a (iv)	Reporting gap
CMM 2010-01 08	
CMM 2010-02 02	
CMM 2010-07 12	
CMM 2011-02 9a VMS SSPs 5.4 - 5.5	
CMM 2011-02 9a VMS SSPs 7.2.2	
CMM 2011-03 05	
CMM 2011-04 03	Reporting Gap
CMM 2012-01 11	
CMM 2012-01 14	
CMM 2012-01 27	Late submission
CMM 2012-01 28	

CMM 2012-01 Att B	
CMM 2012-01 Att D 03	
CMM 2012-05 03	
CMM 2012-06 04	
CMM 2013-02 22	
Convention Article 23 (5)	
Convention Article 23 2(b)	Late submission
Convention Article 23 2 (c)	
Convention Article 25 (2)	
Convention Article 25 (8)	
Data RaP MCS 44	
SciData 01	
SciData 02	
SciData 03	Data Gap
SciData 04	
SciData 05	Late submission

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CANADA	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme		
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines		
	0 issues		0 potential issue	Replies received	

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CHINA	<p>(i) Catch and effort limits for target species CMM 08-01 31: 2010, 2011, 2012</p> <p>CMM 10-01 05: 2012</p> <p>CMM 10-05 01: 2010, 2011, 2012</p>	<p>Replies in AR Pt2 08-01 31: fishing effort and catch strictly monitored by reporting on monthly basis, so that authority can ensure compliance with CMM 10-01 05: NP MLS is a bycatch of longline fleet, but China has decided catch limit shall be observed. Reported catch data will be submitted separately for NP MLS soon 10-05 01: no new licenses for SP ALB issue for S20S allowed, enforced through High seas fishing permits, and monitored by VMS</p> <p><i>Secretariat note: China advises CMM reporting submission was made on 1 Nov 2013: but to date WCPFC does not appear to have received this information directly</i></p>	<p>(i) Catch and effort limits for target species CMM 2010-05 01 <i>Reporting Gap</i></p> <p>CMM 2010-07 09 <i>reporting gap</i></p>	<p>10-05: No additional fishing permit for SALB in areas south of S20 was issued for fishing vessels after 2005. Fishing permit thereafter for other vessels fishing for SALB is limited in the area between 0-20S since this measure entered into force according to the regulation of the government. China reported to the Commission after TCC 9 its historical record of fishing vessel fishing for such stock in area south of S20, and the number of vessels now fishing for SALB in that area is not beyond the limit reported to the Commission. The catch for ALB reported in Annual Report (Pt 1) includes NALB and SALB caught in the whole Convention Area, and it is not referring to SALB only. reply in dCMR (section ii): and the number of vessels actively fishing for SALB in 2013 was below the historical limit, which is 70 vessels, with catch of 5641 MT.</p> <p>10-07: On Feb 2, 2013, the Ministry of Agriculture issued official document on compliance on tuna conservation measures adopted by each t-RFMO to the local government at provincial level, each tuna fishing</p>	

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				<p>company in China, the Shanghai Ocean University and China Overseas Fisheries Association. In the official document, nine (9) major issues, including sharks, are emphasized. Regarding sharks, the Document says:</p> <p>(1) except those prohibited by relevant CMMs adopted by t-RFMOs, all the sharks caught by tuna fishing vessels must be fully utilized. The 5% ratio between the shark fin and the carcass on board the vessel must be strictly observed before the first landing point.</p> <p>(2) the following shark species are not allowed to be retained on board, landed or transhiped:</p> <p>For those from the Atlantic Ocean: thresher sharks, oceanic whitetip sharks, hammerhead sharks and silky sharks;</p> <p>For those from the Indian Ocean: thresher sharks</p> <p>For those from the Pacific Ocean: oceanic whitetip sharks.</p> <p>(3) The species, number and weight of sharks incidentally caught during operation must be recorded precisely in the logbook.</p> <p>The document above has been</p>	

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				<p>communicated to each tuna fishing company both by the Government and the Association to ensure receipt by each company.</p> <p>Port samplings in Chinese ports are made at random by scientific staff under National Observer Program. One of the tasks under the sampling is to verify the 5% ratio on shark fin and the carcass. Any violations on the ratio, if found, would be reported to the Government for penalty on the vessel owner/master.</p> <p>Serious penalty in violation on the requirement would lead to severe economic loss on the vessel owner/operator. For examples for such penalty, please refer to the case by Rong Da Yang 7 in Nov. 2012. It was communicated to the Commission that the vessel/vessel owner was imposed following penalty:</p> <ol style="list-style-type: none"> 1. the highseas fishing permit of the vessel is suspended from January 1 to June 30, 2013, during which period the vessel shall be removed from WCPFC Record of Fishing Vessels. The vessel must stop fishing and return port of registry (in north part of China) for investigation; 	

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				<p>2. the local provincial government, pursuant to domestic laws and regulations as appropriate, shall investigate in detail the business operation of the fishing company and the violation by vessel officers, and impose sanctions as necessary. The outcome of the investigation as well as the sanctions to be taken shall be made known to the Ministry; and</p> <p>3. the local provincial government shall carry out further comprehensive training on each fishing company on tuna fishery with an aim to prevent similar non-compliance in the future. National observers are also sent on vessel to monitor the fishing operation by the fishing vessel. The compliance by the vessel on requirement relating to sharks is one of the tasks of the observers. The observers who assigned to work on board vessels are well-trained to supervise and report if any violation on this regulation happens. Violation on the requirement is punished by the Government.</p>	
	<p>(ii) catch and effort reporting for target species; CMM 05-03 03: 2011,</p>	<p>Replies in AR Pt2 05-03 03: limit of 10 vessels imposed on vessels fishing for NP Albacore, no more licenses</p>	<p>(ii) Catch and effort reporting for target species CMM 2005-03 03</p>	<p>05-03: China will improve its data collecting system and will separate the NALB figure in its annual report to reflect application area, where</p>	

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	<p>2012</p> <p>CMM 10-02 02: 2012</p>	<p>unless permitted by status of resource. Otherwise NP albacore is bycatch for longline fishing fleet. Intends to report 6 monthly</p> <p>10-02 02: CMM re-circulated to each fishing company with cases of failure to report entry/exit – vessels with more than 2 non-reporting cases are put onto negative list by China Overseas Fisheries Association.</p>	<p> <i>Reporting Gap</i> CMM 2005-03 04 <i>Reporting Gap</i></p> <p>CMM 2009-03 11 <i>Incorrect reporting</i></p>	<p>possible, starting from 2015, as we will be using a new logbook format step by step for all longline vessel, hopefully by the end of 2014. TCC 9 held in Sept 2013 pointed out that China did not comply with the six months report on NALB, unfortunately, we did not notice as well that we should have reported six months catch on NALB for the first half of 2013. However, China reported such data to the Commission for the first half of 2014, and we will continue to report such data in line with the reporting requirement.</p> <p>China will improve its data collecting system and will separate the NALB figure in its annual report to reflect application area, where possible, starting from 2015, as we will be using a new logbook format step by step for all longline vessel, hopefully by the end of 2014.</p> <p>09-03 08: The catch by Chinese vessels on SW in WCPFC are all bycatch, we have no target fishery for the stock in the Convention Area. The data of 1840 MT of SW in table 2 in Annual Report (Pt 1) are thus for</p>	

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			<p>CMM 2009-06 11 <i>Reporting Gap</i> CMM 2009-06 35 a (iii) <i>Reporting Gap</i> CMM 2009-06 35 a (iv) <i>Reporting Gap</i></p>	<p>bycatch. We will make it clear in future annual report (Pt 1) that the SW is a bycatch species by Chinese fishing vessels, and that we have no such target fishery in WCPFC. <i>Secretariat note: CMM says Report is to be included in Annual Report Part 1 - suggest a revision is issued of AR Pt 1 2014 that attaches the required report (report was provided as a reply to dCMR)</i></p> <p>09-06: China conducts transshipment on the Convention Area according to the requirement of the Commission and reported each year to the Commission the data for previous year as required by Annex II of CMM 2009-06, but we did not notice that such information shall be included in AR Pt 1. We will do so in 2015 when submitting AR Pt 1 for 2014. China did not know the discrepancy between the number of transshipment we reported and that recorded by the Secretariat. We will be happy to receive such record by the Secretariat and crosscheck the record with the one we have, and will report the discrepancy once finished. It is required by the Government that</p>	

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			<p>CMM 2010-02 02 Reporting Gap</p>	<p>prior notification by the vessel owner must be communicated to the fisheries authority 72 hours before the transshipment and declaration after the transshipment so that the authority could forward such information to the Secretariat in a timely manner.</p> <p><i>Secretariat note: the supporting documentations has been posted on the CCM portal, and CCMs were advise, on the last week of July. CMM 2009-06 11 requires CCMs to report all transshipment activities in accordance with the guideline in Annex II</i></p> <p>10-02: Regarding the count inconsistency between manual Entry/Exit report to WCPFC and WCPFC VMS data, we will appreciate if the Secretariat could send us the record by your side, and we will be crosschecking the information with ours and feed back the outcome. As a general rule, vessels that enter/exit the EHSP must comply with the reporting requirement, this is one of the requirement that officially issued by the Ministry of Agriculture of China to each vessel owner/company</p>	

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				<p>in early Feb, 2013. In the national-wide tuna annual conference for 2013, we communicated to the conference each non-compliance by the vessel owners on entry/exit reporting requirement, and those vessels with two or more non-compliance on the requirement are listed on the negative list established by China Overseas Fisheries Association, an authorized non-governmental organization by Chinese fisheries authority. The Association will be taking close look on them on their compliance. Although the negative list is not established by the Government, we are doing so to enhance compliance on CMMs adopted by the Commission.</p> <p>For the data inconsistency found from the Entry/Exit report and logsheet as communicated to China by French boarding and inspection officers, China had provided formal feedback to the Secretariat and French colleagues on March 10th, 2014 and we were advised receipt of the report on March 14th, 2014.</p> <p><i>Secretariat note: the supporting documentations has been posted on</i></p>	

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			CMM 2010-05 04 <i>Reporting Gap</i>	<p><i>the CCM portal, and CCMs were initially advise, on the last week of July.</i></p> <p>10-05: We will separate such data to reflect the area and submit such data to the Commission before TCC 10. We will be doing so as well when we submit AR Pt 1 for 2014 in 2015. And the number of vessels actively fishing for SALB in 2013 was below the historical limit, which is 70 vessels, with catch of 5641 MT.</p>	
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species CMM 2007-04 09 <i>Reporting Gap</i>	<p>07-04: No report has been received from Chinese vessels on incidental catch on seabirds because few of them operate in the area applicable to the Measure. Observers on such vessels confirmed that no incidental catch on seabirds happened during their stay on the vessel. That is why we did not report such information to the Commission in AR Pt 1. However, we will comply with the reporting requirement in the AR Pt 1 when we submit the report for 2014 in 2015, no matter if we receive any report on seabird incidental catch. Secretariat note: the reporting provisions on interactions with seabirds including bycatch apply</p>	

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			<p>CMM 2011-03 05 <i>Incorrect reporting</i></p>	<p>throughout the Convention Area</p> <p>11-03: We received one report from vessel owner in 2013 on incidental catch of the animal (see below), and we forgot including the information in AR Pt 1. We will pay attention to the requirement in the future when submitting annual report (Pt 1) for 2014 in 2015.</p> <p>And we also noticed that, according to the observer report, some such animals are caught incidentally by Chinese purse seiners, we will urge the vessel/vessel owner to report to the flag state timely any incidental catch of the animal, and will include such information in the AR Pt 1 in the future.</p> <p>And besides, we would be grateful to receive observer report as soon as possible after completion of the trip, so that the flag state could have the opportunity to have the information in a timely manner on compliance by their vessels, and take actions as appropriate.</p> <p>The information received from F/V Zhong Tai 2 is as follows: Accidental Catch of Sea Mammals by F/V Zhong Tai 2</p>	

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				<p>It was at 4:23 am local time, Nov. 17, 2013, when the visibility is still very poor due to darkness, F/V Zhong Tai 2 set a net on a FAD at 03-27.181 S and 169-22.557 E in Kiribati waters. When the vessel was hauling its net at around 5:30 am, five common bottle-nose dolphins (DBO) were spotted alive within the net ring, so the net was cut open and the 5 dolphins were released alive. However ten more dolphins and seven silky sharks were later found lifeless together with some tuna fish when boarded, though they were sent back to the sea together at 03-29.024 S and 169-18.304 E, we think that they might be mixed up with tuna fish deep in the water and could hardly be seen. The situation was duly and timely recorded and observer notified.</p> <p>We feel regretted on the above accidental case, and reiterate our commitment to strictly comply with the WCPFC Conservation Measures. <i>Secretariat note: CMM says Report is to be included in Annual Report Part 1 - to fully meet this reporting requirement we suggest a revision is issued of AR Pt 1 2014 that attaches</i></p>	

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			CMM 2011-04 03 <i>Incorrect reporting</i>	<p><i>the required report</i></p> <p>11-04: Based the calculation by China National Observer, the estimated number of oceanic whitetip shark captured by China LL in 2013 was 3659 individuals (120.7 MT). The estimated alive and dead ones were 2416 (79.7MT) and 1243 individuals (41MT) respectively.</p> <p><i>Secretariat note: CMM says Report is to be included in Annual Report Part 1 - to fully meet this reporting requirement we suggest a revision is issued of AR Pt 1 2014 that attaches the required report</i></p>	
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs CMM 2012-01 10 <i>Alleged incident/s of possible non-compliance</i> CMM 2012-01 11 <i>Alleged incident/s of possible non-compliance</i>	<p>12-01: China selected 4 months FAD closure under the CMM, and such closure requirement was communicated to each tuna purse seine vessel owner well before the commencement of the closure. We did not receive information on possible violation on the requirement both from vessel owners and the observer.</p> <p>We will be happy if such information on possible violation be forwarded soon to China, as the flag state, and we will conduct internal investigation and report back to the Commission. It is also suggested that the observer</p>	

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				<p>report be provided to the flag state, either directly by the CCM of the observer or through the Secretariat, soon after each trip, so that the flag state may have the opportunity to know the compliance status of the purse seine vessel and conduct investigation on possible violations, if any, indicated in the report.</p> <p><i>Secretariat note: the supporting documentations has been posted on the CCM portal, and CCMs were initially advise, on the last week of July.</i></p>	
	<p>iv) Observer and VMS coverage</p> <p>CMM 07-01 AttK Annex C06: 2012</p>	<p>Reply in AR Pt 2: 07-01</p> <p>China sent 11 observers to the vessels in the region, which means 3 more were sent compared with that in 2012.</p> <p>National observer program is being strengthened bearing in mind that more observers are needed to meet the 5% coverage. The fisheries authority of China is now applying for more budget from the Government on ROP program, and, if approved by the Government, it will be help to train and deploy more</p>	<p>(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme</p> <p>CMM 2006-08 41 <i>Reporting gap</i></p> <p>CMM 2007-01 14 (vii) <i>Alleged incident of possible non-compliance</i></p>	<p>06-08: China initiated internal investigation on any violations communicated by other HSB&I CCMs, and the outcome of such investigation was provided soon after to the authority of inspection vessel and the Secretariat as well. The outcome of the investigation normally includes the proceedings and the sanctions/punishment against the vessel/vessel owner. We will improve out work to submit consolidated report reflecting the whole information on HSB&I activity that took place in the previous year.</p> <p>07-01 14(vii): China will be paying</p>	

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		<p>observer to the vessels. Before the budget is approved, we are considering to request the vessel owners to co-fund the national observer program to possibly send more observers as expected.</p>	<p>CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i></p> <p>CMM 2007-01 Attachment K</p>	<p>attention to this kind of issues and emphasizing the Guidelines to vessel operators to enhance related management. We will be happy if such information on possible violation be forwarded soon to China, as the flag state, and we will conduct internal investigation and report back to the Commission. It is also suggested that the observer report be provided to the flag state, either directly by the CCM of the observer or through the Secretariat, soon after each trip, so that the flag state may have the opportunity to know the compliance status of the purse seine vessel and conduct investigation on possible violations, if any, indicated in the report.</p> <p><i>Secretariat note: the supporting documentations has been posted on the CCM portal, and CCMs were initially advise, on the last week of July.</i></p> <p>07-01 data: We will be providing observer data on observer trips in detail.</p> <p>07-01 LL obs coverage: The fisheries authority of China is currently</p>	

