



13 October 2014

Mr. Kim Jong Hyok  
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Dear Mr. Kim,

Thank you for your letter requesting renewal of Cooperating Non-Member (CNM) status in 2015 for Democratic People's Republic of Korea which we received on 9<sup>th</sup> October 2014, and to confirm receipt by WCPFC of the CNM renewal request and Attachment.

Conservation and Management Measure on Cooperating Non-Members (CMM 2009-11) tasks the Executive Director with forwarding a copy of the relevant TCC recommendations and advice to non-member CNM applicant following TCC10. I highlight for your attention that due to the late receipt of your request, TCC10 did not review a Cooperating Non-Member application for DPRK and so has not provided a recommendation to WCPFC11.

Please find two documents attached to this letter for your review and consideration prior to WCPFC11:

- **Attachment 1:** Copy of relevant advice and recommendations from the Tenth Regular Session of the WCPFC Technical and Compliance Committee on CNM applications;
- **Attachment 2:** Copy of relevant advice and recommendations under the WCPFC Compliance Monitoring Scheme (CMM 2013-02), which identified non-compliant or priority non-compliant issues for individual CCMs. This is also attached, and you will find a copy of TCC10's assessment with covering executive summary to the Provisional Compliance Monitoring Report.

Both sets of information have been forwarded to the Commission for consideration at WCPFC11. In this respect we note the TCC10 recommendation to the Commission that it take into consideration the Compliance Status of all CNM applicants in making its decision on the CNM applications and participatory rights. Furthermore, TCC10 recommends that CNM applicants provide any information requested during the CMS process 30 days in advance of WCPFC11.

In accordance with CMM 2013-02 paragraph 19, your country may provide additional information related to TCC10 assessments of "non-compliant" and "priority non-

compliant” as identified in the Provisional Compliance Monitoring Report, including any steps taken to address identified compliance issues. WCPFC Circular 2014/82 *Announcing availability online of provisional CMR and online interface for CCMs to provide additional information up to 30 days before WCPFC11* dated 7 October 2014, included a quick guide and instructions of how CCMs can access the provisional CMR through the online WCPFC Intranet system, and as appropriate provide replies or additional information to the Secretariat before the deadline of close of business in Pohnpei on **1 November 2014 (30 days before WCPFC11)**.

Finally, in addition, we would reiterate our earlier requests we made in June and August 2014 to your colleague, Kim Chol Min, that your kind office complete the attached pdf form (**Attachment 3**) and return it to us. All other CNM applicants applying for grant of CNM status for 2015 have completed this form and TCC10 noted that the form does assist in their review of CNM applications. The completed form and any supplementary information to support your CNM application can be sent to the Compliance Manager [lara.manarangi-trott@wcpfc.int](mailto:lara.manarangi-trott@wcpfc.int) with a copy to Assistant-Compliance Manager [ana.taholo@wcpfc.int](mailto:ana.taholo@wcpfc.int) . We would suggest that where possible these should be provided to the Secretariat before **1<sup>st</sup> November**, so that other CCMs can consider your information in advance of WCPFC11.

To confirm that your country may also participate as an observer at WCPFC11, and details for the meeting and registration can be found on the WCPFC website <http://www.wcpfc.int/meetings/11th-regular-session-commission>

Sincerely,



Professor Glenn Hurry  
Executive Director

cc: Dr Lara Manarangi-Trott  
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### **AGENDA ITEM 3 — COOPERATING NON-MEMBER APPLICATIONS**

1. The WCPFC Compliance Manager informed TCC10 that seven applications for cooperating non-member (CNM) status for 2015 were received by the Secretariat: Ecuador, El Salvador, Liberia, Mexico, Senegal, Thailand and Vietnam (WCPFC-TCC10-2014-08 (rev 1)). The Secretariat had, further to suggestions from TCC9 and the Commission, developed a standardized form for CNM applicants and all seven applicants used this template. Prior to TCC no applications were received from two current CNMs: the Democratic People's Republic of Korea and Panama. Belize had advised the Secretariat that it did not wish to renew its CNM status in 2015.
2. During TCC10, the Secretariat advised that an application from Panama was received.
3. SPC was asked about Thailand's provision of cannery data and confirmed it receives such data every quarter from canneries involved in an ISSF program. The Secretariat undertook to produce a report summarising that information for WCPFC11.
4. In response to a question from one CCM, Vietnam reported that directly after WCPFC10, the delegation conveyed to its government the Commission's concern about over-capacity issues in the Convention Area. This report was shared with other agencies within government for their knowledge and cooperation.
5. FFA members made the point that CNMs must pay a contribution to the Commission commensurate with the benefits they receive from the fishery and should share the costs of managing and conserving it. These CCMs maintain the position that no application will be endorsed by their membership if assessed contributions are not paid, and assessed contributions for previous unpaid years need to be added to assessed contributions for 2014.
6. The TCC10 Vice-Chair, Alexa Cole (USA), was asked to lead a SWG which would consider the completeness of the applications for CNM status, who reported back to the group with draft recommendations.
7. One CCM requested that, given concerns raised by CCMs during a capacity discussion under Agenda item 11.3, consideration should be given to the possibility of amending CMM 2009-11 on cooperating non-members. Paragraph 7 of that measure says that caution shall be used regarding the introduction into the Commission of excess fishing capacity. Outside areas may have no program for capacity limits on fishing.
8. **TCC10 provides the following decisions and recommendations to WCPFC11:**
  - i. **TCC10 has reviewed the following CNM applications and is forwarding them to WCPFC11 for consideration: Ecuador, El Salvador, Liberia, Mexico, Panama, Senegal, Thailand and Vietnam.**
  - ii. **TCC10 thanked the Secretariat for the development of the CNM application template which assisted the process greatly.**
  - iii. **TCC10 notes with appreciation the attendance and participation of Ecuador, El Salvador, Thailand and Vietnam at this year's meeting and encourages other CNM applicants to attend TCC meetings in the future.**

- iv. **TCC10 recommends that WCPFC11 take into consideration the Compliance Status of all CNM applicants in making its decision on the CNM applications and participatory rights. Furthermore, TCC10 recommends that CNM applicants provide any information requested during the CMS process 30 days in advance of WCPFC11.**
- v. **Some CCMs noted their strong reservations in forwarding the applications of Panama, Mexico and Senegal to WCPFC11 for consideration due to the failure to submit a complete and timely Annual Report or failure to make a commitment to make a financial contribution.**
- vi. **Some CCMs recommended that WCPFC11 agree that, in addition to this year's financial contribution, CNMs should be required to make any past financial contributions that remain outstanding.**
- vii. **TCC10 recommends to WCPFC11 that if conditional grants of cooperating non-member status are given, that there be a clear process for how the final determination will be made.**
- viii. **TCC10 notes that three CNM applicants (Ecuador, El Salvador and Mexico) have expressed an interest in becoming full members and recommends that WCPFC11 consider whether to invite them to become members.**
- ix. **TCC10 notes the following gaps in the applications for individual applicants and encourages applicants to rectify them if possible by WCPFC11:**
  - a. **Mexico – TCC10 notes that Mexico has not provided a commitment to make a financial contribution. TCC10 further notes that Mexico has not agreed to accept High Seas Boardings and Inspections, however, Mexico currently has no vessels operating in the Convention Area. In addition, SPC noted that there may be some remaining data gaps in Mexico's historical data.**
  - b. **Panama – TCC10 noted that Panama submitted its Annual Report Part I and II during TCC and consequently it was not able to be assessed, and strongly encourages it to submit its Annual Reports on time in future years. SPC notes that it is receiving some transshipment data from Panama, but believes there remain some gaps in these data.**
  - c. **Senegal – TCC10 notes that Senegal has not provided a commitment to make a financial contribution.**
  - d. **Thailand - SPC notes that it is receiving some transshipment data from Thailand, but believes there remain some gaps in these data.**
  - e. **Vietnam – SPC notes with appreciation the continuing improvement in data submission by Vietnam, but notes there remain some gaps in logbook data.**

## PROVISIONAL COMPLIANCE MONITORING REPORT (COVERING 2013 ACTIVITIES)

### Executive Summary

#### INTRODUCTION

1. This is the provisional Compliance Monitoring Report (pCMR) developed by TCC10, evaluating all CCMs against obligations in seven categories as per paragraph 3 of CMM2013-02. The purpose of the Compliance Monitoring Scheme is contained in paragraph 1 of CMM2013-02 as follows:

*“1. The purpose of the WCPFC Compliance Monitoring Scheme (CMS) is to ensure that Members, Cooperating Non-Members and Participating Territories (CCMs) implement and comply with obligations arising under the Convention and conservation and management measures (CMMs) adopted by the Commission. The CMS is designed to:*

*(i) assess CCMs’ compliance with their obligations;*

*(ii) identify areas in which technical assistance or capacity building may be needed to assist CCMs to attain compliance;*

*(iii) identify aspects of conservation and management measures which may require refinement or amendment for effective implementation;*

*(iv) respond to non-compliance through remedial options that include a range of possible responses that take account of the reason for and degree of non-compliance, and include cooperative capacity-building initiatives and, in case of serious non-compliance, such penalties and other actions as may be necessary and appropriate to promote compliance with CMMs and other Commission obligations; and*

*(v) monitor and resolve outstanding instances of non-compliance.”*

2. All CCMs were considered as “Compliant”, “Non-Compliant”, or “Priority Non-Compliant” (Annex 1, CMM2013-02).
3. Next steps were agreed for action by CCMs as identified in Annex 1, CMM2013-02 as appropriate. Capacity building requirements, in particular for small island developing states (SIDS) members and Participating Territories were also identified.
4. A number of CCMs have committed to provide additional information between TCC10 and 30 days prior to WCPFC11 noting para 19 of CMM2013-02. CCMs should provide a cover note addressing specifically the information that the CCM committed to provide and an assessment by that CCM on whether and why their compliance status should change for that particular obligation. The same working group will reconvene at

WCPFC11 to review and evaluate the additional information. This group will complete the final compliance monitoring report for the consideration of WCPFC11.

