## PREPARATORY CONFERENCE FOR THE COMMISSION FOR THE CONSERVATION AND MANAGEMENT OF HIGHLY MIGRATORY FISH STOCKS IN THE WESTERN AND CENTRAL PACIFIC Sixth session Bali, Indonesia

19 - 23 April 2004

WCPFC/PrepCon/DP.27\* 22 March 2004

#### DRAFT WCPFC BIGEYE TUNA STATISTICAL DOCUMENT PROGRAMME

#### Submitted by the delegation of Japan

AWARE that there is uncertainty of the catch of Western Central Pacific bigeye tuna and that the availability of trade data would greatly assist in reducing such uncertainty;

*RECOGNIZING* that bigeye tuna is the main target species of IUU fishing operations and that the Statistical Document Programme is an effective tool to assist the Commission's effort for the elimination of IUU fishing operations;

1. Members of the Commission, [by January 1, 2005] or as soon as possible thereafter, require that all bigeye tuna, when imported into the territory of a member of the Commission, be accompanied by a WCPFC Bigeye Tuna Statistical Document which meets the requirements described in Annex 1 or a WCPFC Bigeye Tuna Re-export Certificate which meets the requirements described in Annex 2. Bigeye tuna caught by purse seiners and baitboats and destined principally for canneries [in the Convention area] are not subject to this statistical document requirement. The Commission and the Members of the Commission importing bigeye tuna shall contact all the exporting countries to inform them of this Programme in advance of the implementation of the Programme.

2. (1) The WCPFC Bigeye Tuna Statistical Document must be validated by a government official or other authorized individual or institution of the flag State of the vessel that harvested the tuna, or, if the vessel is operating under a charter arrangement, by a government official or other authorized individual of the exporting state, and;

(2) The WCPFC Bigeye Tuna Re-export Certificate must be validated by a government official or other authorized individual or institution of the state that re-exported the tuna.

3. Each member of the Commission shall provide to the Executive Director sample forms of its statistical document and re-export certificate required with bigeye tuna imports and information on validation in the format specified in Annex 4, and inform him of any change in a timely fashion.

4. Members of the Commission which export or import bigeye tuna shall compile data from the Programme.

5. Members of the Commission which import bigeye tuna shall report the data collected by the Programme to the Director each year by April 1 for the period of July 1 - December 31 of the preceding year and October 1 for the period of January 1 - June 30 of the current year, which shall be circulated to all the Members of the Commission by the Executive Director. The formats of the report are attached as Annex 3.

6. Members of the Commission which export bigeye tuna shall examine export data upon receiving the import data mentioned in paragraph 5 above from the Executive Director, and report the results to the Commission annually.

7. Members of the Commission should exchange copies of statistical documents and reexport certificates to facilitate the examination mentioned in paragraph 6, consistent with domestic laws and regulations.

8. The Commission shall request non-Members of the Commission to take the measures described in the above paragraphs.

9. The Executive Director shall request information on validation from all the non-Members of the Commission fishing and exporting bigeye tuna to Members of the Commission, and request them to inform him in a timely fashion of any changes to the information provided.

10. The Executive Director shall maintain and update information specified in paragraphs 3 and 9 and provide it to all the Members of the Commission, and promptly circulate any changes.

11. Commission shall request the non-Members of the Commission which import bigeye tuna to cooperate with the implementation of the Programme and to provide to the Commission data obtained from such implementation.

12. Implementation of this Programme shall be in conformity with relevant international obligations.

13. At the initial stage of the Programme, the statistical documents and the re-export certificates will be required for frozen bigeye products. Prior to implementing this Programme for fresh products, several practical problems need to be solved, such as guidelines to ensure procedures to handle fresh products at customs.

14. Notwithstanding the provisions of paragraph 1, the Members of the Commission shall implement this decision by [1 January 2005] or as soon as possible thereafter in accordance with the regulatory procedures of each Member of Commission.

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### Annex 1

## **Requirements Concerning the WCPFC Bigeye Tuna Statistical Document**

1. The sample form of the WCPFC Bigeye Tuna Statistical Document shall be as in the Appendix.

2. Customs or other appropriate government officials will request and inspect all import documentation including the WCPFC Bigeye Tuna Statistical Document for all bigeye tuna in the shipment. Those officials may also inspect the content of each shipment to verify the information on the document.

3. Only complete and valid documents will guarantee that shipments of bigeye tuna will be allowed to enter the territory of Contracting Parties.

4. Shipments of bigeye tuna that are accompanied by improperly documented Bigeye Tuna Statistical Documents (i.e., improperly documented means that the Bigeye Tuna Statistical Document is either missing from the shipment, incomplete, invalid or falsified) will be considered illegitimate shipments of bigeye tuna, that are contrary to WCPFC conservation efforts, and their entry will be suspended (PENDING RECEIPT OF A PROPERLY COMPLETED DOCUMENT) into the territory of a Contracting Party or subject to administrative or other sanction.

5. The import of fish parts other than the meat, i.e., head, eyes, roe, guts, tails may be allowed without the document.

Appendix

DOCUMENT
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NUMBER

# WCPFC BIGEYE TUNA STATISTICAL DOCUMENT

## EXPORT SECTION

# 1.FLAG OF COUNTRY/ENTITY/FISHING ENTITY

2.DESCRIPTION OF VESSEL AND REGISTRATION NUMBER (if applicable)

Vessel Name

Registration Number

LOA (m)

WCPFC Record No. (if applicable)

3.TRAPS (if applicable)

4.POINT OF EXPORT (City, State / Province, Country / Entity / Fishing Entity)

5.AREA OF CATCH (check one of the following)

(a) Western Central Pacific (other than b) (b) Pacific(duplicated area with IATTC)

(c) Pacific(other than (a) and (b)) (d) Atlantic (e) Indian

\* In case of (c) or (d) or (e) checked, the item 6 and 7 below do not need to be filled out.