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			<p>Annex C 06 <i>Observer Coverage</i></p> <p>CMM 2011-02 9a <i>Report inconsistent</i></p>	<p>improving the observer management through applying more budget from central Government for training more observer and deployment, with an aim to achieve 5% coverage.</p> <p>11-02 9a: 1. The 35 PS vessels in the “fished” list include 20 PS vessels that operate only in Chinese waters and 15 PS vessels that operate in PIC waters. That is why there is a discrepancy of 20 vessels between the “fished” and the VMS detected vessel (15 PS vessels). 2. Grateful if the Secretariat could advise the list of 401 LL vessels and 8 carrier vessels, we will crosscheck and report back the outcome. <i>Secretariat note: supporting information were posted to CCM portals and CCMs were intially advised on last week of July. Based on CCMs point at 1., a revision of Fished and did not fish report covering 2013 activities (CMM 2013-10 09) might be needed: the meaning of "Fished" = fished in the convention area beyond its area of national jurisdiction.</i></p>	

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			CMM 2011-02 9a VMS SSPs 2.8 <i>Reporting Gap</i>	11-02 VTAFs: The Secretariat is kindly requested to provide China the list of 43 vessels for crosscheck by Chinese fisheries authority, and we will report back the outcome of the investigation. (We did not find the supporting file as indicated). <i>Secretariat note: On 28 Aug, supporting documentation was posted to the CCM portal. On 2 Sept, Secretariat posted a revised file to the CCM portal listing details of the 30 vessels that appear to have no VTAF info.</i>	
	v) Provision of Scientific Data and AR Pt 1 SciData 03: 2012 SciData 04: 2012	Reply in AR Pt 2: SciData: Catch data are submitted to the Commission before April 30. We are now applying budget from the Government on e-logbook. If the budget is approved, China will begin e-logbook at appropriate time. China is now improving data collecting and reporting system by recruiting more staff in the data collecting and processing team. The vessels owners, China Overseas Fisheries Association and Shanghai Ocean University	(vi) Provision of Scientific Data SciData 01 <i>Data gap</i> SciData 02 <i>Data gap</i>	Scidata01: We are improving our data collecting system by introducing new logbook for tuna fishing hopefully by the end of 2014 to include more species be added and collected by the logbook. We will try to provide shark catch by all key species next year. Regarding the discard data, we will be improving our work and meet the requirement next year. Scidata02: The number of active vessel was submitted by: (1) "fished/not fished" table submitted to the Commission before the deadline of the table; and (2)	

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		<p>have co-funded the recruitment. Budget from the Government may be possible to support more data staff, as fisheries authority is applying such budget for technical supporting team at Shanghai Ocean University.</p>	<p>SciData 03 <i>Data Gap</i></p> <p>SciData 04 <i>Data Gap</i></p>	<p>including the number in the AR Pt 1, which was submitted on July 24th after the deadline.</p> <p>Scidata03: We have been collecting such data. We are now applying budget from the government on implementation on e-logbook. If the budget is approved, China will begin e-logbook.</p> <p>Scidata04: LL: 1. Regarding *The 5°x5° /month Longline catch and effort data are not stratified by “Hooks between Floats” We will be using new format for logbook, where the data “Hooks between Floats” could be collected from the fishing vessel, and will provide such figure hopefully next year. 2. regarding “Catches of KEY shark species have been provided by (i) not all KEY SPECIES COVERED, and/or (ii) COVERAGE of shark species catches is considered LOW” New logbook that covers more species will be used, and such gap may be corrected next year. 3. regarding “Annual Catch and Effort</p>	

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				<p>estimates by areas of national jurisdiction (EEZs) and High Seas have NOT BEEN PROVIDED”</p> <p>We are recruiting more staff on data with the budget from Shanghai Ocean University and the Government to improve our work. We will try to provide such data in 2015</p> <p>4. regarding *Vessel numbers by YEAR, MONTH and AREA used to filter public domain data have NOT BEEN PROVIDED</p> <p>We will be improving our work in the future.</p> <p>for PS:</p> <p>1. We will improve the work on PS data in the future and try to provide the data that need to be improved.</p> <p>2. Regarding *Annual Catch and Effort estimates by areas of national jurisdiction (EEZs) and High Seas have NOT BEEN PROVIDED: All the catch by PS vessels are from the EEZs of coastal states in the Convention Area, no catch made on high seas.</p> <p>3. regarding “estimation of bigeye in the reported yellofin-plus-bigeye catch”, China provided PS catch data including BET, YFT and SKJ.</p>	

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			SciData 05 <i>Data Gap</i> (vii) Submission of AR Part 2 and compliance with other reporting deadlines <i>16 issues of Reporting Gaps and Late Submissions - see list below</i>	Scidata05: We will be providing such data before the Commission Meeting this year.	
	13 issues	Replies received	42 potential issues	Replies received	

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(vii) Submission of AR Part 2 and compliance with other reporting deadlines

Section vii)	CN
CMM 2005-03 03	Reporting Gap
CMM 2006-08 30	
CMM 2006-08 33 and 36	
CMM 2006-08 40	
CMM 2006-08 41	
CMM 2007-01 Attachment K Annex C 06	Late Submission
CMM 2007-04 04	
CMM 2007-04 09	Reporting Gap
CMM 2008-03 02	
CMM 2008-03 07c	
CMM 2008-04 05	
CMM 2009-01 07	
CMM 2009-01 09	
CMM 2009-02 12	
CMM 2009-03 08	Late submission

CMM 2009-06 11	Reporting Gap
CMM 2009-06 35 a (iii)	Reporting Gap and Late Submission
CMM 2009-06 35 a (iv)	Reporting Gap and Late Submission
CMM 2010-01 08	Late submission
CMM 2010-02 02	Reporting Gap
CMM 2010-07 12	
CMM 2011-02 9a VMS SSPs 5.4 - 5.5	
CMM 2011-02 9a VMS SSPs 7.2.2	
CMM 2011-03 05	Late Submission
CMM 2011-04 03	Late Submission
CMM 2012-01 11	
CMM 2012-01 14	
CMM 2012-01 27	Late submission

CMM 2012-01 28	
CMM 2012-01 Att B	
CMM 2012-01 Att D 03	
CMM 2012-05 03	
CMM 2012-06 04	
CMM 2013-02 22	
Convention Article 23 (5)	
Convention Article 23 2 (b)	Late Submission
Convention Article 23 2 (c)	
Convention Article 25 (2)	
Convention Article 25 (8)	
Data RaP MCS 44	
SciData 01	
SciData 02	Data Gap
SciData 03	Data Gap
SciData 04	
SciData 05	Data Gap

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
COOK ISLANDS	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2006-08 40 <i>Reporting Gap</i> CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i>	<p>06-08: All issues were highlighted in the boarding reports that was submitted to WCPFC</p> <p>07-01: Attached is the Observer Register which outlines the observer trips that were undertaken in 2013. A copy of this has been forwarded to SPC. (Secretariats note: the attachment was a list of 29 trips for 2013 showing trip #, vessel name, observer name, dept port, date, ret port, return date, report comp, obs d/brief, sea days)</p> <p>According to the regional logbook data, most of the trips undertaken by CK-flagged vessels in 2013 occurred in the CK EEZ, so would not be considered in the calculation of coverage for ROP data in any event.</p>	

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			CMM 2007-01 Attachment K Annex C 06 <i>Observer coverage</i>	<p>07-01 Annex C 06: The Part 1 and Part 2 report is because two different metrics were used to calculate coverage. The Part 2 reported coverage using vessel sea days versus observer days, while the Part 1 report used trips.</p> <p>According to the additional information provided to SPC (observer register), SPC have indicated that the coverage of the observer data collected on CK vessels in 2013 represents 6.2% (based on trips) and 13% (based on logbook days at sea), both of which are above the required coverage for the longline fishery of 5%.</p>	
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data SciData 03 <i>Data Gap</i>	<p>See attached spreadsheet that advises on low shark species coverage. Outstanding observer trip reports from 2013 have been scanned and sent to SPC, which will provide better coverage of key shark species estimates.</p> <p>Most vessels have complied with using the new logsheets that include key shark species identification but there are still a few that did not in 2013. All vessels are now using the new SPC/FFA Expanded Version LL Logsheets in 2014.</p>	

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			<p>(vii) Submission of AR Part 2 and compliance with other reporting deadlines CMM 2006-08 30 <i>Late submission</i> CMM 2009-01 09 <i>late submission</i></p>	<p>06-08: Chu Huai's 368 was sent to the flag state on the 24th September 2013. The remaining 3 boarding reports was sent on the 8th August 2014 with an apology letter attached.</p> <p>09-01: This fish/did not fish was prepared in February 2014, but unfortunately was not sent by mistake. This was sent on the 1st July</p>	
	0 issues		5 issues	Replies received	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
ECUADOR	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species CMM 2010-07 07 <i>Reporting Gap</i> CMM 2010-07 09 <i>Reporting Gap</i> CMM 2012-01 15 & Att D 10 <i>Exceeds Limit</i>		
	(ii) catch and effort reporting for target species; CMM 2009-01 09: 2012	09-01: Fished and did not fished reports were sent yesterday	(ii) Catch and effort reporting for target species CMM 2009-06 11 <i>Incorrect reporting</i> CMM 2012-01 11 <i>Reporting Gap</i> CMM 2012-01 Att B <i>Reporting Gap</i>		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species CMM 2008-03 02 <i>Reporting Gap</i> CMM 2011-03 05 <i>Reporting Gap</i> CMM 2011-04 03 <i>Reporting Gap</i>		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage CMM 2011-02 09a-VMS SSPs 7.2.4: 2012	11-02: We have already asked for MTU inspections for 2013 which will be forwarded to you on the next days.	(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 14 (vii) <i>Alleged Incident of possible non-compliance</i> CMM 2009-01 02 <i>Reporting Gap</i> CMM 2009-01 17 <i>Reporting Gap</i>		

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	v) Provision of Scientific Data and AR Pt 1 CMM 2009-06 11: 2012 SciData03: 2012 SciData05: 2012	09-06: Transshipments for year 2013 information will be sent tomorrow	(vi) Provision of Scientific Data SciData 05 <i>Data Gap</i>		
		Scidata03: Operational data and effort data was submitted with Part 1 report. After this, logsheets were also sent. Scidata05: Size composition data in the detailed level asked by SCI is not possible for us. Composition data are classified by weight.	(vii) Submission of AR Part 2 and compliance with other reporting deadlines CMM 2008-03 02 <i>Reporting Gap</i> CMM 2011-02 9a VMS SSPs 7.2.2 <i>Late submission</i> CMM 2011-03 05 <i>Reporting Gap</i> CMM 2011-04 03 <i>Reporting Gap</i> CMM 2012-01 11 <i>Reporting Gap</i> CMM 2012-01 Att B <i>Reporting Gap</i> Convention Article 23 2 (b) <i>Reporting Gap</i> SciData 05 <i>Data Gap</i>		
	5 issues	5 replies	21 potential issues	0 replies	

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EL SALVADOR	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species CMM 2010-07 09 <i>Interpretation</i>	10-07: El Salvador laws prohibit this practice (Annex 1), so the sharks taken incidentally in this fishery are kept full and verified during the discharges by inspectors of our country (Annex 2). Also, the reports the observer on board and the fishing logs sheet are checked to make sure that shark finning is not practiced on vessels.	
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species CMM 2009-06 11 <i>Reporting Gap</i>	09-06: The information required is attached in this opportunity. <i>Secretariat note: not all Annex II required information was reported in attachment</i>	
	n/a		(iii) Reporting for seabirds and other non-target species CMM 2008-03 02 <i>Reporting Gap</i>	08-03: The compliance of this measure is checked by observer on board and the information is provided directly to the Commission. Also, our country has been implementing measures to protect sea turtles in their domestic legislation, promoting the use of hookers in both artisanal and industrial longline vessels (Annex 3). El Salvador also implements measures to sea turtle conservation in other fisheries such as trawling (Annex 4). Secretariat note: <i>some brochure information provided which may be for vessels to guide on use of mitigation measures - the information was not provided in English nor was it specific to purse seine fishing method. – what is</i>	

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			CMM 2011-04 03 <i>Interpretation</i>	<p><i>expected is additional information on the progress of implementation of the FAO Guidelines and this measure, including information collected on interactions with sea turtles</i></p> <p>11-04: Kindly express our apologies for this mistake, we reviewed the database and found that your observation is correct. So far El Salvador has not received any report from the observer program about the number of releases of Oceanic whitetip shark and the status upon release. We want to emphasize that our country respects the resolutions issued by the Commission and promotes responsible fishing practices and sustainable use of marine resources.</p>	
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme		

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
 Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data SciData 05 <i>Data Gap</i>	SciData 05: We provided that information into the Part 2 report (Annex 6), please let us know what kind of additional information you need.	
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines CMM 2008-03 02 <i>Late Submission</i> Convention Article 23 2 (b) <i>Late Submission</i> SciData 05 <i>Late Submission</i>		
	0 issues		8 potential issues	Replies received	

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Prepared by the WCPFC Secretariat_as at 5 September 2014

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EUROPEAN UNION	<p>(i) Catch and effort limits for target species CMM 2008-01 10: 2011, 2012</p>	<p>Reply in AR Pt 2: 08-01 10: Implemented monitoring procedure for fishing days in high seas with Spanish authorities for 2014: 70% of total fishing days = the Fishing Administration will notify this to the industry operating on the area, with the request of the prevision of activities. 80% of days, the Fishing Administration will notify to the industry involved, with the mention to be ready to abandon the area in short notice (in order to properly give time to the vessels to finalise their fishing operations). When 90% is reached, official notification of the closure will be notified.</p>	<p>(i) Catch and effort limits for target species</p>		
	<p>(ii) catch and effort reporting for target species;</p>		<p>(ii) Catch and effort reporting for target species CMM 2009-03 08 <i>Incorrect reporting</i></p>	<p>09-03: Based on the scientific data provided earlier for EU-ES the total SWO catches were: 1780 t. 5 EU-ES vessels have reported catches of SWO in the area. According to the EU legislation (EU 40/2013) the maximum number of vessels</p>	

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			<p>CMM 2009-06 11 <i>Incorrect reporting and Reporting Gap</i></p>	<p>targeting SWO in this area is 14. <i>Secretariat note: CMM says Report is to be included in Annual Report Part 1 - suggest a revision is issued of AR Pt 1 2014 that attaches the required report (report was provided as a reply to dCMR)</i></p> <p>09-06 11: AR Pt was submitted on 7 July 2014 (Brussels time). The report from EU-ES is now attached. Please note that the report covers activities carried out within the Convention Area, but catches harvested both for WCPFC and IATT. <i>Secretariat notes:</i> 1. <i>Transshipment activities were reported for EU-Spain LL and PS. Please clarify if the EU-portugal LL fleets transship in port, at this stage we have noted this as an outstanding reporting gap.</i> 2. <i>the submission doesn't appear to fully meet what we expect to receive as a report of this sort, in terms of how other CCMs have interpreted Annex II</i></p>	

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 Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
			CMM 2012-01 28 <i>Reporting Gap</i>	<p><i>of CMM 2009-06 11.</i></p> <p><i>3. CMM 2009-06 says this report is to be included in Annual Report Part 1 (it was submitted as a reply to dCMR) - to fully meet this reporting requirement we suggest that EU issue a revision of AR Pt 1 2014 that attaches the required report</i></p> <p>12-01 YFT: We understand that this can not be fixed anymore. secretariat note: CCM can consider providing a late report confirming the catches of YFT by its fleets from 1 Jan - Oct 31 2013. <i>secretariat note: CCM can consider providing a late report confirming the catches of YFT by its fleets from 1 Jan - Oct 31 2013.</i></p>	
	<i>n/a</i>		<p>(iii) Reporting for seabirds and other non-target species CMM 2007-04 09 <i>Reporting Gap</i></p> <p>CMM 2011-03 05 <i>Interpretation</i></p>	<p>07-04: Action taken early this year by the Spanish authorities should allow a significant improvement starting from the fishing season 2014.</p> <p>11-03: No interactions with cetaceans have been reported</p>	