5. TCC10 will forward this pCMR to the CMS working group at WCPFC11 for review.

#### **DEVELOPMENT OF THE PROVISIONAL COMPLIANCE MONITORING REPORT BY TCC10**

6. TCC10 noted that the Compliance Monitoring Scheme is in its fourth year. WCPFC10 agreed that TCC10 should follow a similar process to TCC9 for reviewing the Draft Compliance Monitoring Report (draft CMR). This year the review also included consideration of compliance with reporting obligations, as well as several other additional obligations.
7. TCC10 reviewed the draft report for 38 CCMs in a closed working group session. The draft CMR is classified as non-public domain data, therefore the decision was made to close the session as a number of CCMs were not able to agree to release their non-public domain data according to the 2007 Rules and Procedures for the Protection, Access to, and Dissemination of Data Compiled by the Commission and the 2009 Rules and Procedures for the Protection, Access to, and Dissemination of High Seas Non-Public Domain Data and Information Compiled by the Commission for the Purpose of Monitoring, Control or Surveillance (MCS) Activities and the Access to and Dissemination of High Seas VMS Data for Scientific Purposes.
8. CCMs agreed that during this compliance review process a CCM could not block their own compliance assessment if other CCMs had reached consensus.
9. TCC10 agreed that CCMs would be assessed as “priority non-compliant” when the non-compliance had occurred for two or more consecutive years, or as members found appropriate by consensus.
10. CCMs agreed that the next steps outlined under the “non-compliant” status in CMM2013-02, Annex 1, were also applicable to “priority non-compliant” status. The CMS working group recognized that many CCMs had demonstrated progress toward rectifying non-compliance.
11. TCC10 agreed that where additional information was provided verbally during the CMS working group, this information was accepted for the assessment.
12. TCC10 also agreed that if a CCM is not present for the dCMR review any potential issue highlighted by the Secretariat in the dCMR would receive a minimum score of non-compliant.

13. TCC10 agreed to conduct the compliance review in the following order:
  - i. Highest percentage of non-compliance obligations as reflected in the 2013 Final CMR Compliance Matrix
  - ii. Collective obligations (para 4 of CMM2013-02)
  - iii. Remaining obligations (beginning with the earliest CMM)
14. CCMs agreed that it was not a priority issue to assess the role of the TCC and Secretariat in the process this year. CCMs stated a greater level of comfort with the draft CMR assessment process, and agreed that any future concerns about this issue could be addressed as necessary.
15. Members also agreed that in cases where CCMs were late with a reporting deadline, CCMs would accept the assessment of the Secretariat unless there was additional relevant information provided by the CCM.
16. CNMs who are not reapplying for CNM status for 2015 will be reviewed and their compliance status will be recorded for consideration in future applications.
17. TCC10 considered the following CCMs to be compliant for the purposes of the pCMR: Canada, Mexico, Nauru, New Caledonia, Niue, Tonga and Tokelau.
18. TCC10 considered the following CCMs to be non-compliant for the purposes of the pCMR: Australia, Belize, China, Cook Islands, Ecuador, El Salvador, EU, FSM, Fiji, France, French Polynesia, Indonesia, Japan, Kiribati, Korea, DPRK, RMI, New Zealand, Palau, Panama, Papua New Guinea, Philippines, Samoa, Solomon Islands, Thailand, Tuvalu, Chinese Taipei, United States, Vanuatu, Vietnam, and Wallis and Futuna.
19. CCMs have been considered non-compliant if they have any “non-compliant” or “priority non-compliant” assessment.

#### **OBSERVATIONS**

20. CCMs noted that sufficient time will be required to complete this assessment and to finalize this report in this small working group at WCPFC11.
21. CCMs highly commended the increased efforts of the Secretariat to include all available information in the dCMR process, and to address any discrepancies between the data held by the CCM and that held by the Secretariat.

22. CCMs noted that “priority non-compliant” can reflect the need to improve or the priority of receiving assistance to achieve compliance. This can reflect the need for a plan to fix a recurring non-compliance issue or a requirement for a greater level of assistance.

#### **ADDITIONAL COMMENTS FROM SPECIFIC CCMs**

23. FFA members noted that the pCMR does not reflect the requirement in relation to paragraph 9 of CMM 2007-01 for sourcing of ROP observers from either the national observer programmes of other members or from the existing sub-regional programmes.

24. There is a difference of interpretation as to whether the obligation contained in paragraph 03 (operational level catch and effort data) of the Scientific Data to be provided to the Commission is met by providing aggregated catch and effort data and size composition data, as described in paragraphs 04 and 05 of the Scientific Data to be provided to the Commission.

25. There was no consensus on the assessment in this paragraph. In the interest of moving forward, the working group agreed that the assessment of 2013 CMR for some CCMs are used as a basis for this year’s provisional CMR compliance status, noting the reservations of these CCMs. These CCMs took the view that they comply with the paragraph and will discuss this issue at WCPFC11.

26. Korea expressed that their interpretation of CMM 2005-03 paragraph 2 that assessment is not applicable when vessels of these CCMs did not target relevant species unless revision is issued on corresponding CMM as necessary. Korea, China and Japan expressed that their interpretation of CMM 2009-03 paragraph 8 that assessment is not applicable when vessels of these CCMs did not target relevant species unless revision is issued on corresponding CMM as necessary. Other CCMs interpretation of the measure is that all swordfish catch must be reported.

27. During the review of SciData03, it was agreed to assess some CCMs as compliant with this obligation noting that there were technical obstacles due to time lags in making amendments to logbooks which prevented those CCMs from submitting complete data. The EU noted their view that there was inconsistency in TCC10’s assessment of this obligation when it came to this CCMs assessment.

28. Requests for targeted assistance and capacity building were received from Indonesia, Philippines and Chinese Taipei as shown in Table 1 below.

**Table 1: Other CCMs requests for targeted assistance and capacity building identified through the CMR process.**

<b>CMM paragraph</b>	<b>List of other CCMs</b>
CMM 2007-01 Attachment K Annex C 06	Indonesia, Philippines, Chinese Taipei
CMM 2009-06 11	Indonesia
CMM 2012-01 30	Philippines
Scientific Data 01	Philippines
Scientific Data 03	Indonesia
Scientific Data 04	Indonesia

29. Statement from the Philippines is attached as Appendix 4

### **ISSUES ARISING**

30. Some CCMs noted their concerns about the criteria for how a “priority non-compliance” assessment is made. They suggested these issues to be taken into account in the future by developing clearer criteria to assess priority non-compliance.

31. Some CCMs noted uncertainties in the process for receiving observer data from observer providers. This was referred to TCC10, Agenda Item 8 for further discussion. Many CCMs consider the provision of observer reports on alleged non-compliance case as a priority issue. It hinders a flag States ability to conduct further investigation or fulfil its responsibility as a flag State.

32. CCMs noted the recommendation from SC10 (para 82c) *“that TCC10 consider establishing a tier and scoring system to better reflect the magnitude and severity of the non-provision of scientific data”*. CCMs note the importance of providing data and agreed that a grading of data gaps could be considered.

33. The review highlighted obligations where there was no clear or common understanding. Specific provisions of some CMMs were referred to the small working group on CMR for consideration and to be addressed in this Executive Summary. Appendix 1 sets out the relevant text, summarizes the issue, and outlines a proposed way forward which is either a recommendation as considered appropriate or records how the issue can be resolved.

34. Under SciData04 obligations, some CCMs noted with concern that reporting school type was not a mandatory obligation. It was noted by most CCMs that this information is critical for stock assessments, as confirmed by SPC.

