**DRAFT PRINCIPLES DOCUMENT REQUESTING CMS-IWG PARTICIPANTS PROVIDE COMMENTS AND INPUT**

CMS IWG participant are asked to provide comments on the list of principles provided and add any others if they are missing. The comments should be in view of the working groups task to develop a CMM proposal for consideration at WCPFC15 this December.

| **DRAFT list of principles for the proposed CMM on CMS**  | **Possible ref to current CMS (CMM 2017-07)** | **Comments**  |
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| 1. **PREAMBLE**
 | *preamble* | *Eg To be refined once draft CMM is further developed* |
| 1. **CMS PURPOSE**
 | *para 1* |  |
| 1. **CMS SCOPE AND APPLICATION**
 | *para 2 – 4, 8 – 11, 12 -14, 15 – 21 Annex I* |  |
| * 1. **BALANCE ACROSS FLEETS AND FISHERIES**
 |  | We’d like to have more information on how this concept can be addressed in the CMS measure. If involving the review obligations for fleets, this subsection can be incorporated into subsection f. |
| * 1. **CCM IMPLEMENTATION AS A FOCUS**
 |  | Although this concept is mentioned in the Report, we do not fully understand its indication at the time of reviewing this list. We may need elaborations to provide further comments. |
| * 1. **HANDLING INVESTIGATIONS OF VESSEL LEVEL INFRINGEMENTS**
 |  | Although this concept is mentioned in the Report, we do not fully understand its indication at the time of reviewing this list. We may need elaborations to provide further comments. |
| * 1. **ZONE-BASED MANAGEMENT ARRANGEMENTS**
 |  | We’re unsure if zone-based arrangement here suggests collective obligation as in para 4 of current CMS. Our comment is that the collective obligations are difficult to review. To enhance effectiveness of the measure, manageability of the Secretariat and assist capacity building for the CCMs in need, reviews should be based on individual CCMs.  |
| * 1. **ACCEPTANCE OF NATIONAL LAWS AND JUDICIAL PROCESSES**
 |  |  |
| * 1. **EFFECTIVE AND EFFICIENT CMS**
 |  | Support the original text in para 15 and 16, and add the concept that during discussions on the obligations to be reviewed, audit points shall also be considered. |
| * 1. **GUIDANCE TO CCMs AND CLEAR AUDIT POINTS**
 |  | Can be addressed in subsection f.  |
| * 1. **EFFECTIVE CCM PARTICIPATION AND PROCEDURAL FAIRNESS**
 |  | This concept is related to other subsections and therefore requires further clarification on the content. |
| * 1. **COLLABORATIVE, QUALITY IMPROVEMENT AND CORRECTIVE ACTION**
 |  | This concept is related to other subsections and therefore requires further clarification on the content. |
| 1. **SPECIAL REQUIREMENTS OF DEVELOPING STATES, PARTICULARLY SIDS AND TERRITORIES**
 | *para 21* | This concept is related to other subsections and therefore requires further clarification on the content. |
| 1. **TECHNICAL ASSISTANCE & CAPACITY DEVELOPMENT**
 | *para 5 – 7, 12 -14, Annex I* | This concept is related to other subsections and therefore requires further clarification on the content. |
| 1. **PROCESS PRIOR TO TCC**
 | *para 22 - 26* |  |
| 1. **PROCESS DURING TCC**
 | *para 27 – 31, Annex I* | * Support the practice to restrict pre-notified cases for TCC considerations to those involving observer interference and obstruction.
* Support discontinuing verbal presentation of supplementary information to address reporting gaps discussed in TCC.
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| 1. **PROCESS BETWEEN TCC AND ANNUAL COMMISSION MEETING**
 |  | * We suggest adding this section to cover the CMS process more accurately.
* Support submitting supplement information regarding individual cases of violation via online case file system.
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| 1. **PROCESS FOR DETERMINING COMPLIANCE STATUS AND ENSURING FAIRNESS IN OUTCOME**
 | *para 19 – 20, Annex I* | * “Process for determining compliance status” can be addressed in Section VI-VIII.
* “Ensuring fairness in outcome” can be addressed in Section IX.
* Regarding the definition of fair outcome, we’d like to learn from other members in this WG.
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| 1. **ANNUAL COMMISSION MEETING**
 | *para 32 – 35, Annex I* |  |
| 1. **FOLLOW THROUGH ON COMPLIANCE OUTCOMES**
 | *para 32 – 36, Annex I* | This concept is related to other subsections and therefore requires further clarification on the content. |
| 1. **APPLICATION AND REVIEW PROCESS FOR CMS**
 | *para 40 - 41* | Support a 5-year CMM, with reviews conducted every 3 years. |
| 1. **TRANSPARENCY**
 | *para 17* |  |
| 1. **ADDRESSING ADMINISTRATIVE BURDEN AND RESOURCE IMPLICATIONS FROM CMS**
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| * 1. **FOR CCMs**
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| * 1. **FOR THE SECRETARIAT**
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| 1. **OTHERS**
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