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			CMM 2011-04 03 <i>Reporting Gap</i>	by the EU-ES PSs in the AR pt I. We do not have access to the information provided by the observers. Their reports could help in validating the information already provided. 11-04: This information is not available at this moment for the EU LLs.	
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage CMM 2007-01 Att. K Ann. C06: 2012	Reply in AR Pt 2: 07-01 Instructions have been given to the Spanish long line fleet by means of the new legislation into force (ORDEN AAA/ 658/2014, article 17): fishing operators concerned are obliged to send their plan on how they will implement this obligation, based on the recommendations of the WCPFC. The Spanish Fishing Administration will thoroughly examine these	(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 Attachment K Annex C 06 <i>Observer coverage</i>	07-01: We are currently exploring options for improving observers coverage on EU LLs. Recent amendments in the ES legislation should contribute also in improving these aspects.	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
	<p>v) Provision of Scientific Data and AR Pt 1 SciData03: 2012 SciData04: 2012 SciData05: 2012</p>	<p>plans before validation.</p> <p>Replies in AR Pt 2: SciData03 & SciData04: The Spanish authorities are currently looking at technical solutions in relation to the ERS used by the fishing vessels. Further instructions on how to collect the information required will be given to the long line fleet, according to the possibilities of the ERS. SciData05: In accordance with the new legislation recently adopted (see above), these data should be provided by the observers on-board the fishing vessels.</p>	<p>(vi) Provision of Scientific Data SciData 01 <i>Data gap</i></p> <p>SciData 03 <i>Data Gap</i></p> <p>SciData 04 <i>Data Gap</i></p> <p>SciData 05 <i>Data Gap</i></p>	<p>Scidata01: We are currently explore options for improving the reporting of discards. Agregated data have been provided in AR part I for the EU-ES PSs.</p> <p>Scidata03: It is acknowleged that improvements are required and we are currently exploring options for addressing these issues.</p> <p>Scidata04: Unfortunately for the EU LLs it will be difficult to find this information for 2013. Please note that according to the logbook the EU-PT vessel has not operated East of 150°W.</p> <p>Scidata05: For EU-PSs the data should be available by the observers reports. As mentioned earlier, we do not have access to this information. For EU-PT LL, it is unlikely that this information is provided in 2013. For EU-ES LLs investigation is on-going that could result to the submission</p>	

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				of some updated information shortly.	
			<p>(vii) Submission of AR Part 2 and compliance with other reporting deadlines</p> <p>CMM 2007-01 Attachment K Annex C 06 <i>Reporting Gap</i></p> <p>CMM 2009-03 08 <i>Late Submission</i></p> <p>CMM 2009-06 11 <i>Late submission</i></p> <p>CMM 2011-03 05 <i>Late Submission</i></p> <p>CMM 2012-01 11 <i>Late Submission</i></p> <p>CMM 2012-01 27 <i>Late Submission</i></p> <p>CMM 2012-01 28 <i>Reporting Gap</i></p> <p>Data RaP MCS 44 <i>Reporting Gap</i></p> <p>SciData 05 <i>Data Gap</i></p>	<p>07-01: Gap acknowledged. We are currently exploring options for addressing this issue.</p> <p>08-04: No action to be reported.</p> <p>12-01: We understand that there is nothing we can do about that. FYI, the relevant EU regulation (EU 297/2013) establishing the option on FADs limitation for the EU fleet operating in the WCPFC has been adopted on the 28 March 2013 (during the Easter holidays). The next working day was the 2nd April 2013. We understand that this does not exonerate us from the deadline but it provides an explanation.</p> <p>Data RaP: investigation still ongoing.</p>	
	6 issues	Replies received	20 potential issues	Replies provided	

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FEDERATED STATES OF MICRONESIA	(i) Catch and effort limits for target species CMM 10-07 07: 2012	Reply in AR Pt 2: action taken FSM has now include this requirement (5% fin to weight ratio) as part of the terms and condition of license. FSM still require assistance in developing NPOA-Sharks	(i) Catch and effort limits for target species CMM 2010-07 07 <i>Not Implemented</i> CMM 2010-07 09 <i>Not Implemented</i>	10-07: In 2013, Not implemented. FSM had to be applied in 2014 by inserting it as a Fishing license condition	
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species CMM 2009-06 11 <i>Reporting Gap</i> CMM 2012-01 Att B <i>Reporting Gap</i>	09-06: FSM do comply with the 2009-06 measure but under the Annex II of CMM 2009-06 requirement of providing Transshipment of FSMFlag vessels offloading beyond National Jurisdiction, our flag vessels, FSM does not have enough time to work with those FFA member countries that FSM flag vessels did transhipped in their ports in 2013, prior to the due date of submission of this report, but only got one domestic company that provided that report which is (Caroline Fisheries Corporation) attached is the record of offloading of CFC vessels outside FSM Port in 2013.	

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	n/a		<p>(iii) Reporting for seabirds and other non-target species CMM 2011-03 05 <i>Intepretation</i></p> <p>CMM 2011-04 03 <i>Intepretation</i></p>	<p>11-03: SPC reported that according to the current status of the regional observer database, there were no cetacean interactions with FSM purse seine vessels in 2013</p> <p>11-04: SPC also reported that The data gap NOTE 8 is related to the reporting of the KEY SHARK species by FSM longline fleet. FSM longline fleet are not yet using the expanded LONGLINE LOGSHEET . there is no provision for reporting each of the KEY shark species. according to SPC, Most of the member countries have yet to implement this form but hope it can be implemented for our vessels soon so our vessels can report the catches of each of the KEY SHARK species.</p>	
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage CMM 2007-01 Attachment K Annex C 06: 2012	Reply in AR Pt 2: FSM had taken action by incorporating the 5% observer coverage on FSM Flag long line vessels operating out of Majuro and Cook	(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 Attachment K Annex	07-01 For PURSE SEINE data, the WCPFC Secretariat receives and processes observer data collected by FSM NORMA on a regular basis and the coverage of NORMA observer data processed is very high. However, observers on-board FSM flagged purse	

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		Islands as a part of license conditions for 2014	C 04 <i>Data Gap</i> CMM 2007-01 Attachment K Annex C 06 <i>Observer Coverage</i>	seine vessels include observers from other national programmes, with some trips coordinated by the PNAO observer programme – there may therefore be some delays in the provision of observer data on-board FSM-flagged vessels from other programmes. For LONGLINE data, most of the FSM-flagged longline vessels fish in the waters of RMI and the Cook Islands and were covered by their national observer programmes. Total of 3 observer trips in RMI and 2 observer trips in Cook Islands. FSM did placed 3 RMI observers on FSM Flag long line vessels and 2 Cook Island observers on FSM Flag long line vessels operating out of their Ports in 2013. FSM needs guidance from WCPFC on calculating the 5% percentage of long line coverage on FSM Long line vessels <i>Secretariat note: SPC to provide confirmation on data submission and of 5% observer coverage in 2013 being achieved</i>	
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data SciData 03 <i>Data Gap</i> SciData 04 <i>Data Gap</i>	Scidata: All 2013 observer data is still being entered by SPC and shark data can be estimated raised data from observers reports.	

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			(vii) Submission of AR Part 2 and compliance with other reporting deadlines CMM 2007-01 Attachment K Annex C 06 <i>Reporting Gap</i> CMM 2012-01 Att B <i>Reporting Gap</i>	07-01: FSM needs guidance from WCPFC on calculating the 5% percentage of long line coverage on FSM Long line vessels	
	2 issues	2 replies	12 potential issues	Replies received	

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FIJI	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species CMM 2010-07 09 <i>Reporting Gap</i>	10-07: Fiji requires all its flagged fishing vessels to comply with the 5% fin to carcass ratio. Fiji only allows transshipment of sashimi fresh and chilled fish within its waters with 100% observer coverage. Similarly Fiji requires all vessels landing its catch into its designated ports to comply with the 5% fin to carcass ratio. All landings are monitored through landing permits and verified through supervision of landing for the collection of actual weights. All exports from Fiji are required to be accompanied with an export permit which is verified against actual weights prior to issuance.	
	(ii) catch and effort reporting for target species; CMM 2010-02 02: 2012	Reply in AR Pt 2: Fiji has rectified the non-compliance by ensuring that all Fiji fishing vessels comply to the identified measure. For 2013, this has become a non-issue for Fiji.	(ii) Catch and effort reporting for target species CMM 2010-02 02 <i>Reporting Gap</i>	10-02: According to our verifiable records; 5 FVs provided 21 entry and 21 exit reports for the Eastern High Seas Special Management Area in 2013. <i>Secretariat note: the supporting documentations has been posted on the CCM portal, and CCMs were initially advise, on the last week of July.</i>	

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	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species CMM 2011-04 03 <i>Interpretation</i>	11-04: As contained in Fiji's Annual Report 1 page 16 the oceanic whitetip shark estimated number of releases were; 15 OCS caught, 5 released dead and 10 released alive.	
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i>	07-01 data: Total no. of trips by Fiji fishing vessels = 1817 No. of trips in the EEZ and HS by Fiji fishing vessels = 1487 No. of observed trips in the EEZ and HS = 80 No. of trips in the AW and TS by Fiji fishing vessels = 330 No. of observed trips in the AW and TS = 62 Therefore, Fiji's ROP coverage is 5.4%. <i>Secretariat note: SPC to provide confirmation on data submission</i>	

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	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data SciData 03 <i>Data Gap</i> SciData 04 <i>Data Gap</i>	Scidata: Fiji acknowledges the continued use of the old version of the SPC/FFA logsheet by a fraction of its fleet. This is being improved by having them replaced by the latest version of the SPC/FFA logsheets. Noting the previous point and the fact that Fiji's observer coverage was above the required 5% benchmark, the catches of KEY shark species can be estimated from the observer data and the logsheet coverage of the Fiji fleet.	
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines CMM 2010-02 02 <i>Reporting Gap</i> CMM 2011-02 9a VMS SSPs 7.2.2 <i>Late Submission</i>	10-02: According to our verifiable records; 5 FVs provided 21 entry and 21 exit reports for the Eastern High Seas Special Management Area in 2013. 11-02: Fiji relies on the annual inspections by FFA approved technicians to carry out these vital checks to which FFA will be providing to the Commission. On 28 Aug, the Secretariat received 59 MTU certificates for FJ flag vessels from FFA (this was after the 1 July deadline)	
	1 issue	1 reply	8 potential issues	Replies received	

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FRANCE	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2006-08 30 <i>Reporting Gap</i> CMM 2006-08 40 <i>Reporting Gap</i>		
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines CMM 2006-08 40 <i>Reporting Gap</i> CMM 2008-04 05 <i>Reporting Gap</i> Convention Article 23 2 (c) <i>Reporting Gap</i> Convention Article 25 (8) <i>Reporting Gap</i> Data RaP MCS 44 <i>Reporting Gap</i>		
	0 issues		7 potential issues	0 replies	

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FRENCH POLYNESIA	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species CMM 2009-03 08 <i>Incorrect Reporting</i>	09-03: 65 vessels; 11 t <i>Secretariat note: CMM says Report is to be included in Annual Report Part 1 - suggest a revision is issued of AR Pt 1 2014 that attaches the required report (report was attach to AR Pt 2)</i>	
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme		

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	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data <i>SciData 03 Data Gap</i>	Scidata03: Logsheets for the longline fleet have been modified to enable the reporting of all key species of sharks but due to the lack of resources, the front end and data base have not been modified so those data were not processed in 2013. The new front end and data base systems will be developed in 2014.	
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines <i>CMM 2009-03 08 Late Submission</i>		
	0 issues		3 potential issues	Replies received	

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INDONESIA	<p>(i) Catch and effort limits for target species CMM 08-01 31: 2011, 2012 CMM 08-01 39: 2012 CMM 09-06 34: 2012</p>	<p>No reply in AR Pt 2</p>	<p>(i) Catch and effort limits for target species CMM 2005-03 02 <i>Reporting Gap</i> CMM 2006-04 01 <i>Not Implemented</i> CMM 2009-03 01 <i>Not Implemented</i> CMM 2009-03 02 <i>Not Implemented</i> CMM 2009-03 03 <i>Not Implemented</i> CMM 2009-06 34 <i>Reporting Gap</i> CMM 2010-01 05 <i>Not Implemented</i> CMM 2010-05 01 <i>Not Implemented</i> CMM 2010-07 07 <i>Reporting Gap</i> CMM 2010-07 09 <i>Reporting Gap</i> CMM 2012-01 08 <i>Not Implemented</i> CMM 2012-01 13 <i>Exceeds Limit</i> CMM 2012-01 30 <i>Data Gap</i></p>		
	<p>(ii) catch and effort reporting for target species; CMM 06-04 04: 2012 CMM 09-03 08: 2012 CMM 09-06 35 aii): 2012 CMM 09-06 25 a iii): 2012 CMM 09-06 35 a iv): 2012</p>	<p>No reply in AR Pt 2</p>	<p>(ii) Catch and effort reporting for target species CMM 2005-03 03 <i>Reporting Gap</i> CMM 2005-03 04 <i>Reporting Gap</i> CMM 2006-04 04 <i>Reporting Gap</i> CMM 2009-03 08 <i>Reporting Gap</i> CMM 2009-06 11 <i>Incorrect Reporting</i> CMM 2009-06 35 a (ii) <i>Reporting Gap</i> CMM 2009-06 35 a (iii) <i>Reporting Gap</i> CMM 2009-06 35 a (iv) <i>Reporting Gap</i> CMM 2010-05 04 <i>Reporting Gap</i> CMM 2012-01 27 <i>Reporting Gap</i> CMM 2012-01 28 <i>Reporting Gap</i> CMM 2012-01 31 <i>Reporting Gap</i></p>		

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	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species CMM 2007-04 09 <i>Reporting Gap</i> CMM 2008-03 07c <i>Not Implemented</i> CMM 2011-03 05 <i>Reporting Gap</i> CMM 2011-04 03 <i>Reporting Gap</i>		
	(iii) Spatial and temporal closures and restrictions on the use of FADs CMM 2008-01 23: 2010, 2011, 2012	No reply in AR Pt 2	(iv) Spatial and temporal closures and restrictions on the use of FADs CMM 2012-01 24 <i>Reporting Gap</i>		
	iv) Observer and VMS coverage CMM 07-01 09: 2012 CMM 07-01 Att K Ann C 06: 2012 CMM 08-01 28: 2012 CMM 11-01 04: 2012 CMM 11-02 09a: 2012 CMM 11-02 09a-VMS SSPs 2.8: 2012 CMM 11-02 09a-VMS SSPs 7.2.4: 2012	No reply in AR Pt 2	(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 09 <i>Observer coverage</i> CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i> CMM 2007-01 Attachment K Annex C 06 <i>Observer Coverage</i> CMM 2011-02 9a <i>Report inconsistent</i> CMM 2011-02 9a VMS SSPs 2.8 <i>Reporting Gap</i> CMM 2011-02 9a VMS SSPs 7.2.2 <i>Reporting Gap</i> CMM 2012-01 20 <i>Observer coverage</i> CMM 2012-01 21 <i>Observer coverage</i>		
	v) Provision of Scientific Data and AR Pt 1 CMM 09-06 11: 2012 Sci Data 01: 2011, 2012	No reply in AR Pt 2	(vi) Provision of Scientific Data SciData 01 <i>Data gap</i> SciData 03 <i>Data Gap</i> SciData 04 <i>Data Gap</i>		

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
 Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
	Sci Data 03: 2011, 2012 Sci Data 04: 2011, 2012 Sci Data 05: 2011, 2012		(vii) Submission of AR Part 2 and compliance with other reporting deadlines <i>17 issues of Reporting Gaps and Late Submissions - see list below</i>		
	28 issues	0 replies	58 potential issues	0 replies	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES

Prepared by the WCPFC Secretariat_as at 5 September 2014

(vii) Submission of AR Part 2 and compliance with other reporting deadlines

	ID
CMM 2005-03 03	Reporting Gap
CMM 2006-08 30	
CMM 2006-08 33 and 36	
CMM 2006-08 40	
CMM 2006-08 41	
CMM 2007-01 Attachment K Annex C 06	Reporting Gap
CMM 2007-04 04	
CMM 2007-04 09	
CMM 2008-03 02	
CMM 2008-03 07c	Reporting Gap
CMM 2008-04 05	
CMM 2009-01 07	
CMM 2009-01 09	
CMM 2009-02 12	
CMM 2009-03 08	Reporting Gap
CMM 2009-06 11	
CMM 2009-06 35 a (iii)	Reporting Gap and Late submission