## SPECIAL REQUIREMENTS OF SIDS

35. Several areas were identified where targeted assistance is required to assist SIDS in implementing specific obligations. These areas have been captured in Table 2. TCC10 recommends that these be forwarded to FAC11 and WCPFC11 to consider how the Commission will provide assistance.

*Table 2: SIDS seeking assistance and capacity building through the CMR process*

CMM paragraph	List of SIDS CCMs
CMM 2007-01 Attachment K Annex C 06	FSM, Kiribati, Tuvalu, Vanuatu
CMM 2007-04 04	Vanuatu
CMM 2007-04 09	Vanuatu
CMM 2008-03 02	Tuvalu, Vanuatu
CMM 2008-03 07c	Kiribati
CMM 2009-02 12	Kiribati
CMM 2009-06 11	FSM, Kiribati, Solomon Islands
CMM 2009-06 34	Kiribati, Vanuatu
CMM 2009-06 35 a (ii)	Kiribati, Vanuatu
CMM 2009-06 35 a (iii)	Kiribati, Vanuatu
CMM 2009-06 35 a (iv)	Kiribati
CMM 2010-07 07	FSM
CMM 2010-07 09	FSM
CMM 2010-07 12	FSM
CMM 2011-02 9a VMS SSPs 7.2.2	Kiribati
CMM 2011-02 9a VMS SSPs 7.2.4	Tuvalu
CMM 2011-03 05	PNG
CMM 2011-04 03	FSM, Tuvalu
CMM 2012-01 10	PNG

## TCC10 RECOMMENDATIONS TO WCPFC11

36. TCC10 recommends that WCPFC11 discuss the difference in interpretation of paragraph 3 of Scientific Data to be Provided to the Commission, as a priority concern with a view to resolving this as soon as possible.
37. TCC10, as with TCC9, found conducting the review on an obligation by obligation basis both useful and informative. TCC10 recommends that WCPFC11 agree that improvements to this process continue to be made, taking into account the recommendations of this report.
38. Due to difficulties experienced in the interpretation of some CMMs, TCC10 recommends to WCPFC11 that all new CMMs need to be drafted with clear criteria as to the nature of the obligation and how compliance with that obligation will be assessed.

39. TCC10 recommends that WCPFC11 strongly encourages CCMs to submit information as early as possible, before the deadline of 30 days before the annual meeting, to the Secretariat for compilation of the draft CMR.
40. TCC10 recommends to WCPFC11 agree that CCMs will confirm if they have nothing to report on obligations when completing Annual Reports to make it clear to the Secretariat that this obligation has been considered.
41. Noting that a number of CNMs have high levels of non-compliance, TCC10 recommends to WCPFC11 consider the compliance status of CNMs in the granting of CNM status and their participatory rights for 2015.
42. CCMs consider that exceeding catch and effort limits and non-provision of Annual Reports are priority issues for the Commission to consider in the CMR. Therefore, TCC10 recommends to WCPFC11 agree that in future reviews, non-compliance with these obligations should be assessed as “priority non-compliant”.
43. TCC10 recommend that WCPFC11 agree that in future reviews where there are technological constraints in implementing a measure, an assessment of “non-compliant” is appropriate.
44. TCC10 recommends that WCPFC11 adopt the recommendations referred in Table 2 of this pCMR.
45. TCC10 recommend that WCPFC11 agree to prioritize the obligations that will be assessed.

**Appendices:**

**Appendix 1:** Table setting out the relevant text, summarizes the issue, and outlines a proposed way forward which is either a recommendation as considered appropriate or records how the issue can be resolved

**Appendix 2:** Compliance Review matrix

**Appendix 3:** 2014 draft Compliance Monitoring Table (for 2013 activities)

**Appendix 4:** Philippines’s statement

**Appendix 1: Sets out the relevant text, summarizes the issue, and outlines a proposed way forward which is either a recommendation as considered appropriate or records how the issue can be resolved.**

Measure / Text	Issue	Proposed way forward
<p>CMM2007-01: Att K, Annex C, para 04 Regional Observer Programme</p> <p><i>“Data obtained through... observer programmes shall be submitted to the Commission and shall be considered Commission data”.</i></p>	<p>Flag States are required to provide the observer placement data; are the observer programmes obliged to provide the data?</p> <p>Data obtained under the programme is ROP data. Sometimes the determination of ROP data is post trip.</p> <p>Part of this is a lack of understanding of the deadline for 2013 SPC does not have the placement information.</p> <ul style="list-style-type: none"> <li>• Not assessed at all at TCC10. Should we assess this at TCC11?</li> </ul> <p>Note: this obligation applies to CCMs and is a collective obligation</p>	<p>Noting the difficulties with implementing this obligation.</p> <p><u>Recommendations from TCC10:</u></p> <ol style="list-style-type: none"> <li>1. <i>IWG ROP encourage discussion to develop processes to facilitate the provision of data, including observer reports, from the observer providers and placement information from Flag States.</i></li> <li>2. <i>IWG-ROP to discuss and provide guidance to WPCFC12 on the definition of the responsibilities of the flag states in this obligation.</i></li> </ol> <p>For TCC11 assessment:</p> <ul style="list-style-type: none"> <li>• <b>Assessment of presence and absence of data for TCC11</b></li> <li>• <b>Update from IWG-ROP at TCC11</b></li> </ul>
<p>CMM2009-06 Para 11: Annex 2 Transshipment reporting</p>	<p>In some instances CCMs who had provided information late or with not all required fields completed, disagreed with the non-compliant assessment of the Secretariat.</p>	<p>For TCC11 assessment:</p> <ul style="list-style-type: none"> <li>• <b>When preparing Part 1 Annual Reports CCMs provide all required fields to the Secretariat for assessment. CCMs are non-compliant until satisfactory information is received.</b></li> </ul>
<p>CMM2009-06 Para 35a(iv)</p>	<p>Inconsistent numbers between reports from CCMs and the reports held by the</p>	<p>For TCC11 assessment:</p> <ul style="list-style-type: none"> <li>• <b>Assessment as per TCC10 noting the recommendation to</b></li> </ul>

<p>35. Where transshipment does occur on the high seas:  a. the CCMs responsible for reporting against both the offloading and receiving vessels shall, as appropriate:  iv. provide the Executive Director with a WCPFC Transshipment Declaration within 15 days of completion of each transshipment; and</p>	<p>Secretariat.</p>	<p><b>the IWG-ROP</b></p>
<p>CMM2012-01  Tropical tuna Para 10: FAD measure</p> <p>10. A three (3) months (July, August and September) prohibition of setting on FADs shall be in place for all purse seine vessels fishing in EEZs and high seas between 20N and 20S in the Convention Area (see paragraphs 3 -7 of CMM 2009-02 for the rules for the FAD closure).</p>	<p><u>Issue 1:</u> No observer reports, how can the flag state investigate?</p>	<p><u>Solution:</u>  Flag states are required to make efforts to obtain the observer reports from observer provider.</p> <p>The Secretariat will consider how they might facilitate notifying the flag state and the relevant coastal state (as applicable) at an earlier date.</p> <p>Work with observer providers to assist with providing this data.</p> <p><i>Noting Recommendation 1</i></p> <p>For TCC11 assessment:  <b>Same assessment process as TCC10</b></p>
<p>CMM2012-01  Tropical tuna Para 10: FADs Management</p>	<p><u>Issue 2:</u> It was the assessment of TCC10 that CCMs are non compliant if the investigation is incomplete and/or CCMs are non compliant if the vessel is not compliant.</p> <p>Some CCMs considered that this was an</p>	<p><b>TCC10 recommend to the WCPFC11 to discuss the interpretation of para 10 of CMM 2012-01 with respect to the obligations and how those obligations should be assessed for compliance</b></p>

	<p>issue of Flag state responsibility, exercising flag state control. If a thorough investigation was completed and sanctions applied when appropriate a CCM should be compliant. Concerns were raised about the variability of sanctions. It was noted that there is the ability for CCMs to IUU list vessels.</p>	
<p>CMM2012-01, Para 30  <i>“CCMs shall take necessary measures to ensure that the total effort and capacity of their respective other commercial tuna fisheries for bigeye, yellowfin and skipjack tuna but excluding those fisheries taking less than 2,000 tonnes of bigeye, yellowfin, and skipjack, shall not exceed the average level for the period 2001-2004 or 2004.”</i></p>	<p>Fishing effort and capacity limit when there is only catch information available.</p> <p>Lack of data available to make the assessment, Problem reviewing the implementation issue.</p>	<p><u>Recommendation from TCC10:</u>  3 TCC 10 recommend that WCPFC11 discuss the interpretation of paragraph 48 of CMM 2013-01 with respect to the obligation to provide the necessary catch and effort data and how these obligations should be assessed at TCC11 for compliance.</p>
<p>Attachment B</p>	<p>Is vessel level information required?  Secretariat cannot cross check because specific vessel name cannot be supplied.</p>	<p><u>Solution:</u>  CCMs will submit vessels level information.</p> <p><b>Review at TCC11</b></p>
<p>SciData04, Aggregated data:  <i>“...may also be provided for the Pacific Ocean east of the eastern boundary of the WCPFC Statistical Area.</i></p>	<p>The rule states that CCMs <i>may</i> provide this information. IATTC require this information. SPC require this information for stock assessments.</p>	<p><u>Solution:</u>  CCMs advise that they have agreed with SPC to address this issue.</p> <p><b>Assess at TCC11. Revisit if this issue occurs again.</b></p>

CMM2005-03 Para 2: North Pacific Albacore	Some CCMs have concerns regarding the limits defined in this measure.	<b>For TCC11:</b> <b>Continue with assessment as per TCC10</b>
CMM2009-03 Para 8: Swordfish  <i>“CCMs shall report to the Commission the total number of vessels that fish for swordfish and the total catch of swordfish.....”</i>	This is an interpretation issue, is the requirement to report all SWO catch, <u>or</u> does this measure apply only vessels that target SWO.	<u>Recommendation from TCC10:</u>  4 To WCPFC11 to provide advice to CCMs on interpretation of paragraph 8 of CMM 2009-03.
CMM2011-03 Para 5 Cetaceans	TCC10 had difficulties in interpretation and assessment of this paragraph. This assessment was completed with only a very strict legal interpretation.  Reporting of the incidents by the vessel masters to flag states does not match the reporting of incidents by observers.  It was noted that there may be a need to review this measure as the information requirements and implementation requirements in the measure are not clear.	For TCC11 assessment:  <b>TCC11 to include Para 2b in this assessment to ensure the reports in para 5 reflect what is required in para 2b.</b>  Noting the recommendations to the IWG-ROP in this table.
CMM 2007-01 para 9  <i>“CCMs shall source observers for their vessels as determined by the Commission.”</i>	When can CCMs source ROP observers for their flag vessels from their own national programmes.	TCC10 requests that WCPFC11 forward this matter to IWG-ROP







### Appendix 3: 2014 Provisional Compliance Monitoring Report (for 2013 activities)

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
<b><i>CMM 2004-03: Vessel and Gear Marking</i></b>					
<i>Para (2)</i>	Australia, Belize, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Tuvalu, United States, Vanuatu Vietnam	Panama			Panama (c)
<i>Para (3)</i>	Australia, Belize, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated	Panama			Panama (c)

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- <sup>1</sup> a. Further clarification of obligation  
b. Capacity building or technical assistance required  
c. Additional information required within 30 days of WCPFC11  
d. Rectify by XX date  
e. Other remedial action as determined by the commission

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Thailand, Tonga, Tuvalu, United States, Vanuatu, Vietnam				
<b><i>CMM 2005-03: North Pacific Albacore</i></b>					
<i>Para (2)</i>	Belize, Canada, China, Federated States of Micronesia, Japan, Kiribati, Chinese Taipei, Tuvalu, United States	Korea			
<i>Para (3)</i>	Belize, Canada, Federated States of Micronesia, Fiji, Japan, Korea, Marshall Islands, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu		China	China (2)	China (c)
<i>Para (3) (reporting deadline)</i>	Belize, Canada, Federated States of Micronesia, Fiji, Japan, Korea, Marshall	China			

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Islands, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu				
<i>Para (4)</i>	Belize, Canada, Federated States of Micronesia, Japan, Korea, Philippines, Chinese Taipei, Tuvalu, United States	China, Vanuatu			China (c); Vanuatu (c)
<b><i>CMM 2006-04: Striped Marlin</i></b>					
<i>Para (1)</i>	Australia, Belize, Canada, China, European Union, Indonesia, Japan, Korea, Chinese Taipei, United States				
<i>Para (4)</i>	Australia, Belize, China, Cook Islands, European Union, Fiji, French Polynesia, Japan, , Korea, Marshall Islands, New Caledonia, Samoa, Chinese Taipei, Tonga, United States	Vanuatu	Indonesia	Indonesia (2)	Indonesia (c); Vanuatu ©
<b><i>CMM 2006-08: High Seas Boarding and Inspection</i></b>					
<i>Para (7)</i>	Australia, Belize, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated	Panama			Panama (c)

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu				
<i>Para (30)</i>	Cook Islands, Japan, Korea, New Zealand, Chinese Taipei, United States	France			France (c)
<i>Para (30) (reporting deadline)</i>	France, Japan, Korea, New Zealand, Chinese Taipei, United States	Cook Islands			Cook Islands
<i>Para (32)</i>	Cook Islands, France, Japan, Korea, New Zealand, Chinese Taipei, United States				
<i>Para (33) &amp; (36)</i>	Fiji, Chinese Taipei, Vanuatu				
<i>Para (33) &amp; (36) (reporting deadline)</i>	Fiji, Chinese Taipei	Vanuatu			

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
<i>Para (40)</i>	Australia, Canada, Cook Islands, Federated States of Micronesia, Japan, Korea, New Zealand, Papua New Guinea, Chinese Taipei, Tuvalu, United States	France			France (c)
<i>Para (40) (reporting deadline)</i>	Australia, Canada, Cook Islands, Federated States of Micronesia, Japan, Korea, New Zealand, Papua New Guinea, Chinese Taipei, Tuvalu, United States	France			
<i>Para (41)</i>	Fiji, Japan, Korea, Marshall Islands, Chinese Taipei, Vanuatu	China, Panama			China (c); Panama (c)
<i>Para (41) (reporting deadline)</i>	China, Fiji, Japan, Korea, Marshall Islands, Chinese Taipei	Panama, Vanuatu			
<b><i>CMM 2007-01: Regional Observer Programme</i></b>					
<i>Para (7)</i>	Australia, Belize, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, France, French Polynesia, Indonesia,	Panama		Panama (2)	Panama (C)

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu				
<i>Para (9)</i>	Australia, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Chinese Taipei, Thailand, Tuvalu, United States, Vanuatu	Belize	Indonesia; Panama	Indonesia (2); Panama (2)	Belize (c); Indonesia (c); Panama (c)
<i>Para (10)</i>	Australia, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand,	Belize, Panama			Belize (c); Panama (c)

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Papua New Guinea, Philippines, Chinese Taipei, Thailand, Tuvalu, United States, Vanuatu				
<i>Para (14) (vii)</i>	Australia, Cook Islands, El Salvador, European Union, Federated States of Micronesia, Fiji, Indonesia, New Caledonia, New Zealand, Philippines, Thailand, Vanuatu	Belize, China, Ecuador, Japan, Kiribati, Korea, Marshall Islands, Papua New Guinea, Chinese Taipei, Tuvalu, United States	Panama		Belize (c); China (a, c); Ecuador (a, c); Japan (a, c); Kiribati (a, c); Korea (a, c); Marshall Islands (a, c); Papua New Guinea (a, c); Tuvalu (a, c); Chinese Taipei (a, c); United States (a, c)
<i>Att K, Annex C, Para (4)</i>	Not Assessed	Not Assessed	Not Assessed	Not Assessed	Not Assessed
<i>Att K, Annex C, Para (6)</i>	Australia, Cook Islands, Fiji, Kiribati, New Caledonia, United States, Vanuatu	Japan, Korea	Belize, China, European Union, Federated States of Micronesia, Indonesia, Philippines, Chinese Taipei, Tuvalu	Belize (2); China (2); European Union (2); Federated States of Micronesia (2); Indonesia (2); Philippines (2); Tuvalu (2); Chinese Taipei (2);	Belize (c); China (d: by end of 2014); European Union (d: by end of 2014); Federated States of Micronesia (b, d: by end of 2014); Indonesia (b, d: by end of 2014); Japan (c); Korea (c); Philippines (b, d: by end of 2014); Tuvalu (b, d: by end of 2014); Chinese Taipei (b, d: by end of 2014)

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
<i>Att K, Annex C, Para (6) (reporting deadline)</i>	Australia, Cook Islands, Fiji, Japan, Korea, New Caledonia, Chinese Taipei	Belize, China, European Union, Federated States of Micronesia, Indonesia, Kiribati, Philippines, Tuvalu, United States, Vanuatu			
<b>CMM 2007-04: Seabirds</b>					
<i>Para (4)</i>	Australia, Belize, European Union, Fiji, Japan, Kiribati, New Zealand, Philippines, Chinese Taipei, United States	Vanuatu			Vanuatu (b)
<i>Para (4) (reporting deadline)</i>	Australia, Belize, European Union, Fiji, Japan, Kiribati, New Zealand, Philippines, Chinese Taipei, United States	Vanuatu			Vanuatu
<i>Para (9)</i>	Australia, China, Cook Islands, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia,	Belize, European Union, Solomon Islands, Wallis and Futuna			Belize (c); Solomon Islands (c)