CMM 2009-06 35 a (iv)	Reporting Gap and Late Submission
CMM 2010-01 08	Reporting Gap
CMM 2010-02 02	
CMM 2010-07 12	
CMM 2011-02 9a VMS SSPs 5.4 - 5.5	
CMM 2011-02 9a VMS SSPs 7.2.2	Reporting Gap
CMM 2011-03 05	Reporting Gap
CMM 2011-04 03	Reporting Gap
CMM 2012-01 11	Late submission
CMM 2012-01 14	
CMM 2012-01 27	Reporting Gap
CMM 2012-01 28	Reporting Gap
CMM 2012-01 Att B	
CMM 2012-01 Att D 03	
CMM 2012-05 03	
CMM 2012-06 04	
CMM 2013-02 22	

Convention Article 23 (5)	
Convention Article 23 2 (b)	
Convention Article 23 2 (c)	
Convention Article 25 (2)	
Convention Article 25 (8)	Reporting Gap
Data RaP MCS 44	
SciData 01	
SciData 02	
SciData 03	Data Gap
SciData 04	Data Gap
SciData 05	Data Gap

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
 Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
JAPAN	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species CMM 2010-07 07 <i>Reporting Gap</i> CMM 2010-07 09 <i>Reporting Gap</i> CMM 2012-01 30 <i>Data Gap</i>		
	(ii) catch and effort reporting for target species; CMM 2009-06 35 a (iii): 2012 CMM 2009-06 35 a (iv): 2012	Reply in AR Pt 2: 09-06 For CMM2009-06 35 a (iii) , CMM2009-06 35 a (iv) to be compliance, Japan have requested vessels to submit notifications and declarations.	(ii) Catch and effort reporting for target species CMM 2009-06 11 <i>Report Inconsistent</i> CMM 2009-06 35 a (iii) <i>Reporting Gap</i> CMM 2009-06 35 a (iv) <i>Reporting Gap</i> CMM 2010-02 02 <i>Reporting Gap</i> CMM 2012-01 31 <i>Reporting Gap</i> CMM 2012-01 Att B <i>Incorrect reporting and Report Inconsistent</i>		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species CMM 2011-04 03 <i>Reporting Gap</i>		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs CMM 2012-01 10 <i>Alleged incident/s of possible non-compliance and inconsistent reporting</i>		

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES

Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
	iv) Observer and VMS coverage		<p>(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 14 (vii) <i>Alleged incident of possible non-compliance</i> CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i> CMM 2007-01 Attachment K Annex C 06 <i>Observer Coverage</i> CMM 2011-02 9a <i>Report inconsistent</i> CMM 2011-02 9a VMS SSPs 2.8 <i>Reporting Gap</i></p>		
	v) Provision of Scientific Data and AR Pt 1 SciData03: 2012	<p>Reply in AR Pt2: For SciData, Japan and China, had significant reservations regarding the assessment because aggregated catch and effort data, as described in the rules of Section 4 of "Scientific Data to be provided to the Commission", had been provided. Japan also stated that this section recognizes certain members and cooperation non-members of the Commission may not be able to provide operational data because of domestic legal constraints and allows them to submit aggregated catch and effort data and size composition data instead until such constraints are overcome.</p>	<p>(vi) Provision of Scientific Data SciData 01 <i>Data gap</i> SciData 03 <i>Data Gap</i> SciData 04 <i>Data Gap</i></p> <p>(vii) Submission of AR Part 2 and compliance with other reporting deadlines CMM 2009-06 35 a (iii) <i>Reporting Gap and Late Submission</i> CMM 2009-06 35 a (iv) <i>Reporting Gap</i> CMM 2010-02 02 <i>Reporting Gap</i> CMM 2012-01 14 <i>Late Submission</i> CMM 2012-01 27 <i>Late Submission</i> SciData 03 <i>Reporting Gap</i></p>		
	3 issues	3 replies	25 potential issues	1 reply	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
 Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
KIRIBATI	(i) Catch and effort limits for target species CMM 09-06 34: 2012	No reply in AR Pt 2	(i) Catch and effort limits for target species CMM 2009-06 34 Reporting Gap	09-06: Require further advice from Secretariat on details for 2 carrier vessels involved in HS transshipment. <i>Secretariat note: transshipment supporting documentations were posted on the CCM portal in late July and CCMs have been advised.</i>	
	(ii) catch and effort reporting for target species; CMM 2009-02 12: 2012 CMM 2009-06 35 a (ii):2012 CMM 2009-06 35 a (iii):2012 CMM 2009-06 35 a (iv):2012	No reply in AR Pt 2	(ii) Catch and effort reporting for target species CMM 2005-03 03 Reporting Gap CMM 2005-03 04 Reporting Gap CMM 2009-02 12 Not implemented	05-03: Part 1 report has provided. See attached. <i>Secretariat note: AR Pt 1 Table 2 state LL catch of ALB is 40mt, but unclear what quantity was caught north of the equator (area to which this CMM applies). (CCM's EEZ is above and below the equator).</i> 09-02: Part 1 report has provided. See attached. <i>Secretariat note: AR Pt 1 had no information on purse seine discard. the not implemented is based on report in AR PT 2 which says "NO" not implemented.</i>	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
 Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
			CMM 2009-06 11 <i>Incorrect Reporting</i> CMM 2009-06 35 a (ii) <i>Reporting Gap</i> CMM 2009-06 35 a (iii) <i>Reporting Gap</i> CMM 2009-06 35 a (iv) <i>Reporting Gap</i> CMM 2012-01 Att B <i>Incorrect reporting and Reporting Gap</i>	09-06: Require vessels details involves transshipment. <i>Secretariat note: the supporting documentations has been posted on the CCM portal, and CCMs were advise, on the last week of July.</i> 12-01: To confirm status and revert details	
	n/a		(iii) Reporting for seabirds and other non-target species CMM 2007-04 09 <i>Reporting Gap</i> CMM 2008-03 02 <i>Reporting Gap</i> CMM 2011-03 05 <i>Reporting Gap</i> CMM 2011-04 03 <i>Reporting Gap</i>	07-04/ 11-03/11—04: Part 1 report has provided. See attached. <i>Secretariat note: AR Pt 1 contain no information regarding seabird interactions, cetacean interactions or oceanic white-tip reporting.</i>	
	(iii) Spatial and temporal closures and restrictions on the use of FADs CMM 2008-01 23: 2011, 2012	No reply in AR Pt 2	(iv) Spatial and temporal closures and restrictions on the use of FADs CMM 2012-01 10 <i>Alleged incident/s of possible non-compliance</i>	12-01: Kiribati is working closely with observer provider on this incident for further action.	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
	<p>iv) Observer and VMS coverage CMM 2007-01 Attachment K Annex C 06:2012</p>	<p>No reply in AR Pt 2</p>	<p>(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 14 (vii) <i>Alleged incident of possible non-compliance</i></p> <p>CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i></p> <p>CMM 2007-01 Attachment K Annex C 06 <i>Observer coverage</i> CMM 2011-02 9a VMS SSPs 7.2.2 <i>Reporting Gap</i> CMM 2012-01 21 <i>Observer coverage</i></p>	<p>07-01 14 vii): Liasing with observer provide on the detail for further action. <i>Secretariat note: A summary of available information related to these incidents was provided to individual CCMs on the secure CCM portal in early July. On 28 Aug it was clarified that there presently isn't any further information available on the observer obstruction alleged incidents, and that CCMs should best refer any queries to the observer programmes themselves - the Secretariat/SPC-OFP doesnt have all of the journals.</i></p> <p>07-01 LL OBS: Seeking observers from other programs is an issue however KI is now working closely with vessels to ensure compliance.</p>	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
 Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data SciData 03 <i>Data Gap</i> SciData 04 <i>Data Gap</i>	Scidata 03/04: Part 1 report has provided. See attached. Secretariat note: AR Pt 1 seems to not contain information relevant to the data gaps.	
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines <i>11 issues of Reporting Gaps and Late Submissions - see list below</i>		
	8 issues	0 replies	32 potential issues	Some replies received	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES

Prepared by the WCPFC Secretariat_as at 5 September 2014

(vii) Submission of AR Part 2 and compliance with other reporting deadlines

	KI
CMM 2005-03 03	Reporting Gap
CMM 2006-08 30	
CMM 2006-08 33 and 36	
CMM 2006-08 40	
CMM 2006-08 41	
CMM 2007-01 Attachment K Annex C 06	Reporting Gap
CMM 2007-04 04	
CMM 2007-04 09	Reporting Gap and Late Submission
CMM 2008-03 02	
CMM 2008-03 07c	
CMM 2008-04 05	
CMM 2009-01 07	
CMM 2009-01 09	
CMM 2009-02 12	
CMM 2009-03 08	

CMM 2009-06 11	Reporting Gap
CMM 2009-06 35 a (iii)	Reporting Gap and Late Submission
CMM 2009-06 35 a (iv)	Reporting Gap
CMM 2010-01 08	
CMM 2010-02 02	
CMM 2010-07 12	
CMM 2011-02 9a VMS SSPs 5.4 - 5.5	
CMM 2011-02 9a VMS SSPs 7.2.2	Reporting Gap
CMM 2011-03 05	Reporting Gap
CMM 2011-04 03	Reporting Gap
CMM 2012-01 11	
CMM 2012-01 14	
CMM 2012-01 27	
CMM 2012-01 28	
CMM 2012-01 Att B	Reporting Gap and Late Submission
CMM 2012-01 Att D 03	

CMM 2012-05 03	
CMM 2012-06 04	
CMM 2013-02 22	
Convention Article 23 (5)	
Convention Article 23 2 (b)	Late Submission
Convention Article 23 2 (c)	
Convention Article 25 (2)	
Convention Article 25 (8)	
Data RaP MCS 44	
SciData 01	
SciData 02	
SciData 03	
SciData 04	
SciData 05	

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SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
 Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
DEMOCRATIC PEOPLES REPUBLIC OF KOREA (DPRK)	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme		
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines CMM 2008-04 05 <i>Reporting Gap</i> Convention Article 23 2 (b) <i>Reporting Gap</i> Convention Article 23 2 (c) <i>Reporting Gap</i>		
	0 issues		3 potential issues	0 replies	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES

Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
REPUBLIC OF KOREA	(i) Catch and effort limits for target species CMM 2008-01 33: 2011, 2012	<p>Reply in AR Pt 2: 08-01: Korean fishing vessels exceeded Pacific bigeye tuna quota allocated by WCPFC for 2011 and 2012. Accordingly, Korea announced its plan to voluntarily payback the excess quota in the 9th WCPFC TCC meeting. Currently, Korea is voluntarily cutting excess quota as follows(this can be interpreted as Korea has completed payback):</p> <ul style="list-style-type: none"> ○ excess quota: 268 tons(2011) <ul style="list-style-type: none"> - payback completed by voluntarily cutting 2013 quota by 2%(301 tons) ○ excess quota: 3,824 tons(2012) <ul style="list-style-type: none"> - part of payback completed by cutting 1,908 tons from 2013 quota(14,713 tons) (total catches: 12,805 tons) - payback undergoing by reducing 1,916 tons from 2014 quota(15,014 tons) allocating the remaining(13,098 tons) to the operators <p>In addition, Korea examines catches by fishing vessel on a monthly basis to enhance compliance with this CMM and monitors compliance with quota by receiving logbook from operators. From the point when 70% of total quota is exhausted, Korea requires fishing vessels to report their catches every 10 day.</p>	<p>(i) Catch and effort limits for target species CMM 2005-03 02 <i>Exceeds limit</i></p> <p>CMM 2010-07 07 <i>Reporting Gap</i></p>	<p>05-03: Since the mid-2000s, NP ALB has not been a target species by Korean-flagged vessels. However, the amount of catches can be inconsistent with transshipment information reported as catches are often transshipped up to 2 years later from the time of fishing due to the characteristic of long line fishery. <i>Secretariat note: the quantity of Albacore transshipment is supplementary information that confirms some high seas transshipments involving this CCMs vessels were reported during 2013. To clarify, the potential issue is that the number of days fished in 2013, exceeds what was understood from NC8 document to be the applicable effort limit.</i></p> <p>10-07 07: The amount of the sharks transshipped to carrier vessels could differ from that of the sharks caught by</p>	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
			CMM 2010-07 09 <i>Interpretation</i>	<p>fishing vessels due to the fact that a part of the catches were transshipped either on high seas or EEZ of the coastal states while the rest were directly carried into Korea.</p> <p><i>Secretariat note: the quantity of Albacore transshipment is supplementary information that confirms some high seas transshipments involving this CCMs vessels were reported during 2013. to clarify: the issue is that CCM did not provide a clear statement regarding implementation of the requirements - please provide further details on the mechanism</i></p> <p>10-07 09: When an activity in contravention of this CMM heavy punishment would be imposed in accordance with Korea's domestic law and an observer onboard can identify whether such a non-compliant activity occurs or not.</p>	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
	(ii) catch and effort reporting for target species;		<p>(ii) Catch and effort reporting for target species CMM 2009-03 08 <i>Incorrect reporting</i></p> <p>CMM 2009-06 11 <i>Incorrect reporting and Report Inconsistent</i></p>	<p>09-03: Vessels flagged to Korea do not harvest swordfish in the areas south of 20S. <i>Secretariat note: CMM says Report is to be included in Annual Report Part 1 - suggest a revision is issued of AR Pt 1 2014 that attaches the required report (report was attach to AR Pt 2)</i></p> <p>09-06 11: As all information regarding transshipment activities in 2013 was not fully compiled, AR Pt 1 was submitted indicating “These data are provisional” and transshipment activities in 2013 are as attached below. *The number of transshipment activities of longline vessels was partially modified in the submitted Transshipment Report in accordance with CMM 2009-06. <i>Secretariat note: * suggest that to fully meet requirements a revision is issued of Korea AR Pt 1 which includes an updated CMM</i></p>	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
 Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
			CMM 2010-02 02 Reporting Gap	<p><i>2009-06 para 11 report</i> <i>* the updated report provided as a reply to dCMR correlated with the records of high seas transshipments involving Korean longliners in 2013 (43 events)</i> <i>* the updated report provided as a reply to dCMR (advised of 31 events), and was inconsistent with WCPFC records of high seas transshipments involving Korean carriers in 2013 (reports 21 events)</i></p> <p>10-02: Of two fishing vessels belong to the Seoil Agency and the Daehyun Fishery that have failed to provide entry and exit report, it seems that the Seoil Agency was not able to submit report prior to its entry due to lack of understanding of the CMM(a copy of relevant e-mail is being attached for reference) while the case of the Daehyun Fishery is still being investigated and a result will</p>	

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 Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
				be provided upon the completion of investigation. <i>Secretariat note: KR attach fax from company for one of the vessels detected on VMS entering/exiting EHSP in Oct 2013 explaining that the vessel was on its way to transship in port in Papeete. Captain wasn't aware of the requirement to submit entry/exit report but now has been informed</i>	
	n/a		(iii) Reporting for seabirds and other non-target species CMM 2011-03 05 <i>Interpretation</i>	11-03: AR Pt 1 was prepared based on log book data, however observer data is essentially needed to compile data on ecologically related species such as marine mammal. Accordingly, Korea has requested the Secretariat since 2012 that observer data be provided by the SPC and made a same request at the SC meeting of this year, which is reflected in the minute of the meeting as follows: 36. Korea observed that the estimated 2013 catch was	