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	French Polynesia, New Zealand, Papua New Guinea, Philippines, Samoa, Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu				
<i>Para (9) (reporting deadline)</i>	Australia, Canada, Cook Islands, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Korea, New Caledonia, New Zealand, Philippines, Samoa, Chinese Taipei, Tonga, Tuvalu, United States	Belize, China, Kiribati, Marshall Islands, Papua New Guinea, Solomon Islands, Vanuatu, Wallis and Futuna			
<b><i>CMM 2008-03: Sea Turtles</i></b>					
<i>Para (2)</i>	Australia, China, Cook Islands, Ecuador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, Palau, Solomon Islands, New Zealand, Niue, Papua New Guinea, Philippines, Samoa,	Belize, El Salvador, Vanuatu			Belize (c); El Salvador (c); Vanuatu (b, c)

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Chinese Taipei, Tonga, Tuvalu, United States				
<i>Para (2) (reporting deadline)</i>	Australia, China, Cook Islands, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Niue, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu,	Belize, Ecuador, El Salvador, Palau, Papua New Guinea,			
<i>Para (7) (c)</i>	Australia, China, Cook Islands, European Union, Japan, Chinese Taipei, United States	Indonesia			Indonesia (c)
<i>Para (7) (c) (reporting deadline)</i>	Australia, China, Cook Islands, European Union, Japan, Chinese Taipei, United States	Indonesia			
<b><i>CMM 2008-04: Large Scale Driftnets</i></b>					
<i>Para (5)</i>	Australia, China, Cook Islands, Indonesia, Japan,	France, Democratic			

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Marshall Islands, New Zealand, Niue, Chinese Taipei, United States, Vanuatu	Peoples Republic of Korea, Palau, Panama			
<b><i>CMM 2009-01: Record of Fishing Vessels</i></b>					
<i>Para (2)</i>	Belize, Canada, China, Cook Islands, El Salvador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Thailand, Tuvalu, United States, Vanuatu, Vietnam	Ecuador, Panama			Ecuador (c); Panama (c)
<i>Para (3)</i>	Australia, Belize, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New	Panama			Panama (c)

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Caledonia, New Zealand, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Thailand, Tuvalu, United States, Vanuatu, Vietnam,				
<i>Para (4)</i>	Australia, Belize, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, French Polynesia, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Thailand, Tonga, Tuvalu, United States, Vanuatu, Vietnam	Panama			Panama (c)
<i>Para (7)</i>	Australia, Belize, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji,	Panama			Panama (c)

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu, Vietnam				
<i>Para (7) (reporting deadline)</i>	Australia, Belize, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Tuvalu, United States, Vanuatu				
<i>Para (9)</i>	Australia, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French	Belize, Panama			Belize (c); Panama (c)

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Thailand, Tonga, Tuvalu, United States, Vanuatu, Vietnam				
<i>Para 9 (reporting deadline)</i>	Australia, Belize, Canada, China, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Solomon Islands, Chinese Taipei, Thailand, Tonga, Tuvalu, United States, Vanuatu, Vietnam	Cook Islands, Panama, Philippines			
<i>Para (16)</i>	Australia, Belize, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated	Panama			Panama (c)

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Thailand, Tonga, Tuvalu, United States, Vanuatu, Vietnam				
<i>Para (17)</i>	Australia, Belize, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Marshall Islands, Nauru, New Caledonia, New Zealand, Niue, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu, Vietnam	Panama			Panama (c)
<b><i>CMM 2009-02: High Seas FAD Closure &amp; Catch Retention</i></b>					

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
<i>Para (3)-(7)</i>	China, Ecuador, El Salvador, European Union, Federated States of Micronesia, Japan, Kiribati, Korea, Marshall Islands, Papua New Guinea, Philippines, Indonesia, New Zealand, Chinese Taipei, Tuvalu, Vanuatu	United States			United States (c)
<i>Para (12)</i>	European Union Papua New Guinea, Chinese Taipei, United States		Kiribati	Kiribati (2)	Kiribati (b, c)
<i>Para (12) (reporting deadline)</i>	European Union, Kiribati, Chinese Taipei, United States				
<i>Para (8-13)</i>	China, Ecuador, El Salvador, European Union, Federated States of Micronesia, Kiribati, Korea, Marshall Islands, Papua New Guinea, Philippines, Indonesia, Japan, New Zealand, Solomon Islands, Chinese Taipei, Tuvalu, Vanuatu, United States				
<b>CMM 2009-03: Swordfish</b>					

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
<i>Para (1)</i>	Australia, China, European Union, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States	Indonesia			Indonesia (c)
<i>Para (2)</i>	Australia, China, European Union, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States	Indonesia			Indonesia (c)
<i>Para (3)</i>	Australia, Belize, China, European Union, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States	Indonesia			Indonesia (c)
<i>Para (8)</i>	Australia, Belize, China, Cook Islands, European Union, Federated States of Micronesia, Fiji, Japan, Korea, New Caledonia, New Zealand, Chinese Taipei, Tonga, United States	French Polynesia, Vanuatu	Indonesia	Indonesia (2)	Indonesia (c); French Polynesia (c); Vanuatu (c)
<i>Para (8) (reporting deadline)</i>	Australia, Cook Islands, Federated States of Micronesia, Fiji, Japan,	Belize, China, European Union, French Polynesia,			

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Korea, New Caledonia, New Zealand, Chinese Taipei, Tonga, United States, Vanuatu	Indonesia			
<b><i>CMM 2009-06: Transshipment</i></b>					
<i>Para (11)</i>	Fiji, Marshall Islands, New Zealand, Chinese Taipei, Tuvalu, United States	China, El Salvador, European Union, Federated States of Micronesia, Japan, Kiribati, Korea, Papua New Guinea,	Belize, Ecuador, Indonesia, Panama, Philippines, Solomon Islands, Vanuatu	Belize (2); Ecuador (2); Indonesia (2); Panama (2); Philippines (2); Solomon Islands (2)	Belize (c); China (c, d: reporting on 2014 activities); Ecuador (c); El Salvador (c); European Union (c); Federated States of Micronesia (b, c); Indonesia (b, c); Japan (c); Kiribati (b, c); Korea (c); Panama (c); Papua New Guinea (c); Philippines (c); Solomon Islands (b, c); Vanuatu (c)
<i>Para (11) (reporting deadline)</i>	Australia, Ecuador, El Salvador, Federated States of Micronesia, Fiji, Indonesia, Japan, Korea, Marshall Islands, New Zealand, Papua New Guinea, Chinese Taipei, Tuvalu, United States	Belize, China, European Union, El Salvador, Kiribati, Panama, Philippines, Solomon islands, Vanuatu			
<i>Para (13)</i>	China, Indonesia, Japan, Kiribati, Korea, Philippines,	Belize, Panama, United States			Belize (c); Panama (c); United States (c)

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Chinese Taipei, Vanuatu				
<i>Para (34)</i>	Australia, China, Japan, Korea, Philippines, Chinese Taipei, United States	Belize, Vanuatu	Indonesia, Kiribati, Panama	Indonesia (2); Kiribati (2); Panama (2)	Belize (c); Indonesia (c); Kiribati (b, c); Panama (c); Vanuatu (b, c)
<i>Para (35) (a) (ii)</i>	China, Japan, Korea, Philippines, Chinese Taipei, United States	Vanuatu	Belize, Indonesia, Kiribati, Panama	Belize (2); Indonesia (2); Kiribati (2); Panama (2)	Belize (c); Indonesia (c); Kiribati (b, c); Panama (c); Vanuatu (b, c)
<i>Para (35) (a) (iii) (ii)</i>	Korea, Philippines, Chinese Taipei,	China, United States	Belize, Indonesia, Japan, Kiribati, Panama, Vanuatu	Indonesia (2); Japan (2); Kiribati (2); Panama (2)	Belize (c); China (c); Indonesia (c); Japan (c); Kiribati (b, c); Panama (c); United States (c); Vanuatu (b, c)
<i>Para (35) (a) (iii) (reporting deadline)</i>	Philippines	Belize, China, Indonesia, Japan, Kiribati, Korea, Panama, Chinese Taipei, United States, Vanuatu			
<i>Para (35) (a) (iv) (ii)</i>	Korea, Philippines, Chinese Taipei, United States	China	Belize, Indonesia, Japan, Kiribati, Panama, Vanuatu	Belize (2); Indonesia (2); Japan (2); Kiribati (2); Panama (2); Vanuatu	Belize (c); China (c); Indonesia (c); Japan (c); Kiribati (b, c); Panama (c); Vanuatu (c)
<i>Paragraph</i>	United States	Belize, China,			

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
<i>(35) (a) (iv) (reporting deadline)</i>		Indonesia, Japan, Kiribati, Korea, Panama, Philippines, Chinese Taipei, Vanuatu			
<b><i>CMM 2010-01: North Pacific Striped Marlin</i></b>					
<i>Para (5)</i>	China, Japan, Korea, Philippines, Chinese Taipei, United States	Indonesia			Indonesia (c)
<i>Para (8)</i>	Japan, Korea, Philippines, Chinese Taipei, United States	China, Indonesia			
<b><i>CMM 2010-02: Eastern High Seas Pocket Special Management Area</i></b>					
<i>Para (2) (ii)</i>	Cook Islands, Federated States of Micronesia, Kiribati, New Zealand, Papua New Guinea, Philippines, Vanuatu	Japan, Korea	China, Fiji, Panama, Chinese Taipei, United States	China (2); Fiji (2); Panama (2); Chinese Taipei (2); United States (2)	China (c); Fiji (c); Japan (c); Korea (c); Panama (c); Chinese Taipei (c)
<i>Para (2) (reporting deadline)</i>	Cook Islands, Federated States of Micronesia, Kiribati, New Zealand, Papua New Guinea, Philippines	China, Fiji, Japan, Korea, Panama, Chinese Taipei, United States, Vanuatu			

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
<i>Para (6)</i>	China, Fiji, Japan, Kiribati, Korea, Philippines, United States, Vanuatu				
<b><i>CMM 2010-05: South Pacific Albacore</i></b>					
<i>Para (1)</i>	Australia, Belize, European Union, Japan, Korea, New Zealand, Chinese Taipei, United States	Indonesia	China	China (4)	China (c); Indonesia (c)
<i>Para (4)</i>	Australia, Belize, Cook Islands, European Union, Federated States of Micronesia, Fiji, French Polynesia, Japan, Korea, New Caledonia, New Zealand, Chinese Taipei, Tonga, United States	China, Indonesia	Vanuatu	Vanuatu (2)	China (c); Indonesia (c); Vanuatu (c)
<b><i>CMM 2010-07: Sharks</i></b>					
<i>Para (7)</i>	Australia, Canada, China, Cook Islands, European Union, El Salvador, Fiji, French Polynesia, Kiribati, Marshall Islands, New Caledonia, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese	Belize, Ecuador, Indonesia, Japan, Korea, Vanuatu	Federated States of Micronesia	Federated States of Micronesia (2)	Belize (c); Federated States of Micronesia (b, c); Indonesia (c); Japan (c); Korea (c);

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Taipei, Tonga, Tuvalu, United States				
<i>Para (9)</i>	Australia, Canada, China, Cook Islands, European Union, Fiji, French Polynesia, Kiribati, Marshall Islands, New Caledonia, New Zealand, Philippines, Papua New Guinea, Samoa, Solomon Islands, Chinese Taipei, Tonga, Tuvalu, United States	Belize, Ecuador, El Salvador, Federated States of Micronesia, Indonesia, Japan, Korea, Panama, Vanuatu			Belize (c); Federated States of Micronesia (b, c); Indonesia (c); Japan (c); Korea (c); Panama (c); Vanuatu (c);
<i>Para (12)</i>	Australia, Belize, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Philippines, Samoa, Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu	Panama, Papua New Guinea, Solomon Islands			
<b>CMM 2011-02: Vessel Monitoring System</b>					

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
<i>Para (4)</i>	Belize, Canada, China, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Papua New Guinea, Chinese Taipei, Tuvalu, United States, Vanuatu	Panama			Panama (c)
<i>Para (9)(a)</i>	Australia, Belize, Canada, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Tuvalu, United States, Vanuatu	China, Japan, Panama, Chinese Taipei	Indonesia	Indonesia (2)	China (c); Indonesia (c); Japan (c); Panama (c); Chinese Taipei (c);
<i>Para (9)(a) – VMS SSPs para 2.8</i>	Australia, Belize, Canada, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Kiribati, Korea, Marshall Islands, New Zealand, Papua New Guinea, Chinese Taipei,	China, Japan	Indonesia, Panama, Philippines	Indonesia (2); Panama (2); Philippines (2)	China (c); Indonesia (c); Japan (c); Panama (c); Philippines (c);

CMM/Data Provision	Compliance or Implementation Status			2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Compliant	Non-Compliant	Priority Non-Compliant		
	Tuvalu, United States, Vanuatu				
<i>Para 9(a) – VMS SSPs para 5.4-5.5</i>	Australia, Belize, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Niue, Panama, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Tuvalu, United States, Vanuatu				
<i>Para 9(a) – VMS SSPs para 5.4-5.5 (reporting deadline)</i>	Australia, Belize, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Niue, Panama, Papua New Guinea, Philippines, Solomon Islands, Chinese				

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Taipei, Tuvalu, United States, Vanuatu				
<i>Para (9) (a) – VMS SSPs para 7.2.2</i>	Australia, Belize, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Japan, Korea, Marshall Islands, New Caledonia, New Zealand, Philippines, Chinese Taipei, Tuvalu, United States	Kiribati, Vanuatu	Indonesia, Panama, Papua New Guinea	Indonesia (2); Panama (2); Papua New Guinea (2)	Indonesia (c); Kiribati (b, c); Panama (c); Papua New Guinea (c); Vanuatu (c);
<i>Para (9) (a) – VMS SSPs para 7.2.2 (reporting deadline)</i>	Australia, Belize, Canada, China, Cook Islands, El Salvador, European Union, Federated States of Micronesia, Japan, Korea, Marshall Islands, New Caledonia, New Zealand, Philippines, Chinese Taipei, Tuvalu, United States	Ecuador, Fiji, Indonesia, Kiribati, Panama, Papua New Guinea, Vanuatu			
<i>Para (9)(a) – VMS SSPs para 7.2.4</i>	Australia, Cook Islands China, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea,				

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Marshall Islands, Nauru, New Caledonia, New Zealand, French Polynesia, Palau, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu				
<i>Para (9) (a) – VMS SSPs para 7.2.5</i>	Australia, Belize, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, France, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, Nauru, New Caledonia, New Zealand, Niue, Palau, Panama, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tokelau, Tonga, Tuvalu, United States, Vanuatu				
<b><i>CMM 2011-03: Cetaceans &amp; Purse Seines</i></b>					

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
<i>Para (5)</i>	Australia, China, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Chinese Taipei, Tuvalu, United States, Vanuatu, European Union,	Ecuador			Ecuador (c);
<i>Para (5) (reporting deadline)</i>	Australia, Federated States of Micronesia, Japan, Korea, Philippines, Solomon Islands, El Salvador, Chinese Taipei, Tuvalu, United States, Vanuatu	China, Ecuador, European Union, Indonesia, Kiribati, Marshall Islands, New Zealand, Papua New Guinea			
<b><i>CMM 2011-04: Oceanic Whitetip Sharks</i></b>					
<i>Para (3)</i>	Australia, China, Cook Islands, Fiji, Kiribati, Korea, Marshall Islands, French Polynesia, New Caledonia, New Zealand, Philippines, Solomon Islands, Tuvalu, Chines Taipei, Tonga, Samoa	Belize, Ecuador, European Union, , Federated States of Micronesia, Indonesia, Japan, Papua New Guinea, El Salvador, United			Belize (c); Ecuador (c); Federated States of Micronesia (b, c); Indonesia (c); Japan (c); Papua New Guinea; El Salvador (c); United States (c); Vanuatu (c);

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
		States, Vanuatu			
<i>Para (3) (reporting deadline)</i>	Australia, Cook Islands, Fiji, Kiribati, Korea, French Polynesia, New Caledonia, Philippines, Chines Taipei, Tonga, Samoa, European Union, Federated States of Micronesia, Japan, El Salvador, United States	Belize, China, Ecuador, Indonesia, Marshall Islands, New Zealand, Papua New Guinea, Solomon Islands, Tuvalu, Vanuatu			
<b><i>CMM 2012-01: Tropical Tunas</i></b>					
<i>Para (8)</i>	China, Ecuador, Indonesia, Japan, Korea, New Zealand, Philippines, El Salvador, Chinese Taipei, United States, European Union				
<i>Para (10)</i>	Australia, Ecuador, Federated States of Micronesia, Indonesia, New Zealand, European Union, Solomon Islands, Tokelau, El Salvador, Tuvalu, Vanuatu	China; Japan, Kiribati, Korea, Marshall Islands, Papua New Guinea, Chinese Taipei, United States	Philippines	Philippines (2)	China (c); Japan (c); Kiribati (c); Korea (c); Marshall Islands (c); Papua New Guinea (b, c); Philippines (c); Chinese Taipei (c); United States (c);
<i>Para (11) (ii)</i>	Australia, China, Federated States of Micronesia,	Ecuador, Papua New Guinea			Ecuador (c); Papua New Guinea (c)