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				<p>high but when looked at by species the estimates fluctuated greatly compared to the previous years' catches, which needed to be carefully examined together with the results of stock assessment to be undertaken in this meeting. Korea stressed the importance of observer data noting that species identification for purse-seine relied on the observer data and that they are used for various works for data preparation and, as such, expressed the view to mention these issues under data and statistics theme.</p> <p>72. Observer data are important for validation of data by cross-checking the reported nominal catch and the catch and effort data in logbooks, but Korea has never seen the observer data from Korean flagged fleets operating in the jurisdictional waters of coastal states and, as such, requested the</p>	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
			CMM 2011-04 03 <i>Reporting Gap</i>	<p>Secretariat to provide ROP observer data. In the margins of the meeting, it was confirmed that the request has been approved and the Scientific Services Provider will provide these data (on behalf of the WCPFC) in the coming month.</p> <p>11-04: 1 tonnage of oceanic whitetip sharks catch in AR Pt 1 is the data collected in 2012 and relevant information has been reported in 2013 through data submission. As this catch data was marked as the retained, it is not required to provide data on status of a species (dead or live). Also, above oceanic whitetip sharks catch was taken before that a relevant CMM regarding prohibit of retaining oceanic whitetip sharks on board took effect. <i>Secretariat note: CCM appears to have provided advice on 2012 catches.</i></p>	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
				<p><i>Please note that the reporting year for this dCMR is 2013 calendar year which is when the CMM was in effect.</i></p>	
	<p>(iii) Spatial and temporal closures and restrictions on the use of FADs</p>		<p>(iv) Spatial and temporal closures and restrictions on the use of FADs CMM 2012-01 10 <i>Alleged incident/s of possible non-compliance</i></p>	<p>12-01: Two vessels operated by Shilla conducted FAD associated operations on June 30 (GMT), but the observer recorded the local time, which was July 1, hence the one-day time difference. Two vessels, each of which is under the operation of Dongwon Industry and Hansung Industry, respectively, did not conduct any FAD associated operations, and this was confirmed by the statement made by the masters of the vessels. (upon provision of the relevant observer report, the Korean government will take a review on the case and report the result.)</p> <p>Secretariat note: the</p>	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
				supporting documentations has been posted on the CCM portal, and CCMs were initially advise, on the last week of July.	
	iv) Observer and VMS coverage		<p>(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 14 (vii) <i>Alleged Incident/s of possible non-compliance</i></p> <p>CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i></p>	<p>07-01 14 (vii): Upon provision of the relevant observer report, the Korean government will take a review on the case and report the result. <i>Secretariat note: A summary of available information related to these incidents was provided to individual CCMs on the secure CCM portal in early July. On 28 Aug it was clarified that there presently isn't any further information available on the observer obstruction alleged incidents, and that CCMs should best refer any queries to the observer programmes themselves - the Secretariat/SPC-OFP doesnt have all of the journals.</i></p> <p>07-01 data: All observers on</p>	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
			<p>CMM 2007-01 Attachment K Annex C 06 <i>observer coverage</i></p>	<p>board of Korean-flagged vessels are recruited from the Regional Observer Program, except for one case where a Korean observer was deployed in the overlapped area between the WCPFC and IATTC in 2013. The report from the observer will be submitted in due time to the Secretariat.</p> <p>07-01 LLcoverage: : Regarding the differences in the calculation and application of the observer coverage for longline operations between the coverage indicated in AR Part I and II: *The 5% coverage in AR Part 2 was calculated by dividing the number of observed days (1,647) from July 2013 to June 2014 by the total number of trip days (30,727) during the same period; *The 6.6% coverage in AR Part 1 was calculated by dividing the number of</p>	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
				<p>observed days (1,575) from January to December 2013 by the total number of trip days (23,632) during the same period(We recalculated the coverage rate considering ROP);</p> <p>*The 4% coverage referred by SPC was calculated by dividing the number of observed trips (13 times) from January to December 2013 by the total number of trips (292 times) during the same period.</p>	
	<p>v) Provision of Scientific Data and AR Pt 1 SciData 03: 2012 SciData 04: 2012</p>	<p>Replies in AR Pt 2: SciData-03: Since the early stage of this year, we have made effort to do a collaborative work with SPC for cross-checking between data hold by each side and providing information required on stock assessment. However, the collaborative work has not completed yet, and it will be continued. SciData04: Unraised data were submitted in accordance with relevant regulations. DWLL fleet data that cover entire Pac Ocean submitted. Vessel numbers by year, mont and area could not be provided in 2012 because logbook</p>	<p>(vi) Provision of Scientific Data SciData 03 <i>Data Gap</i></p>	<p>SCIDATA03: The Secretariat's relevant explanation is rather unclear as to what type of non-compliance occurred, but Korea provided following answers at SC this year regarding the provision of the operational data: "72. As agreed last year Korean scientists planned to visit SPC in Noumea for cross-checking data gaps and a collaborative work on operational data, but unfortunately this was</p>	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES

Prepared by the WCPFC Secretariat_as at 5 September 2014

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		<p>coverage was not 100% - now it is 100% and can be submitted. Other data, including by EEZ and high seas and improvements to aggregated data, are to be submitted in July 2014.</p> <p>On sharks: Korea has taken necessary measures to collect information on key shark species from Korean operators and provided education for Masters and other members. As 2012 was a transitional period in implementing such measures, there were difficulties in collecting complete data. However, this has been improved now.</p> <p>[SPC to confirm this]</p>	<p>SciData 04 <i>Data Gap</i></p>	<p>postponed. They plan to pursue this in the coming year.”</p> <p>Korea, Japan, Chinese Taipei and SPC agreed to hold a working group meeting early next year to discuss joint stock assessments with the aim to provide the operation data for the bigeye stock assessment.</p> <p>SCIDATA04: (note 19) Korea explained at SC that it would submit the EEZ/HS data by the end of October. (note 4) Korea explained at SC that it would submit the data by the end of October. (note13) Data verification on bigeye and yellowfin tuna catch examining data from cannery is required to be made on the regular basis, Korea, however, has faced a difficulty of conducting the survey due to budget and manpower limitation. we will endeavor to secure necessary</p>	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
 Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
			SciData 05 <i>Data Gap</i>	resources for data verification to improve data accuracy near future. SCIDATA 05: Data collected on board vessels do not include the information regarding the CMM, which makes it difficult to implement the measures. The relevant data will be submitted as soon as the information is collected.	
			<p>(vii) Submission of AR Part 2 and compliance with other reporting deadlines CMM 2009-06 35 a (iii) <i>Late Submission</i> CMM 2009-06 35 a (iv) <i>Late Submission</i></p> <p>CMM 2010-02 02 <i>Reporting Gap</i></p>	<p>09-06: The omitted transshipment notification and declaration has been attached here.</p> <p>10-02: The overall performance evaluation on the compliance with the WCPFC CMMs by operators with vessels in the WCPO was conducted to be incorporated in AR Part II. At the time of evaluation, no issue was identified regarding CMM 2010-02</p> <p>12-01: The catch report for</p>	

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 Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
			CMM 2012-01 27 <i>Late submission</i> CMM 2012-06 04 <i>Late Submission</i> SciData 03 <i>Data Gap</i>	July 2013 was submitted after the reporting deadline. 12-06: The data were submitted after the reporting deadline. SCI DATA03: It was agreed with the SPC that the data would be submitted by the end of October this year.	
	4 issues	4 replies	21 potential issues	Replies provided	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES

Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
REPUBLIC OF MARSHALL ISLANDS	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species CMM 2011-03 05 <i>Interpretation</i> CMM 2011-04 03 <i>Interpretation</i>	11-04: No OCS interactions observed on RMI purse seine fishing vessels in 2013; no longline data available; relevant information/details in Part 1 report submitted 28 August 2014.	
	(iii) Spatial and temporal closures and restrictions on the use of FADs CMM 2008-01 23: 2012	Reply in AR PT 2: 08-01 23: RMI FAD Management Plan (2010) has been submitted as an attachment in our response to Q-097 (CMM 2012-01 24). – YES RECEIVED 1 JULY	(iv) Spatial and temporal closures and restrictions on the use of FADs CMM 2012-01 10 <i>Alleged incident/s of possible non-compliance</i>		
(iv) Observer and VMS coverage CMM 2007-01 Attachment K Annex C 06: 2012	Replies in AR PT 2: 07-01: here was still no 5% observer coverage for the vessels in question which have since re-flagged to another CCM	(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 14 (vii) <i>Alleged incident of possible non-compliance</i>	07-01 14 (vii): Need additional details (e.g. Trip ID) as the current information listed is not sufficient to carry out full investigation.		

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
	CMM 2011-02 9a VMS SSPs2.8: 2012	<p>in March 2013.</p> <p>11-02: Provided 10 VTAFs for the period in question. It is our understanding as agreed with other FFA Members that the FFA will be providing VTAFs on our behalf for 2013 period.</p>		<p><i>Secretariat note: A summary of available information related to these incidents was provided to individual CCMs on the secure CCM portal in early July. On 28 Aug it was clarified that there presently isn't any further information available on the observer obstruction alleged incidents, and that CCMs should best refer any queries to the observer programmes themselves - the Secretariat/SPC-OFP doesn't have all of the journals.</i></p>	
	<p>v) Provision of Scientific Data and AR Pt 1</p>		<p>(vi) Provision of Scientific Data SciData 03 Data Gap SciData 04 Data Gap</p>	<p>Low coverage and lack of reporting of sharks could be attributed to current law which prohibits retention of sharks. Nevertheless, vessel operators are constantly encouraged to report all catches including discards. Additional</p>	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
				information and specific details of purse seine shark catches included in Part 1 report submitted 28 August 2014.	
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines CMM 2007-04 09 <i>Late Submission</i> CMM 2011-03 05 <i>Late Submission</i> CMM 2011-04 03 <i>Late Submission</i> CMM 2012-01 11 <i>Late Submission</i> Convention Article 23 2 (b) <i>Late Submission</i>		
	3 issues	3 replies	11 potential issues	Some replies	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
MEXICO	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme		
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines		
	0 issues		0 potential issues	Replies received	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES

Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
NAURU	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i>		
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines		
	0 issues		1 potential issues	0 replies	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
NEW CALEDONIA	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species CMM 2011-04 03 <i>Incorrect reporting</i>	By raising the observed number of releases of oceanic whitetip sharks by the coverage rate of 6.7% and taking account that all oceanic whitetip sharks observed were released alive the estimated number of releases in 2013 is 60, all alive. <i>Secretariat note: CMM says Report is to be included in Annual Report Part 1 - to fully meet this reporting requirement we suggest a revision is issued of AR Pt 1 2014 that attaches the required report</i>	
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme		

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data SciData 03 <i>Data Gap</i>	Tables 1 and 1bis of the AR part 1 provide catch estimates for shark species of interest in 2013: - 2 tonnes of mako (the only sharks retained onboard before the ban adopted in April 2013) - 8.3 tonnes of blue sharks - 0.4 tonne of silky sharks. <i>Secretariat note: SPC to advise on the data gap</i>	
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines		
	0 issues		2 potential issues	Replies received	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
NEW ZEALAND	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	n/a		<p>(iii) Reporting for seabirds and other non-target species CMM 2011-03 05 <i>Interpretation</i></p> <p>CMM 2011-04 03 <i>Interpretation</i></p>	<p>11-03: NZ domestic catch effort reporting shows that 4 x common dolphins were captured alive (18/2/2013) by a NZ flagged purse seine vessel inside the NZ EEZ, all 4 dolphins were released alive . On one other occasion (3/3/2013) a common dolphin was accidentally captured in the purse seine net of the same vessel , on this occasion the common dolphin was not able to be released alive. This information has been added into the the NZ Part 1 Report (2013).</p> <p>11-04: 2 x Oceanic Whitetip sharks were discarded on 28/2/2013 (DUS) according to OBS reporting (trip number unknown), status on release unknown also as this wasn't detailed in observer report. The NZ Part 1 report (2013) has been updated to reflect this new information.</p>	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs CMM 2012-01 10 <i>Alleged incident/s of possible non-compliance</i>	This incident is currently being investigated by the New Zealand and Sololmon Island authorities, TCC will be updated on any findings that result from this investigation	
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme		
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines CMM 2011-03 05 <i>Late Submission</i> CMM 2011-04 03 <i>Late Submission</i> CMM 2012-01 27 <i>Late Submission</i>	12-01 27: New Zealand apologises for the late reporting of BET catch over the course of last year. This problem was rectified as soon as NZ was aware of the issue	
	0 issues		6 potential issues	Replies received	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
NIUE	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme		
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines		
	0 issues		0 potential issues	1 reply received	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
PALAU	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species CMM 2008-03 02 <i>Reporting Gap</i>		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i>		
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines CMM 2008-03 02 <i>Reporting Gap</i> CMM 2008-04 05 <i>Reporting Gap</i> Convention Article 23 2 (c) <i>Reporting Gap</i> Data RaP MCS 44 <i>Reporting Gap</i>		
	0 potential issues		6 potential issues	0 replies	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
PANAMA	(i) Catch and effort limits for target species CMM 2009-06 34: 2012	No reply in AR Pt2	(i) Catch and effort limits for target species CMM 2009-06 34 <i>Reporting Gap</i> CMM 2010-07 09 <i>Reporting Gap</i>		
	(ii) catch and effort reporting for target species; CMM 2009-01 09: 2012 CMM 2009-06 35 a (ii): 2012 CMM 2009-06 35 a (iii) : 2012 CMM 2009-06 35 a (iv) : 2012	No reply in AR Pt2	(ii) Catch and effort reporting for target species CMM 2009-06 11 <i>Reporting Gap</i> CMM 2009-06 35 a (ii) <i>Reporting Gap</i> CMM 2009-06 35 a (iii) <i>Reporting Gap</i> CMM 2009-06 35 a (iv) <i>Reporting Gap</i> CMM 2010-02 02 <i>Reporting Gap</i>		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage CMM 07-01 07: 2012 CMM 07-01 09: 2012 CMM 2011-02 09a-VMS SSPs2.8: 2012 CMM 2011-02 09a-VMS SSPs 7.2.4: 2012	No reply in AR Pt2	(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2004-03 02 <i>Reporting Gap</i> CMM 2004-03 03 <i>Reporting Gap</i> CMM 2006-08 07 <i>Reporting Gap</i> CMM 2006-08 41 <i>Reporting Gap</i> CMM 2007-01 07 <i>Reporting Gap</i> CMM 2007-01 09 <i>Reporting Gap</i> CMM 2007-01 10 <i>Reporting Gap</i>		

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
			CMM 2007-01 14 (vii) <i>Reporting Gap</i> CMM 2009-01 02 <i>Reporting Gap</i> CMM 2009-01 03 <i>Reporting Gap</i> CMM 2009-01 04 <i>Reporting Gap</i> CMM 2009-01 07 <i>Reporting Gap</i> CMM 2009-01 09 <i>Reporting Gap</i> CMM 2009-01 16 <i>Reporting Gap</i> CMM 2009-01 17 <i>Reporting Gap</i> CMM 2009-06 13 <i>Observer Coverage</i> CMM 2011-02 04 <i>Reporting Gap</i> CMM 2011-02 9a <i>Reporting Gap</i> CMM 2011-02 9a VMS SSPs 2.8 <i>Reporting Gap</i> CMM 2011-02 9a VMS SSPs 7.2.2 <i>Reporting Gap</i>		
	v) Provision of Scientific Data and AR Pt 1 CMM 2009-06 11: 2012	No reply in AR Pt2	(vi) Provision of Scientific Data		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines <i>13 issues of Reporting Gaps and Late Submissions - see list below</i>		
	10 issues	0 replies	40 potential issues	0 replies	

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(vii) Submission of AR Part 2 and compliance with other reporting deadlines

	PA
CMM 2005-03 03	
CMM 2006-08 30	
CMM 2006-08 33 and 36	
CMM 2006-08 40	
CMM 2006-08 41	Reporting Gap and Late Submission
CMM 2007-01 Attachment K Annex C 06	
CMM 2007-04 04	
CMM 2007-04 09	
CMM 2008-03 02	
CMM 2008-03 07c	
CMM 2008-04 05	Reporting Gap
CMM 2009-01 07	
CMM 2009-01 09	reporting gap
CMM 2009-02 12	

CMM 2009-03 08	
CMM 2009-06 11	Reporting Gap
CMM 2009-06 35 a (iii)	Reporting Gap and Late Submission
CMM 2009-06 35 a (iv)	Reporting Gap
CMM 2010-01 08	
CMM 2010-02 02	Reporting Gaps in 2013 reporting indicated in section ii)
CMM 2010-07 12	Reporting Gap
CMM 2011-02 9a VMS SSPs 5.4 - 5.5	
CMM 2011-02 9a VMS SSPs 7.2.2	Reporting Gap
CMM 2011-03 05	
CMM 2011-04 03	
CMM 2012-01 11	
CMM 2012-01 14	
CMM 2012-01 27	