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Philippines, Solomon Islands, El Salvador, Chinese Taipei, Tuvalu, United States, Vanuatu, European Union				
<i>Para (11) (iv)</i>	Australia, Ecuador, Indonesia, Korea, Marshall Islands, New Zealand, Papua New Guinea, Solomon Islands, El Salvador, Chinese Taipei, Tuvalu, United States, Vanuatu, European Union	China, Philippines,			China (c); Philippines (c)
<i>Para (11) (reporting deadline)</i>	Australia, China, Federated States of Micronesia, Japan, Kiribati, Korea, New Zealand, Solomon Islands, El Salvador, Chinese Taipei, United States	Ecuador, European Union, Indonesia, Marshall Islands, Papua New Guinea, Philippines, Tuvalu, Vanuatu			
<i>Para (13)</i>	Philippines	Indonesia			Indonesia (c)
<i>Para (14)</i>	Australia, Cook Islands, Fiji,				

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Japan, New Caledonia, New Zealand, Niue, French Polynesia, Tonga, Tokelau, United States, Vanuatu, Wallis and Futuna, Samoa				
<i>Para (14) (reporting deadline)</i>	Australia, Cook Islands, Fiji, New Caledonia, New Zealand, Niue, French Polynesia, Tonga, Tokelau, United States, Vanuatu, Wallis and Futuna, Samoa	Japan			
<i>Para (15) &amp; Att. D Para (10)</i>	China, Indonesia, Japan, Korea, Mexico, New Zealand, Philippines, El Salvador, Chinese Taipei, United States, European Union	Ecuador			Ecuador (c)
<i>Para (17)</i>	China, Ecuador, European Union, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Chinese Taipei, Tuvalu, United				

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	States, Vanuatu				
<i>Para (19)</i>	China, Ecuador, European Union, Federated States of Micronesia, Indonesia, Federated States of Micronesia, Japan, Kiribati, Korea, New Zealand, Marshall Islands, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Chinese Taipei, Tuvalu, United States, Vanuatu				
<i>Para (19)(v)</i>	Australia, China, Ecuador, European Union, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Papua New Guinea, Philippines, Solomon Islands, El Salvador, Tuvalu, Chinese Taipei, United States, Vanuatu				
<i>Para (20)</i>	China, Ecuador, European		Indonesia	Indonesia (2)	Indonesia (c)

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Union, Federated States of Micronesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Papua New Guinea, Philippines, El Salvador, Tuvalu, Chinese Taipei, United States, Vanuatu				
<i>Para (21)</i>	Federated States of Micronesia, Japan, Kiribati, Nauru, Palau, Papua New Guinea, Solomon Islands, Tuvalu, United States, Vanuatu	Philippines	Indonesia	Indonesia (2)	Indonesia (c); Philippines (c);
<i>Para (24)</i>	Australia, China, Ecuador, Federated States of Micronesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Papua New Guinea, Philippines, European Union, Solomon Islands, El Salvador, Chinese Taipei, Vanuatu, United States,		Indonesia	Indonesia (2)	Indonesia (c)
<i>Para (26)</i>	Australia, Belize, China,				

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	European Union, Indonesia, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States				
<i>Para (27)</i>	Australia, Belize, China, European Union, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States	Indonesia			Indonesia (c)
<i>Para (27) (reporting deadline)</i>		Australia, Belize, China, European Union, Indonesia, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States			
<i>Para (28)</i>	Australia, Belize, China, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States, European Union	Indonesia			Indonesia (c)
<i>Para (28) (reporting deadline)</i>	Australia, Belize, China, Japan, Korea, New Zealand, Chinese Taipei, United	European Union, Indonesia, Philippines			

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	States				
<i>Para (30)</i>	Chinese Taipei	Japan	Indonesia, Philippines	Indonesia (2); Philippines (2)	Indonesia (c); Philippines (b, c)
<i>Para (31)</i>		Indonesia, Japan, Philippines, Chinese Taipei			Indonesia (c); Japan (c); Philippines (c); Chinese Taipei (c)
<i>Att. B</i>	Federated States of Micronesia,	Japan, Kiribati			Japan (a, c); Kiribati (c)
<i>Att B (reporting deadline)</i>	Japan	Federated States of Micronesia, Kiribati			
<i>Att D (3)</i>	Philippines				
<i>Att D (3) (reporting deadline)</i>	Philippines				
<i>Att D (6)</i>	Philippines				
<b>CMM 2012-05: Charter Notifications</b>					
<i>Para (3)</i>	Fiji, Kiribati, New Zealand				
<b>CMM 2012-06: Pacific Bluefin</b>					
<i>Para (2)</i>	Japan, Korea, Philippines, Chinese Taipei, United States				
<i>Para (4)</i>	Japan, Korea, Philippines, Chinese Taipei, United States				

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
<i>Para (4) (reporting deadline)</i>	Japan, Chinese Taipei, United States	Korea, Philippines			
<b><i>CMM 2013-02: Previous Year CMR Issues</i></b>					
<i>Para (22)</i>	Belize, China, Ecuador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Marshall Islands, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu	Panama, Papua New Guinea, Solomon Islands			
<b><i>Convention</i></b>					
<i>Article 23 Para (5)</i>	Federated States of Micronesia, Chinese Taipei,				
<i>Article 23 Para (2) (b)</i>	Australia, Canada, Cook Islands, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Korea, Nauru, New Caledonia, New Zealand, Niue, French Polynesia, Palau, Philippines, Chinese Taipei, Tokelau, Tonga, Tuvalu, United States	Belize, China, Ecuador, El Salvador, Kiribati, Democratic Peoples Republic of Korea, Marshall Islands, Panama, Papua New Guinea, Samoa Solomon Islands,			

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
		Thailand, Vanuatu, Vietnam, Wallis and Futuna			
<i>Article 23 Para (2) (c)</i>	Australia, Belize, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Kiribati, Korea, Indonesia, Japan, Marshall Islands, Mexico, Nauru, New Caledonia, New Zealand, Niue, Philippines, Samoa, Chinese Taipei, Thailand, Tokelau, Tonga, Tuvalu, United States, Vanuatu	France, Democratic Peoples Republic of Korea, Panama, Papua New Guinea, Palau, Solomon Islands, Vietnam, Wallis and Futuna			
<i>Article 25 Para (2)</i>	China, El Salvador, European Union, Federated States of Micronesia, Fiji, Japan, Korea, Marshall Islands, Chinese Taipei				
<i>Article 25 Para (8)</i>	Australia, Belize, Canada, China, Cook Islands, Ecuador, El Salvador,	France, Indonesia, Panama, Vanuatu			

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	European Union, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Tonga, United States, Vietnam				
<b>Data RaP: Data Access Rules</b>					
<i>Data RaP MCS Para (44)</i>	Australia, Cook Islands, El Salvador, Federated States of Micronesia, Japan, Kiribati, Korea, Marshall Islands, Nauru, New Zealand, Niue, Philippines, Samoa, Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu	European Union, France, Palau, Solomon Islands			
<b>Scientific Data<sup>2</sup></b>					
<i>Section 01 – Estimate of</i>	Australia, Canada, Cook Islands, Ecuador, El	China, Philippines	Belize, Indonesia	Belize (2); Indonesia (2)	Belize (c); China (c); Indonesia (c); Philippines (b)

<sup>2</sup> These references are to the following document: Scientific Data to be Provided to the Commission

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
<i>Annual Catches</i>	Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Samoa, Solomon Islands, Chinese Taipei, Tokelau, Tonga, Tuvalu, United States, Vanuatu				
<i>Section 01 – (reporting deadline)</i>	Australia, Belize, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tokelau, Tonga, Tuvalu, United States, Vanuatu				

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
<i>Section 02 – Number of Active Vessels</i>	Australia, Belize, Canada, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Niue, Papua New Guinea, Samoa, Solomon Islands, Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu,	China, Philippines			China (c); Philippines (c);
<i>Section 02 (reporting deadline)</i>	Australia, Belize, Canada, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Niue, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tonga, Tuvalu, United States,	China			

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Vanuatu				
<i>Section 03 (vi) – Operational Level Catch and Effort Data</i>	Australia, Cook Islands, Ecuador, El Salvador, Federated States of Micronesia, Fiji, Kiribati, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Samoa, Solomon Islands, Tonga, Tuvalu, United States, Vanuatu	French Polynesia	Belize, China, European Union, Indonesia, Japan, Korea, Chinese Taipei	Belize (2); China (2); European Union (2); Indonesia (3); Japan (2); Korea (2); Chinese Taipei	Belize (c); China (c); European Union; Indonesia (b, c); Japan (c); Korea (c); French Polynesia (c); Chinese Taipei (c)
<i>Section 03 (reporting deadline) – Operational Level Catch and Effort Data</i>	Australia, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Kiribati, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Samoa, Solomon Islands, Tonga, Tuvalu, United States, Vanuatu	Belize, China, Indonesia, Japan, Korea, Chinese Taipei			
<i>Section 04 –</i>	Australia, Cook Islands,		Belize, China,	Belize (2);	Belize (c); China (c); Indonesia