CMM 2012-01 28	
CMM 2012-01 Att B	
CMM 2012-01 Att D 03	
CMM 2012-05 03	
CMM 2012-06 04	
CMM 2013-02 22	Reporting Gap
Convention Article 23 (5)	
Convention Article 23 2 (b)	Reporting Gap
Convention Article 23 2 (c)	Reporting Gap
Convention Article 25 (2)	
Convention Article 25 (8)	Reporting Gap
Data RaP MCS 44	
SciData 01	
SciData 02	
SciData 03	
SciData 04	
SciData 05	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
PAPUA NEW GUINEA	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species CMM 2010-07 09 <i>Interpretation</i>	10-07: PNG implements the 5% fin to carcass ratio but shall require with the new tuna management plan for fins to be wholly attached. PNG has implemented a closure of the targeted shark longline fishery.	
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species CMM 2009-06 11 <i>Reporting Gap</i> CMM 2012-01 11 <i>Interpretation</i>		
	n/a		(iii) Reporting for seabirds and other non-target species CMM 2007-04 09 <i>Incorrect Reporting</i> CMM 2011-03 05 <i>Reporting Gap</i> CMM 2011-04 03 <i>Reporting Gap</i>	07-04: PNG longline vessels have few if any interactions with seabirds as they fish predominantly between 10S and 15S <i>Secretariat note: the CMM says report is to be provided in AR Pt 1 (but information provided in AR PT 2)</i>	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs CMM 2012-01 10 <i>Alleged incident/s of possible non-compliance</i>		
	iv) Observer and VMS coverage CMM 11-02 09a-VMS SSPs 7.2.4: 2012	No reply in AR Pt 2	(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 14 (vii) <i>Alleged incident/s of possible non-compliance</i> CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i> CMM 2011-02 9a VMS SSPs 7.2.2 <i>Reporting Gap</i> CMM 2012-01 21 <i>Observer coverage</i>	Seeking further information regarding these issues from Observer Program. May request WCPFC Secretariat assistance to identify vessel and observer trip details. <i>Secretariat note: A summary of available information related to these incidents was provided to individual CCMs on the secure CCM portal in early July. On 28 Aug it was clarified that there presently isn't any further information available on the observer obstruction alleged incidents, and that CCMs should best refer any queries to the observer programmes themselves - the Secretariat/SPC-OFP doesn't have all of the journals.</i>	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data <i>SciData 03 Data Gap</i>		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines <i>13 issues of Reporting Gaps and Late Submissions - see list below</i>		
	1 issue	0 replies	22 potential issues	Some replies	

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(vii) Submission of AR Part 2 and compliance with other reporting deadlines

	PG
CMM 2005-03 03	
CMM 2006-08 30	
CMM 2006-08 33 and 36	
CMM 2006-08 40	
CMM 2006-08 41	
CMM 2007-01 Attachment K Annex C 06	
CMM 2007-04 04	
CMM 2007-04 09	Late Submission
CMM 2008-03 02	Late Submission
CMM 2008-03 07c	
CMM 2008-04 05	
CMM 2009-01 07	
CMM 2009-01 09	
CMM 2009-02 12	

CMM 2009-03 08	
CMM 2009-06 11	
CMM 2009-06 35 a (iii)	
CMM 2009-06 35 a (iv)	
CMM 2010-01 08	
CMM 2010-02 02	
CMM 2010-07 12	Late Submission
CMM 2011-02 9a VMS SSPs 5.4 - 5.5	
CMM 2011-02 9a VMS SSPs 7.2.2	Reporting Gap
CMM 2011-03 05	Reporting Gap
CMM 2011-04 03	Reporting Gap
CMM 2012-01 11	Late Submission
CMM 2012-01 14	
CMM 2012-01 27	
CMM 2012-01 28	
CMM 2012-01 Att B	

CMM 2012-01 Att D 03	
CMM 2012-05 03	
CMM 2012-06 04	
CMM 2013-02 22	Late Submission
Convention Article 23 (5)	
Convention Article 23 2 (b)	Late Submission
Convention Article 23 2 (c)	Late Submission
Convention Article 25 (2)	
Convention Article 25 (8)	
Data RaP MCS 44	
SciData 01	
SciData 02	
SciData 03	
SciData 04	
SciData 05	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES

Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
PHILIPPINES	(i) Catch and effort limits for target species CMM 08-01 39: 2011, 2012	Replies in AR Pt 2: 08-01 39 Although this para. refers to total capacity (=effort), the Philippines emphasized that we have implemented moratorium on new purse seine/ ring net for tuna, thus no increase in capacity. In addition we are implementing EO/IRR on handline which already reduced the number of larger tuna handline vessels. In view of the adopted measures, Philippines is requesting to be given compliant rating. In addition to the above Philippines has placed significant effort to improve its catch data collection (e.g. increase coverage) and thus this may explain the increase in catch for 2012.	(i) Catch and effort limits for target species CMM 2012-01 30 <i>Data Gap</i>	12-01 30: PH has not increased effort for all types of fishery in 2013. As practiced, PH only allows new vessels to be built but an old vessel/s should be scraped. The new boat that will be built should not be more than the GT of the old boat/boats to be scraped. In SC9-2013/ST-WP1 Table 1, most of the other fisheries noted in this Table for PH are artisanal or municipal in nature, so this should not be applicable (vessels 3GT or less). In 2014, PH gov't has increased funding for port sampling activities to cover most of the tuna landing areas around the country. It is expected that with improved data coverage including those for other fisheries, PH will be able to quantify effort in the coming year/s using improved port sampling data.	
	(ii) catch and effort reporting for target species; CMM 2005-03 04: 2012 (for CMM 09-06 11: 2012 see section v)	Reply in AR Pt 2: 05-03 04: Reiterate to reconsider previous explanation - that this paragraph should be N/A for PH as there is no directed fisheries for the NPA, and that it is a bycatch of municipal handline/hook-and-line fishery targeting YFT and catch was reported in accordance to the CMM 2005-03 paragraph 3 as clarified by Peter during the TCC9	(ii) Catch and effort reporting for target species CMM 2009-06 11 <i>Reporting Gap</i>	09-06: PH summarized the catches for this LL offloading vessel in Table 6 of AR Pt 1, for the 4 transshipment events in 2013, the revised AR Pt1 already includes this information (# of transshipment events = 4). WCPFC was also informed regarding these transshipment events. <i>Secretariat note: AR Pt1 Table 6 provides info on catches of PH flagged LL with fishing in PIC waters and EHSP for 2011-2013. Table 8, titled Vessel Arrivals and</i>	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES

Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
		deliberation. Philippines also increase port sampling coverage this 2014 with additional funding	CMM 2012-01 31 <i>Reporting Gap</i>	<p><i>unloading volumes by foreign vessels, Davao Fish Port, provide info by year on number of port calls and volume of unloadings (mt). Please report on all transshipment activities covered by this measure in accordance with the guidelines at Annex II (of CMM 2009-06)</i></p> <p>12-01 31: PH also would like to propose that in the coming years, PH will provide effort data for these other commercial fisheries using port sampling data or any available data from logsheets, observer & others. In 2013, PH started to propose increased funding for port sampling activities. In 2014, PH has started to improve or increase port sampling coverage to further improve data provision to WCPFC to include the other fisheries with increased funding support from the PH government.</p>	
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species CMM 2011-03 05 <i>Intepretation</i>	<p>11-03: Our Observers are working closely with the vessel captain/master and crew. In this regard, it is our understanding that reported cetacean incidents by our observer is also a report from the vessel captain/master or crew. Based on 100% observer coverage in HSP1 operation for 2013, there were 53 instances that a cetacean is</p>	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
 Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
			CMM 2011-04 03 <i>Intepretation</i>	<p>unintentionally encircled in the purse seine net with the following details: Common dolphin – 6 [4 – released alive] Spinner dolphin -9 [7 – released alive] False Killer whale – 2 [2 – released alive] Bottlenose dolphin – 36 [24 – released alive]</p> <p>Though in some instances the vessel captain/master/ crew have taken reasonable steps to ensure safe release of the animal such as stopping the net roll and not recommencing fishing operation until the animal has been released and is no longer at risk of recapture, there were still instances that the animal was released alive but subsequently died.</p> <p>11-04: In 2013, a total of one (1) encounter (100% observer coverage) that an oceanic white-tip was unintentionally encircled in the purse seine net during purse seine operation and this oceanic white-tip shark was released safely and alive.</p>	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs CMM 2012-01 10 <i>Alleged incident/s of possible non-compliance</i> CMM 2012-01 11 <i>Alleged Incident of possible non-compliance</i>	12-01 10/11: Those mentioned vessels that reportedly set on FADs in PNG waters during the FAD closure are operating under PNG FAD management plan for anchored FADs to which the Commission has been notified. PH also would like to further clarify that our Fisheries Administrative Order 236/236-1/2 did authorise vessels to fish on FADs during the FAD closure period in 2013 with the condition that the vessels reduce the depth of the net to not more than 115 fathoms stretched; this is our compatible measure in reducing BET mortality as required by the CMM 2012-01. WCPFC-SC10-2014/ST-WP06 details the effects of this BET reduction which has been reported consistently for 4 years in WCPFC-SC meetings (2011-2014). We also wanted to further clarify that after the FAD closure period our vessels still continue to use the same nets (not more than 115 fathoms stretched).	

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Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
	<p>iv) Observer and VMS coverage CMM 2007-01 Attachment K Annex C 06: 2012 CMM 2011-02 09a: 2012</p> <p>CMM 2011-09 09a: 2012</p> <p>CMM 2011-02 09a-VMS SSPs 2.8: 2012</p>	<p>Replies in AR Pt 2: 07-01: In 2013, Philippines requested WCPFC for observer to board PH-LL vessel but there was no ROP observer available to board the said vessel. It was in 2014, that the ROP observer was able to board the said PH-LL vessel but at present, this vessel already ceased operation. 11-02: BFAR required all distant water fishing fleet to submit VTAF as a condition for licensing. Currently, PH-LL vessel operating in WCPO has ceased operation and will be delisted in the WCPFC RFV. At present, there are 225 vessels monitored by BFAR VMS Monitoring Center: For WCPO: 35 catchers, 62 carrier vessels and 115 lightboats; 8 vessels in ICCAT and 5 vessels in IOTC. Philippines already investigated and BFAR issued a show cause order to the operator of these 2 LL vessels. Currently, these vessels no longer operate and will be delisted in the WCPFC RFV.</p>	<p>(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i></p> <p>CMM 2007-01 Attachment K Annex C 06 <i>Observer Coverage</i></p> <p>CMM 2011-02 9a VMS SSPs</p>	<p>07-01 data: PH requested for observer to board our LL vessel for PH to comply with this requirement but availability was a problem in 2013, it was already in early part of 2014 that a ROP observer was able to board the PH-LL vessel. But our HSP1 operation has 100% observer coverage and the said data was submitted to WCPFC-SPC. <i>Secretariat note: SPC to provide confirmation on data submission</i></p> <p>07-01 obs coverage: PH requested the Commission to assist in looking for observer to the to comply with this requirement but availability was a problem in 2013, it was already in early part of 2014 that a ROP observer was able to board the PH-LL vessel. But our HSP1 operation has 100% observer coverage and the said data was submitted to WCPFC-SPC. PH is requesting for consideration on this matter. <i>Secretariat note: please confirm the level of coverage achieved for longline fisheries in 2013</i></p> <p>11-02 VTAFs: As far as PH is concerned most of these vessels are operating in</p>	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES

Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
			2.8 <i>Reporting Gap</i>	<p>PNG and not in the high seas. For HSP1, PH already provided VTAF for all vessels except lightboats which PH does not require since these are only support vessels that cannot catch fish.</p> <p><i>Secretariat note: WCPFC received on 28 August 104 page document containing VTAF information. This document and the information provided here are currently being reviewed in light of the spreadsheet indicating possible gaps in VTAF reporting. In the meantime, it would assist the review if the PHP could provide WCPFC with a list of vessels that it reported as "fished" which operated exclusively within PNG waters.</i></p>	
	<p>v) Provision of Scientific Data and AR Pt 1 CMM 2009-06 11: 2012 SciData 05: 2012</p>	<p>Replies in AR Pt2: 09-06 11: Please refer to Annual Report Part 1 Table 8. Transshipment done by 2 LL are supported by logsheet submitted monthly. SciData05: Size composition for other gears were already provided. For LL, there was no available observer to board our LL vessel in 2013 inspite of PH-LL request and</p>	<p>(vi) Provision of Scientific Data SciData 01 <i>Data gap</i></p>	<p>Scidata01: Shark catches were only reported / monitored as total catch for all sharks species as the vessel captain/master/crew do not have capability to identify sharks up to the species level. Further, PH needs assistance to build capacity of our vessel captain/crew in identifying sharks up to the species level (e.g. shark ID guide, training, etc). As practiced by all PH flag vessels, all catches are retained, landed</p>	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
		currently, these vessel/s ceased operation.	<p>SciData 02 <i>Data gap</i></p> <p>SciData 03 <i>Data Gap</i></p> <p>SciData 04 <i>Data Gap</i></p> <p>SciData 05 <i>Data Gap</i></p> <p>(vii) Submission of AR Part 2 and compliance with other reporting</p>	<p>and fully utilized (except for oceanic white-tip).</p> <p>Scidata02: PH has provided data during the TDW8 [operational data for LL & PS (DW/Domestic)].</p> <p>Scidata03: PH has provided operational data for LL and PS during the TDW8. And also observer data/report particularly for PS (including incidental shark catches). <i>Secretariat: see update from SPC on 12 Aug in Secretariat Explanation column</i></p> <p>Scidata04: PH has provided operational data for LL and PS during the TDW8. And also observer data particularly for PS.</p> <p>Scidata05: To comply with this requirement PH requested for ROP observer to board the PH-LL vessel but availability of the observer was a problem in 2013. The said vessel was boarded by ROP observer in early part of 2014. Size data other than the LL fishery was provided by PH.</p> <p>07-01: PH requested the Commission to assist in looking for observer to the to comply with this requirement but availability was a problem in 2013, it was</p>	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
			<p>deadlines CMM 2007-01 Attachment K Annex C 06 <i>Reporting Gap</i></p> <p>CMM 2009-01 09 <i>Late submission</i></p> <p>CMM 2009-06 11 <i>Late submission</i> CMM 2009-06 35 a (iv) <i>Late submission</i> CMM 2012-01 11 <i>Late Submission</i> CMM 2012-01 27 <i>Late Submission</i> CMM 2012-01 28 <i>Late Submission</i></p>	<p>already in early part of 2014 that a ROP observer was able to board the PH-LL vessel. But our HSP1 operation has 100% observer coverage and the said data was submitted to WCPFC-SPC. PH is requesting for consideration on this matter.</p> <p>09-01: PH admittedly submitted late nevertheless it was submitted. PH will ensure timely compliance in the coming years.</p> <p>09-06: PH AR Pt1 has been revised to note the number of transshipment events that occurred in 2013 [# of transshipment events = 4]. Catches were summarized in Table 6 of AR Pt 1. All transshipment events have been reported to WCPFC. PH - LL vessels are required to submit logsheets to further validate catches.</p> <p>12-01 11: In view of the legal and consultative process of FAOs 245, 236, it took beyond the deadline set by CMM 2012-01.</p> <p>12-01 27/28: PH admittedly submitted late nevertheless it was submitted to ensure correctness of data. PH will ensure timely compliance in the coming</p>	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
			CMM 2012-06 04 <i>Late Submission</i>	years. 12-06: PH admittedly submitted late nevertheless it was submitted. PH will ensure timely compliance in the coming years. Aside from making formal communication to the ED for the information of the Northern Committee (NC) on the details of these landings/catches; such details are also reported in the AR Pt2 (Q_104).	
	10 issues	Replies received	24 potential issues	Replies received	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
SAMOA	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species CMM 2009-06 11 <i>Reporting Gap</i>		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species CMM 2008-03 02 <i>Not Implemented</i> CMM 2011-04 03 <i>Interpretation</i>		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2009-01 17 <i>Reporting Gap</i>		
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data SciData 03 <i>Data Gap</i>		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines Convention Article 23 2 (b) <i>Late Submission</i>		
	0 issues		6 potential issues	Some replies	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
SOLOMON ISLANDS	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species CMM 2010-07 07 <i>Reporting Gap</i> CMM 2010-07 09 <i>Reporting Gap</i>		
	(ii) catch and effort reporting for target species; CMM 2009-06 35 a (iii): 2012 CMM 2009-06 35 a (iv): 2012 CMM 2010-05 04: 2012	09-06: Transshipment data for 2012 could not accessed because of difficulties in data processing. This data will be provided once processed. Transshipment data for 2013 is available and will be submitted in the Part 1 report. The vessel Dong Yu 1509 was in breach of its licence condition and action is being taken. 10-05: In 2012, Solomon Islands vessels DID NOT fish S 20 S. The 'Yes' from the previous year's Part 2 report was an error.	(ii) Catch and effort reporting for target species CMM 2009-06 11 <i>Reporting Gap</i>		

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species CMM 2007-04 09 <i>Reporting Gap</i> CMM 2011-03 05 <i>Reporting Gap</i> CMM 2011-04 03 <i>Reporting Gap</i>		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i> CMM 2012-01 21 <i>Observer coverage</i>		
	v) Provision of Scientific Data and AR Pt 1 CMM 2009-06 11: 2012	09-06: Data for 2012 and 2013 is provided in the Part 1 report.	(vi) Provision of Scientific Data SciData 03 <i>Data Gap</i> SciData 04 <i>Data Gap</i>		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines CMM 2007-04 09 <i>Reporting Gap and Late Submission</i> CMM 2009-06 11 <i>Reporting Gap and Late Submission</i> CMM 2010-07 12 <i>Late submission</i> CMM 2011-03 05 <i>Reporting Gap and Late Submission</i> CMM 2011-04 03 <i>Reporting Gap</i>		

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
			<i>and Late Submission</i> CMM 2013-02 22 <i>Late Submission</i> Convention Article 23 2 (b) <i>Late submission</i> Convention Article 23 2 (c) <i>Late submission</i> Data RaP MCS 44 <i>Reporting Gap</i>		
	4 issues	4 replies	19 potential issues	0 replies	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
THAILAND	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme		
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines Convention Article 23 2 (b) <i>Late Submission</i>		
	0 issues		1 potential issue	0 replies	

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TOKELAU	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme		
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines		
	0 issues		0 potential issues		

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
TONGA	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species CMM 2011-04 03 <i>Interpretation</i>	Regarding the Oceanic white-tip shark species catch from observer data was based on TUBs database reporting tools update during the time of preparing the Part 1 & 2 reports. Just find out that the data was not completely enter 'into database by SPC and its different t from what has been reported.	
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme		

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data SciData 03 <i>Data Gap</i>	The extended logsheets was distributed to all vessels in mid-2013 and they are currently using the latest version. This new logsheet will ensure that the KEY SHARK SPECIES catches will be reported for all vessels in the Tonga longline fleet in the operational logsheet submissions to the WCPFC from 2014 onwards.	
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines		
	0 issues		2 potential issues	2 replies	

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CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
TUVALU	(i) Catch and effort limits for target species CMM 2010-07 07: 2012	Reply in AR Pt 2: Tuvalu is working to establish an MOU with Fiji on placing Fiji observers on board Tuvalu Flag Longliners which are based in Suva to achieve 5% observer coverage on its LL fleet. from Q108 of AR Pt 2)	(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	n/a		(iii) Reporting for seabirds and other non-target species CMM 2007-04 09 <i>Incorrect reporting</i> CMM 2011-03 05 <i>Interpretation</i> CMM 2011-04 03 <i>Reporting Gap</i>	07-04: There were no reports of sea birds interactions sighted by observers <i>Secretariat note: the CMM says report is to be provided in AR Pt 1 (but information provided in AR PT 2)</i> 11-03: There was no such case of interaction of Tuvalu Flag fishing vessel with any cetacean during their fishing operations in 2013. 11-04: TV have submitted obs data to SPC. SPC pls to assist TV in providing these info to the Secretariate if there are any	

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Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
				data available or gathered from obs data	
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage CMM 2007-01 Attachment K Annex C 06: 2012	Reply in AR Pt 2: Tuvalu is working to establish an MOU with Fiji on placing Fiji observers on board Tuvalu Flag Longliners which are based in Suva to achieve 5% observer coverage on its LL fleet. from Q108 of AR Pt 2)	(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 14 (vii) <i>Alleged Incident of possible non-compliance</i> CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i>	07-01 14(vii) Tuvalu obs program has completed scanning all the obs data for trips occurred in 2013 and just sent these data on the 28 Aug 14 to SPC staffs via email. <i>Secretariat note</i> A summary of available information related to these incidents was provided to individual CCMs on the secure CCM portal in early July. On 28 Aug it was clarified that there presently isn't any further information available on the observer obstruction alleged incidents, and that CCMs should best refer any queries to the observer programmes themselves - the Secretariat/SPC-OFP doesn't have all of the journals. 07-01 data: TV obs data for 2013 had been recently sent to SPC via dropbox in Aug 2014. There were 7 placements made under	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
 Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
			CMM 2007-01 Attachment K Annex C 06 <i>Observer coverage</i>	our national obs program in 2013. <i>Secretariat note: SPC to provide confirmation on data submission</i>	
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data SciData 03 <i>Data Gap</i> SciData 04 <i>Data Gap</i>		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines CMM 2007-01 Attachment K Annex C 06 <i>Reporting Gap</i> CMM 2011-04 03 <i>Reporting Gap</i> CMM 2012-01 11 <i>Late Submission</i>	07-01: TV has got 2 Flag LL vessels and is yet to place observers on both vessels. TV fisheries is working to address this through placing Fijian observers on its flag vessels in 2014. 11-04: There was no report mentioned in Ar part 1 on this particular part of the CMM 2011 04 03	
	2 issues	2 responses	11 potential issues	Some replies	

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES

Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
CHINESE TAIPEI	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species CMM 2010-07 09 <i>Interpretation</i>	10-07: Our fishing vessels are required not to violate this CMM. Several measures have been taken to ensure the implementation of this measure, including transshipment monitoring and verification and HSB&I. This Agency also conduct landing inspection in our ports. (Severe punishment would be imposed on the captains of vessel or carrier violating our regulations.)	
	(ii) catch and effort reporting for target species; CMM 2009-06 35 a (iii): 2012 CMM 2009-06 35 a (iv): 2012	Replies in AR Pt 2: 09-06: All the transshipment activities of our fishing vessels are monitored under the prior authorization and ex post facto verification mechanism as per our domestic regulation. Noting there might be discrepancy between numbers of reportings that have been sent and received due to technical problems, we have requested the Secretariat's assistance in providing confirmation on the receipt of our reporting emails as well as cross-checking our transshipment notifications for 2013 to improve the data flow on	(ii) Catch and effort reporting for target species CMM 2010-02 02 <i>Reporting Gap</i>	10-02: We have cross-checked our VMS data for those vessels reported to enter/exit EHSP as well as showing enter/exit alert by WCPFC VMS. Our data shows that there were 69 entry with 61 reported and 68 exit with 60 reported. There are some inconsistencies between VMS data of both sides, such as some vessels are reported to continuously enter EHSP twice by the Commission VMS, we have added our findings to the additional information provided by the Secretariat for reference. As per our record, one vessel did make entry report to our fishery	

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	CMM 2010-02 02: 2012	<p>transshipment notifications and declarations.</p> <p>CMM 10-02: Fishing vessels are required to directly or through the Fishery Radio Stations or Taiwan Tuna Association to submit reports, by fax or email, to the Secretariat prior to entry and exiting the Eastern High-Seas Pocket Special Management Area (EHSP-SMA) as per our domestic regulations. Vessels that have been proved to violate the reporting requirement will be punished as per our regulations. In addition, we have also requested the Secretariat to cross-check our records of entering/leaving EHSP-SMA report for 2013 to prevent the discrepancy due to technical or communication constraints.</p>	CMM 2012-01 31 <i>Reporting Gap</i>	<p>radio stations, but the reporting mail server was out of order and we sent an urgent notification to WCPFC at that time (this refers to the France HSBI incident indicated in the Secretariat Explanation column). 5 vessels did not make entry and/or exit reports in accordance with CMM 2010-02. We will enhance the monitoring of vessels in the EHSP , and those vessels will be punished as per domestic laws and regulations.</p> <p><i>Secretariat note: confirm receiving 2013 entry/exit file from CCM and the Secretariat's record is now reconciled with Chinese Taipei's records. As noted by CCM, 5 vessels did not submit entry and/or exit report</i></p> <p>12-01 31: Further details for this fishery from Chinese Taipei: Taiwanese seine fishery is a traditional fishery targeting mackerel and scads. It is a unique Taiwanese coastal and offshore fishery and its fishing method is similar to Danish seine, except that light boat or raft or fishing</p>	

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				aggregate lamps will be deployed to aggregate the fish before net retrieving. Main fishing grounds of this fishery are within our waters.	
	n/a		<p>(iii) Reporting for seabirds and other non-target species CMM 2011-03 05 <i>Interpretation</i></p> <p>CMM 2011-04 03 <i>Reporting Gap</i></p>	<p>11-03: We have reported the inteaction in AR1 as per this CMM. As for the inconsistency of interaction reports, please provide those observer reports for further investigation.</p> <p>11-04: Our preliminary estimate is that there were totally 4,860 oceanic whitetip sharks were released (1,855 alive and 3,005 dead) in 2013. <i>Secretariat note: this was to be reported in AR Pt 1, to be consistent with the requiements of this provision, we suggest a revision of AR PT 1 is issued to include the above estimate</i></p>	
	(iii) Spatial and temporal closures and restrictions on the use of FADs		<p>(iv) Spatial and temporal closures and restrictions on the use of FADs CMM 2012-01 10 <i>Alleged incident/s of possible non-compliance</i></p>	<p>12-01: We received neither notifications nor observer reports for these alleged incidents. Our preliminary investigation finds that there was no such incident. In this regard, we have already requested the Secretariat to provide observer reports regarding</p>	

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				<p>those incidents as per Rules and Procedures for the Protection, Access, and Dissemination of Data Compiled by the Commission (2007 Data Access Rules). <i>Secretariat note: on 29 Aug WCPFC sent a supplementary confirmation to Chinese Taipei advising that updated details are available on their CCM portal page.</i></p>	
	<p>iv) Observer and VMS coverage CMM 2007-01 Attachment K Annex C 06:2012</p>	<p>Reply in AR Pt 2 07-01: As we mentioned many times before, we have difficulties on deployment of observer on small longliners and we have submitted a 3-phase plan for improving observer coverage rate of small longliners attached to AR2 of last 3 years. In accordance with the plan, our tuna longliners larger than 60 GT will achieve 5% observer coverage rate by the end of 2014. Actually we have endeavored to deploy observers on small longliners and hope to accomplish the goal as soon as possible. As indicated to our record, the number of days-at-sea of observer deployed on small longliners was 1539 in</p>	<p>(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 14 (vii) <i>Alleged incident of possible non-compliance</i></p>	<p>07-01 14 vii) We received neither notifications nor observer reports for these alleged incidents which had limited our ability to conduct investigation after those incidents took place. We will conduct investigation on these incidents. In this regard, we have already requested the Secretariat to provide observer reports regarding those incidents as per Rules and Procedures for the Protection, Access, and Dissemination of Data Compiled by the Commission (2007 Data Access Rules). <i>Secretariat note: A summary of available information related to these incidents was provided to individual CCMs on the secure CCM portal in early July. On 28 Aug it</i></p>	

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		<p>2013, which is almost double of the number in 2012. Moreover, we continue to cooperate with industry and university to work on Electronic Observer Project to overcome the difficulties on deployment of observer on small longliners.</p>	<p>CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i></p> <p>CMM 2007-01 Attachment K Annex C 06 <i>Observer coverage</i></p>	<p><i>was clarified that there presently isn't any further information available on the observer obstruction alleged incidents, and that CCMs should best refer any queries to the observer programmes themselves - the Secretariat/SPC-OFP doesn't have all of the journals.</i></p> <p>07-01 data: We will provide our longline observer data for 2012 and 2013 after compiling and reviewing. <i>Secretariat note: SPC to provide confirmation on data submission</i></p> <p>For Distant Water Longline fishery (DWLL), we have already met the 5% ROP coverage requirement and the percentage reached at 11.3%. And the metric used to calculate this coverage rate is days-at-sea of observers to days-at sea of vessels. As for small longline fishery (STLL), safety of observer and insufficient room for observer hinder us from deploying observers on those vessels. We are considering the use of E-monitoring as the alternative</p>	

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			CMM 2011-02 9a <i>Report inconsistent</i>	<p>measure.</p> <p>11-02 9a: 1. Some vessels in the supporting file for No. of vessels reporting on VMS are listed twice. The actual difference number between vessels reported to fish in 2013 and reporting on WCPFC VMS are 178. 28 out of the 178 vessels are listed as the Secretariat appear not to have received VTAF, and the VTAF of 13 vessels have been submitted to the Secretariat, the remaining 15 vessels are the same as indicated in CMM 2011-02 9a VMS SSPs 2.8 (sec v). As for the other 150 vessels, 18 vessels were not operating in the WCPFC Convention area as per our VMS data, and the remaining 132 vessels operated in WCPFC Convention area in 2013 and have been reported to our VMS center regularly. Our VMS staff will continue to work with the Secretariat to solve this matter.</p> <p>2. As for 2 HSBI reports and 1 alleged no reporting from Marshall Islands notification and corresponding investigation, we</p>	

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				<p>would like to point out that as per “2007 Data Access Rules”, boarding and inspection reports as well as violations and infringements in detail belong to non-public domain data. Besides, those incidents have been fully investigated and a report on the outcome had been provided to the requesting members as well as the Secretariat. Furthermore, our investigation indicates that all the indicated vessels didn’t violate the Commission’s VMS regulations and reported to our VMS center at that time with no further comment received from the requesting members, which means they are now closed. Considering abovementioned elements, those cases should not be revealed to other members besides those who made the request.</p> <p><i>Secretariat note: we will take a closer look at the spreadsheet and to confirm we would be happy to receive some feedback and further discuss, with a view to better understanding, the possible inconsistencies.</i></p>	

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			CMM 2011-02 9a VMS SSPs 2.8 Reporting Gap	<p><i>The concerns about consistency with the WCPFC Data rules and procedures are noted. CMM 2013-02 has tasked the Secretariat to include HSBI, Article 25(2) and Article 23(5) matters in dCMR, and the Secretariat did prepare the draft CMR with the understanding that paragraph 7 of CMM 2013-02 designates the dCMR to be non-public domain data. In taking this comment on board, the Secretariat in finalising the full dCMR has sought to reduce duplication of information within the dCMR by using cross-references. We would be interested in other Members views on this matter, including how the Secretariat might improve the presentation of information in dCMRs, including that related to observations of alleged violations of the Convention and CMMs.</i></p> <p>Regarding the VTAF information of 59 F/Vs, the VTAFs of 44 F/Vs have been submitted to the Secretariat during the period of 2009-2013 in accordance with our record. As the Secretariat is able to receive vessel</p>	

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				<p>positions of 31 F/Vs in 2013, we can provide the original emails of VTAF to the Secretariat for the remaining 13 F/Vs.</p> <p>As for remaining 15 F/Vs, please be advised that 2 of them operate in our waters and 10 of them does not operae in the WCPFC Convention area. In addition, 3 of them are not targeting for highly migrating fish stock. Therefore, a revised active vessel list of 2013 will be submitted to the Secretariat soon.</p> <p><i>Secretariat note: yes please do provide WCPFC with copies of revised fished and did not fish report (CMM 2013-10 09/CMM 2009-01 09), and copies of the missing VTAFs that appear to have been overlooked.</i></p>	
	<p>v) Provision of Scientific Data and AR Pt 1 SciData 03:2012 SciData 04:2012 SciData 05:2012</p>	<p>Replies in AR Pt 2:SciData03: In addition to providing the the alternative operational data in accordance with paragraph 3 of “Scientific data to be provided to the Commission” to the Secretariat, three of our scientists went to SPC headquarter in February, 2014 to</p>	<p>(vi) Provision of Scientific Data SciData 03 <i>Data Gap</i></p>	<p>Scidata03: We would like to point out that the "Scientific data to be provided to the Commission" provides that " It is recognized that certain members and cooperating non-members of the Commission may be subject to domestic legal constraints, such that they may not be able to provide operational data</p>	