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
<i>Catch and Effort Data Aggregated By Time Period and Geographic Area</i>	Ecuador, El Salvador, French Polynesia, Japan, New Caledonia, New Zealand, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tonga, Tuvalu, United States		Indonesia, Korea	China (2); Indonesia (3); Korea (2)	(b, c); Korea (c)
<i>Section 04 – (reporting deadline)</i>	Australia, Belize, Cook Islands, China, Ecuador, El Salvador, French Polynesia, Japan, Korea, New Caledonia, New Zealand, Papua New Guinea, Philippines, Samoa, Solomon Islands, Tonga, Tuvalu, Chinese Taipei, United States	Indonesia			
<i>Section 05 (vi)– Size Composition</i>	Australia, Cook Islands, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Samoa, Solomon Islands,	China, El Salvador, Korea	Belize, Ecuador, European Union, Philippines	Ecuador (2) European Union (2); Philippines (2)	Belize (c); China (c); Ecuador (c); European Union (c); El Salvador (c); Korea (c)

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> or 4 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu				
<i>Section 05 (reporting deadline)– Size Composition</i>	Australia, Cook Islands, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu,	Belize, China, Ecuador, European Union, Indonesia, El Salvador			
<b><i>Collective Obligations</i></b>					
<i>2007-01 Att K Annex C (4)</i>	Not Assessed	Not Assessed	Not Assessed	Not Assessed	Not Assessed
<i>2012-01 para (12)</i>	PNA				

#### **Appendix 4: Philippines Statement CMM 2012-01 par. 10**

We apologize for taking the floor again, but we would like to make a statement to clarify and seek reassessment.

With respect to Philippines' compliance rating on Paragraph 10 of CMM 2012-01, on the basis of alleged infringement by Philippine flagged boats outside of PNG's archipelagic waters which were raised in this meeting, we seek to have a rating of "N" and not "P" just like the rest of CMMs with the similar alleged infringement. Rest assured will do the necessary investigation on this matter and report the results 30 days of WCPFC11.

However, on the issue raised by Tonga on behalf of FFA, please consider the following:

First, the WCPFC Convention Article 8 on Compatibility of Conservation and management measures, Conservation and Management measures established for the high seas and those adopted for areas under national jurisdiction shall be compatible in order to ensure conservation and management of highly migratory fish stocks in their entirety. To this end, the members of the Commission have a duty to cooperate for the purpose of achieving compatible measures in respect of such stocks. The same provision is articulated in the Preamble of CMM 2011-01, CMM 2012-01 and CMM 2013-01.

The Philippines recognizes this duty to cooperate and it is based on the foregoing that a compatible measure was used for the same purpose of reducing BET mortality which is the ultimate objective of these CMMs.

Secondly, for the information of the body, the Philippines has used this compatible measure for the past 3 years, and results of this compatible measure have been consistently reported and presented in the WCPFC Science Committee Meetings. And so far we have been presenting favorable BET reductions. And also note that so far no study has been presented to prove it otherwise.

We are quite surprised that some CCMs seem to be hearing it for the first time.

Thus, in as far as the Philippines is concerned, the Philippines is compliant on the basis of compatibility of our measure. It is to our understanding that so long as you have the same or better results while taking into account the provisions in Paragraph 2 of Article 8, we are in effect compliant. For the details of the recent report please refer to WCPFC SC10-ST-WP 06.

Therefore, Philippines is still in the position that we are not violating CMM 2012-01 para 10.

May we request to put this on record.



## TEMPLATE FOR COOPERATING NON-MEMBER REQUESTS

The attached template has been prepared by the WCPFC Secretariat based on a suggestion from TCC9 that a standard template would assist with the Commissions review and consideration of Cooperating Non-Member applications pursuant to CMM 2009-11.

Requests for Cooperating Non-Member requests are due 60 days before TCC

Cooperating Non-Member Applications should be sent by email to WCPFC Secretariat, attention Executive Assistant, Ms Arlene Takesky [arlene.takesky@wcpfc.int](mailto:arlene.takesky@wcpfc.int) and cc to [wcpfc@wcpfc.int](mailto:wcpfc@wcpfc.int)



**TEMPLATE FOR COOPERATING NON-MEMBER REQUESTS**

<b>a. Name of requesting country</b>	
<b>b. Date of request (should be sent at least 60 days before TCC) (CMM 2009-11 paragraph 1) dd-mm-yyyy</b>	
<b>c. Is this the first time to request CNM status? Yes/No</b>	
<b>d. If this is an application for renewal, specify the year that CNM status was first granted by WCPFC? yyyy</b>	
<b>SECTION 1: MINIMUM REQUIRED COMMITMENTS TO BE STATED IN THE REQUEST</b>	
<b>1.1 What is the reason for this request for CNM status?</b> (CMM 2009-11 2(a))	
<b>1.2 Commitment to cooperate fully in the implementation of CMMs adopted by the Commission and, to the greatest extent possible, its nationals, comply with the provisions of the convention and CMMs adopted by the Commission</b> (CMM 2009-11 Para 2(b))	
<b>1.3 Commitment to accept high seas boarding and inspection</b> (CMM 2009-11 Para 2(c))	
<b>1.4 Explicit commitment to make a financial commitment commensurate with financial contribution if Contracting Party of Commission</b> (CMM 2009-11 Para 2(g))  <i>The Commission has assessed the financial commitment expected from all CNMs at 50% of the contribution that a CNM would have to make if it was a member. (WCPFC7 Summary Report paragraph 64)</i>	



**TEMPLATE FOR COOPERATING NON-MEMBER REQUESTS**

<b>SECTION 2: MINIMUM REQUIRED DATA AND REPORTING TO BE INCLUDED IN THIS REQUEST</b> Yes/No	
<p><b>2.1 Have full data on its historical fisheries in the Convention Area, including nominal catches, number/type of vessels, name of fishing vessels, fishing effort and fishing areas been submitted to WCPFC?</b> (CMM 2009-11 Para 2(d)) Yes/No</p>	
<p><b>2.2 Have all the data and information members of the Commission are required to submit, in accordance with the recommendations adopted by the Commission; details of its current fishing presence in the Convention Area, including the number of its vessels and their characteristics; results from research programmes it has conducted in the Convention Area, been submitted to WCPFC?</b> (CMM 2009-11 Para 2(e))  <b>And did applicant provide all data members of the Commission are required to submit, in a timely manner, in accordance with the format and standards adopted by the Commission?</b> (CMM 2009-11 para 11(b)) Yes/No  <i>In addition to the rules on scientific data to be provided to the Commission (due April 30 for the previous calendar year), the Annual Report Part 1 (due 60 days before the SC) is the mechanism that WCPFC presently uses for CCMs to provide information on fishing activities during the previous calendar year.</i></p>	
<p><b>2.3 Have any further relevant information as determined by the Commission been submitted to WCPFC?</b> (CMM 2009-11 Para 2(f)) Yes/No</p>	

<b>SECTION 3: ADDITIONAL INFORMATION TO BE PROVIDED BY APPLICANTS WHO ARE REQUESTING TO RENEW COOPERATING NON-MEMBER STATUS</b> (CMM 2009-11 para 3(e))	
<p><b>3.1 Did applicant comply with all conservation and management measures adopted by the Commission?</b> Yes/No  <i>Provide comments on your compliance record in WCPFC and fisheries laws and regulations of coastal States?</i>            (CMM 2009-11 Para 3(b) and para 11(a))</p>	
<p><b>3.2 If a flag State, did applicant respond in a timely manner to alleged violations of conservation and management measures adopted by the Commission and any IUU activities of vessels flying its flag, as requested by a member of the Commission or determined by the appropriate subsidiary bodies of the Commission and communicate to the member making the request and to the Commission, the actions it has taken against the vessels in accordance with the provisions of Article 25 of the Convention?</b> Yes/No  <i>Provide comments on your record of compliance of responding to IUU activities by your flag vessels that have been brought to the Flag State's attention in accordance with Article 25 of the Convention?</i>            (CMM 2009-11 para 3(c) and 11(d))</p>	



**TEMPLATE FOR COOPERATING NON-MEMBER REQUESTS**

<p><b>3.3 As appropriate, provide comments on your record of compliance in other tuna RFMOs?</b> (CMM 2009-11 para 3(d))</p>	
<p><b>3.4 Did applicant inform the Commission annually of the measures it takes to ensure compliance by its vessels with the Commission's conservation and management measures?</b> (CMM 2009-11 para 11(c))      <i>Yes/No</i></p> <p><i>Annual Report Part 2 (due 1 July of each year) is the mechanism that WCPFC presently uses for CCMs to provide information on these activities during the previous calendar year.</i></p>	
<p><b>3.5 Did applicant accept boardings in accordance with Commission high seas boarding and inspection procedures?</b> (CMM 2009-11 para 11(e))      <i>Yes/No</i></p>	
<p><b>SECTION 3: ANY OTHER COMMENTS</b></p>	
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