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		<p>cooperate with the SPC scientists to facilitate the work of analyzing our operational data with SPC scientists.</p> <p>SciData04: We provided annual catch and effort estimates aggregated by areas of national jurisdiction and high seas within the WCPFC statistical area in our scientific data provided on 30 April, 2014. SciData05:In order to provide the size composition data of our tuna purse seiners and foreign-based tuna longliners other than the source of observers and Coastal States to WCPFC, we have requested our purse seiners to collect length data since 2013 and the data received have been compiled in the scientific data provided on 30 April, 2014. As for the size composition data of our foreign-based small tuna longliners, we submitted some length data collected from foreign-based fishing vessels to SPC (Scientific Service Provider) in June 2014.</p>	<p>SciData 04 <i>Data Gap</i></p>	<p>to the Commission until such constraints are overcome. Until such constraints are overcome, aggregated catch and effort data and size composition data, as described in (4) and (5) below, shall be provided.", and we provided the aggregate data and size composition data accordingly.</p> <p>Scidata04: For LL (DWFN): We would like to quote the "Scientific data to be provided to the Commission", which states that "the aggregate catch and effort data covering distant-water longliners may also be provided for the Pacific Ocean east of the eastern boundary of the WCPFC Statistical Area.", hence this data should not be considered a mandatory data to be provided to the Commission and should be removed from compliance review in this regard.</p> <p><i>Secretariat note: Within the Scientific Data provision rules there are instances that recognize the importance for scientific analyses and stock assessments for WCPFC</i></p>	

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			SciData 05 <i>Data Gap</i>	<p><i>to receive fisheries data on south pacific albacore, swordfish and striped marlin for the south Pacific Ocean. Note 22 means that when the WCPFC conducts assessments on south Pacific ALBACORE tuna, for example, the stock region is the entire south Pacific Ocean (i.e. the Pacific Ocean south of the equator). In order to conduct this assessment, the WCPFC requires AGGREGATE catch/effort data that covers the entire south Pacific Ocean (including AGGREGATE data to the east of the WCPFC Convention Area)</i></p> <p>Scidata05: For our understanding, the "Scientific data to be provided to the Commission" does not require members to provide purse seine samples with breakdown by school association, please advise the reason that this item was identified a potential compliance issue in this regard</p>	

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			<p>(vii) Submission of AR Part 2 and compliance with other reporting deadlines CMM 2009-06 35 a (iii) <i>Late submission</i></p> <p>CMM 2009-06 35 a (iv) <i>Late submission</i></p> <p>CMM 2010-02 02 <i>Late Submission</i></p> <p>CMM 2012-01 27 <i>Late submission</i></p>	<p>09-06 35a iii) <i>Secretariat note: revised numbers after reply: seven notifications out of 137 events were received less than 36 hours after the event.</i></p> <p>09—06 35a iv) <i>Secretariat note: revised numbers after reply: one declaration of 137 events was received late (more than 15 days after event).</i></p> <p>10-02: As per our record, one vessel did make entry report to our fishery radio stations, but the reporting mail server was out of order and we sent an urgent notification to WCPFC at that time (this refers to the France HSBI incident indicated in the Secretariat Explanation column). 5 vessels did not make entry and/or exit reports in accordance with CMM 2010-02. We will enhance the monitoring of vessels in the EHSP , and those vessels will be punished as per domestic laws and regulations. <i>Secretariat note: see note in sec (ii)</i></p> <p>12-01 27: The 2 incidents of late</p>	

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			SciData 03 Data Gap	<p>submission were due to personnel change.</p> <p>Scidata03: As stated in SciData 03(sec vi), we provided the aggregate data and size composition data substitutively in accordance with "Scientific Data to be Provided to the Commission.</p>	
	7 issues	7 replies received	19 potential issues	Replies received	

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UNITED STATES OF AMERICA	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species; CMM 2010-02 02: 2012	Reply in AR Pt 2: The U.S. published a final rule implementing the Eastern High Seas Special Management Area entry and exit notification requirements effective 2 January 2013 (50 CFR §300.225). All U.S. regulations can be found at www.ecfr.gov .	(ii) Catch and effort reporting for target species CMM 2009-06 35 a (iii) <i>Reporting Gap</i> CMM 2010-02 02 <i>Reporting Gap</i>	09-06: No notifications were received prior to the transshipment. This matter has been forwarded to the Office of Law Enforcement for investigation and based on the outcome of that investigation, appropriate action will be taken. 10-02: The U.S. received 5 entry reports and 4 exit reports for the Eastern High Seas Special Management Area. NOAA Office of Law Enforcement has contacted the 2 vessels that did not provide entry and/or exit notices gave them a verbal warning and reminded them that they are required to submit entry and exit notifications when entering or exiting this area. US VMS staff made significant efforts to set up innovative and user-friendly automated email notifications from the US Vessel Monitoring System to both US Enforcement and Management authorities as well as to the WCPFC Secretariat that appear to have functioned very well. These notifications enabled the US' Office of Law Enforcement to immediately initiate compliance assistance outreach to US vessels that initially were deficient in making the required notifications, and ensure any shortcomings by vessels were rectified in a timely manner.	
	n/a		(iii) Reporting for seabirds and other non-target species CMM 2011-04 03 <i>Interpretation</i>	11-04: On August 22, 2013, the U.S. published a proposed rule to implement all requirements of CMM 2011-04. The proposed rule can be found at regulations.gov (http://www.regulations.gov/#!docketDetail;D=NOAA-NMFS-2014-0086).	

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	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs CMM 2009-02 03-07 <i>Alleged incident/s of possible non-compliance</i> CMM 2012-01 10 <i>Alleged incident/s of possible non-compliance</i>	09-02 & 12-01: The United States has reached out to the observer provider to review all observer reports related to the US vessels operating during the 2013 FAD closure and has opened investigations into any reports indicating credible potential violations. There are 10 cases involving the potential use of FADs during the closure under active investigation. When those investigations are completed, the United States will report on the outcome.	
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 14 (vii) <i>Alleged incident of possible non-compliance</i>	07-01 14 (vii): The United States has reached out to the observer provider to review all observer reports related to the US vessels operating during the 2013 FAD closure and has opened investigations into any reports indicating credible potential violations. There are 10 cases involving the potential use of FADs during the closure under active investigation. When those investigations are completed, the United States will report on the outcome. The United States reviewed the background information provided in an attempt to address the alleged violations identified here, however, the information provided was insufficient to initiate an investigation as it did not provide specifics as to the vessel name, date, observer, trip, etc. that would allow us to investigate. <i>Secretariat note: A summary of available information related to these incidents was provided to individual CCMs on the secure</i>	

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			<p>CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i></p> <p>CMM 2009-06 13 <i>Observer Coverage</i></p>	<p><i>CCM portal in early July. On 28 Aug it was clarified that there presently isn't any further information available on the observer obstruction alleged incidents, and that CCMs should best refer any queries to the observer programmes themselves - the Secretariat/SPC-OFP doesnt have all of the journals.</i></p> <p>07-01 Annex C 04: here is 100% observer coverage on all Hawaii purse seine and shallow set longline trips (53 observed trips out of 53 departures). Observer coverage rates for the Hawaii deep set longline fishery were 20.4% in 2013 (272 observed trips out of 1,334 departures) and for the American Samoa longline were 19.4% (19 observed trips out of 98 departures). <i>Secretariat note: SPC to provide confirmation on data submission</i></p> <p>09-06: This matter has been forwarded to the Office of Law Enforcement for investigation and based on the outcome of that investigation, appropriate action will be taken.</p>	
	<p>v) Provision of Scientific Data and AR Pt 1 SciData 03: 2012</p>	<p>Part 3 of Scientific Data to be provided to the Commission reads, "It is recognized that certain members and cooperating non-members of the Commission may have practical difficulties in compiling</p>	<p>(vi) Provision of Scientific Data SciData 02 <i>Data Gap</i></p> <p>SciData 05 <i>Data Gap</i></p>	<p>SciData02: Part 3 of Scientific Data Provided to the Commission reads, "It is recognized that certain members and cooperating non-members of the Commission may have practical difficulties in compiling operational data for fleets comprised of small vessels." SPC has recognized that the U.S. is at this time not able to provide operational data for the tropical troll fleets from Hawaii, American Samoa, Guam and CNMI, and directly quotes the above statement in its assessment of provision of operational data.</p> <p>Size data 05: The U.S. is in the process of keypunching its port sampling data and will provide size data when keypunched data are available.</p>	

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		operational data for fleets comprised of small vessels". SPC has recognized that the U.S. is at this time not able to provide operational data for the tropical troll fleets from Hawaii, American Samoa, Guam and CNMI, and directly quotes the above statement in its assessment of provision of operational data.	(vii) Submission of AR Part 2 and compliance with other reporting deadlines CMM 2007-01 Attachment K Annex C 06 <i>Late Submission</i> CMM 2009-06 35 a (iii) <i>Reporting Gap</i> CMM 2010-02 02 <i>Reporting Gap</i> CMM 2012-01 27 <i>Late Submission</i>	09-06 35a(iii) No notifications were received prior to the transshipment. This matter has been forwarded to the Office of Law Enforcement for investigation and based on the outcome of that investigation, appropriate action will be taken. 10-02: See above section (ii) 12-01: The U.S. acknowledges the one-day delay in submission of its March report	
	2 issues	2 replies	14 potential issues	Replies received	

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 Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
VANUATU	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species CMM 2009-06 34 <i>Reporting Gap</i> CMM 2010-07 07 <i>Not Implemented</i> CMM 2010-07 09 <i>Not Implemented</i>		
	(ii) catch and effort reporting for target species; CMM 2009-06 35 a (iii): 2012 CMM 2009-06 35 a (iv): 2012 (for CMM 09-06 11: 2012 see section v) CMM 2010-02 02: 2012 CMM 2010-05 04: 2012	Replies in AR Pt 2: 09-06: Vanuatu has developed database (Vanuatu Fisheries Information mangement system) with the assistance of FFA in compiling and updating notifications and declaring of transhipments records. 10-02: After consultation with the industry, these is an understanding of voluntary restriction of entry into the EHSP by all flagged vessels operating within the region. Those entering must comply to the reporting recruitments as set in tghe CMM. 10-05 04: On 5 Dec, VU submittedwe confirm 23 vessels that were active in that particular area catching 873,488MT of Albacore	(ii) Catch and effort reporting for target species CMM 2005-03 04 <i>Incorrect Reporting</i> CMM 2006-04 04 <i>Incorrect Reporting</i> CMM 2009-03 08 <i>Incorrect Reporting</i> CMM 2009-06 11 <i>Incorrect Reporting</i> CMM 2009-06 35 a (ii) <i>Reporting Gap</i> CMM 2009-06 35 a (iii) <i>Reporting Gap</i> CMM 2009-06 35 a (iv) <i>Reporting Gap</i> CMM 2010-02 02 <i>Reporting Gap</i> CMM 2010-05 04 <i>Reporting Gap</i>		

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES

Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species CMM 2007-04 04 <i>Reporting Gap</i> CMM 2008-03 02 <i>Reporting Gap</i> CMM 2011-03 05 <i>Reporting Gap</i> CMM 2011-04 03 <i>Reporting Gap</i>		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage CMM 2007-01 Attachment K Annex C 06: 2012 CMM 2011-02 9a VMS SSPs 2.8: 2012	Replies in AR Pt 2: 07-01: Continue to run recruitment trainings for observer program in future in increasing number of observers available to increase coverage. 11-02: VTAF are submitted with registration of vessels as required by the National Fisheries Legislation.	(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2006-08 33 and 36 <i>Reporting Gap</i> CMM 2006-08 41 <i>Reporting Gap</i> CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i> CMM 2007-01 Attachment K Annex C 06 <i>Observer Coverage</i> CMM 2011-02 9a VMS SSPs 2.8 <i>Reporting Gap</i> CMM 2011-02 9a VMS SSPs 7.2.2 <i>Reporting Gap</i>		
	v) Provision of Scientific Data and AR Pt 1 CMM 2009-06 11: 2012	Reply in AR Pt 2: Data has been Provided. [WCPFC received file listing individual transshipment events for longline and purse seine, but this omitted carriers and was	(vi) Provision of Scientific Data SciData 03 <i>Data Gap</i> SciData 04 <i>Data Gap</i> (vii) Submission of AR Part 2 and compliance with other reporting deadlines		

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Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
		not in accordance with Annex II]	<i>15 issues of Reporting Gaps and Late Submissions - see list below</i>		
	7 issues	6 replies	39 potential issues	0 replies	

(vii) Submission of AR Part 2 and compliance with other reporting deadlines

	VU
CMM 2005-03 03	
CMM 2006-08 30	
CMM 2006-08 33 and 36	Reporting Gap
CMM 2006-08 40	
CMM 2006-08 41	Reporting Gap and Late Submission
CMM 2007-01 Attachment K Annex C 06	Reporting Gap
CMM 2007-04 04	Reporting Gap
CMM 2007-04 09	Late submission
CMM 2008-03 02	
CMM 2008-03 07c	
CMM 2008-04 05	
CMM 2009-01 07	
CMM 2009-01 09	
CMM 2009-02 12	

CMM 2009-03 08	
CMM 2009-06 11	Late Submission
CMM 2009-06 35 a (iii)	Reporting Gap and Late Submission
CMM 2009-06 35 a (iv)	Reporting Gap and Late Submission
CMM 2010-01 08	
CMM 2010-02 02	Reporting Gap
CMM 2010-07 12	
CMM 2011-02 9a VMS SSPs 5.4 - 5.5	
CMM 2011-02 9a VMS SSPs 7.2.2	Reporting Gap
CMM 2011-03 05	Late Submission
CMM 2011-04 03	Late Submission
CMM 2012-01 11	Late Submission
CMM 2012-01 14	
CMM 2012-01 27	
CMM 2012-01 28	

CMM 2012-01Att B	
CMM 2012-01Att D 3	
CMM 2012-05 03	
CMM 2012-06 04	
CMM 2013-02 22	
Convention Article 23 (5)	
Convention Article 23 2 (b)	Late Submission
Convention Article 23 2 (c)	
Convention Article 25 (2)	
Convention Article 25 (8)	Reporting Gap
Data RaP MCS 44	
SciData 01	
SciData 02	
SciData 03	
SciData 04	
SciData 05	

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SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
 Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
VIETNAM	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme		
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines Convention Article 23 2 (b) <i>Late Submission</i> Convention Article 23 2 (c) <i>Late submission</i>		
	0 potential issues		2 potential issues	0 replies	

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 Prepared by the WCPFC Secretariat_as at 5 September 2014

CCM	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
WALLIS AND FUTUNA	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species; CMM 2008-01 43: 2012	No reply in ARPt2	(ii) Catch and effort reporting for target species		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species CMM 2007-04 09 <i>Reporting Gap</i>		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme		
	v) Provision of Scientific Data and AR Pt 1 CMM 2007-04 09: 2012	No reply in ARPt2	(vi) Provision of Scientific Data		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines CMM 2007-04 09 <i>Reporting Gap</i> Convention Article 23 2 (b) <i>Reporting Gap</i> Convention Article 23 2 (c) <i>Reporting Gap</i>		
	2 potential issues		4 potential issues		

SUMMARY OF FULL dCMR (DRAFT COMPLIANCE MONITORING REPORT) POTENTIAL ISSUES AND CCM REPLIES
 Prepared by the WCPFC Secretariat_as at 5 September 2014

Collective Group	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
FFA	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i>		
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines		
			0 potential issues	0 replies	

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 Prepared by the WCPFC Secretariat_as at 5 September 2014

Collective Group	Potential Compliance issues 2010-2012	Actions taken to address non-compliance and response by CCM	Draft potential issues of non-compliance - 2013	CCM reply to dCMR	Actions taken
PNAO	(i) Catch and effort limits for target species		(i) Catch and effort limits for target species		
	(ii) catch and effort reporting for target species;		(ii) Catch and effort reporting for target species		
	<i>n/a</i>		(iii) Reporting for seabirds and other non-target species		
	(iii) Spatial and temporal closures and restrictions on the use of FADs		(iv) Spatial and temporal closures and restrictions on the use of FADs		
	iv) Observer and VMS coverage		(v) Authorizations to fish and RFV, Observer, VMS coverage and HSBI Scheme CMM 2007-01 Attachment K Annex C 04 <i>Data Gap</i>		
	v) Provision of Scientific Data and AR Pt 1		(vi) Provision of Scientific Data		
			(vii) Submission of AR Part 2 and compliance with other reporting deadlines		
			0 potential issues	0 replies	