6.DESCRIPTION OF FISH

Product 7 F/FR	Type (*1) D/GG/DR/F	Ľ/OT	Time of Harvest (mm/yy)	Gear Code (*2)	Net Weight (Kg)

*1= F=Fresh, FL=Fillet	FR=Frozen, RD=R	ound, GG=Gilled a	and Gutted,	DR=Dressed,			
OT=Other,	describe the type o	f product					
*2= When the	*2= When the Gear Code is OT, describe the type of gear,						
	R CERTIFICATION rect to the best of m			rmation is complete,			
Name Cor Number (if ar	npany name Ado oplicable)	dress Sign	ature Da	ate License			
	ENT VALIDATIO e, and correct to the						
Total weight	of the shipment	Kg					
Name & Tit	le Signa	ature	Date	Government S			
IMPORT SEC	CTION:						
	CERTIFICATION <u>I</u> the best of my kno			tion is complete, true			
Importer Cer	tification (Intermed	liate Country / En	tity / Fishing	g Entity)			
Name applicable)	Address	Signature	Date	License # (if			
Importer Cer	tification (Intermed	liate Country / En	tity / Fishing	g Entity)			
Name applicable)	Address	Signature	Date	License # (if			
Final Point o	f Import						
City	State/Province	Country / En	tity / Fishing	g Entity			

NOTE: If a language other than English is used in completing this form. Please add the English translation on this document.

#### INSTRUCTIONS

DOCUMENT NUMBER: Block for the issuing Country to designate a country coded Document Number.

(1) FLAG COUNTRY/ENTITIES/FISHING ENTITIES: Fill in the name of the country of the vessel that harvested the bigeye tuna in the shipment and issued this Document. According to the Decision, only the flag state of the vessel that harvested the bigeye tuna in the shipment or, if the vessel is operating under a charter arrangement, the exporting state can issue this Document.

(2) DESCRIPTION OF VESSEL (if applicable): Fill in the name and registration number, length overall (LOA) and WCPFC Record number of the vessel that harvested the bigeye tuna in the shipment.

(3) TRAPS (if applicable): Fill in the name of the trap that harvested the bigeye tuna in the shipment.

(4) POINT OF EXPORT: Identify the City, State or Province, and Country from which the bigeye tuna was exported.

(5)AREA OF CATCH: Check the area of catch. (If (c), (d) or (e) checked, items 6 and 7 below do not need to be filled out.)

(6) DESCRIPTION OF FISH: The exporter must provide, to the highest degree of accuracy, the following information.

NOTE: One row should describe one product type

- (1) Product Type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment.
- (2) Time of Harvest: Fill in the time of harvest (in month and year) of the bigeye tuna in the shipment
- (3) Gear Code: Identify the gear type which was used to harvest the bigeye tuna using the list below. For OTHER TYPE, describe the type of gear, including farming.
- (4) Net product weight in kilograms.

(7) EXPORTER CERTIFICATION: The person or company exporting the bigeye tuna shipment must provide his/her name, company name, address, signature, date the shipment was exported, and dealer license number (if applicable).

(8) GOVERNMENT VALIDATION: Fill in the name and full title of the official signing the Document. The official must be employed by a competent authority of the flag state government of the vessel that harvested the bigeye tuna appearing on the Document or other individual or institution authorized by the flag state. When appropriate, this requirement is waived according validation of the document by a government official or other authorized individual or institution of the exporting state. The total weight of the shipment shall also be specified in this block.

(9) IMPORTER CERTIFICATION: The person or company that imports bigeye tuna must provide his/her name, address, signature, date the bigeye was imported, license number (if applicable), and final point of import. This includes imports into intermediate countries. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

GEAR CODE: GEAR CODE GEAR TYPE, **BB; BAITBOAT** GILL; GILLNET HAND; HANDLINE HARP; HARPOON LL; LONGLINE MWT; MID-WATER TRAWL **PS; PURSE SEINE** RR; ROD AND REEL SPHL; SPORT HANDLINE SPOR; SPORT FISHERIES UNCLASSIFIED SURF; SURFACE FISHERIES UNCLASSIFIED TL; TENDED LINE TRAP; TRAP TROL; TROLL UNCL; UNSPECIFIED METHODS **OT; OTHER TYPE** 

RETURN A COPY OF COMPLETED DOCUMENT TO: (the name of the office of the competent authority of the flag state).

#### Requirements Concerning the WCPFC Bigeye Tuna Re-export Certificate

1. The sample form of the WCPFC Bigeye Tuna Re-export Certificate shall be as in the Appendix2.

2. Customs or other appropriate government officials will request and inspect all import documentation including the WCPFC Bigeye Tuna Re-export Certificate for all bigeye tuna in the shipment. Those officials may also inspect the content of each shipment to verify the information on the document.

3. Only complete and valid documents will guarantee that shipments of bigeye tuna will be allowed to enter the territory of Contracting Parties.

4. A Contracting Party shall be free to validate WCPFC Bigeye Tuna Re-export Certificates for bigeye tuna imported by that Contracting Party, to which WCPFC Bigeye Tuna Statistical Documents or WCPFC Bigeye Tuna Re-export Certificates are attached. WCPFC Bigeye Tuna Re-export Certificates shall be validated by government organizations or by recognized institutions which are accredited by a Contracting Party's government to validate the WCPFC Bigeye Tuna Statistical Document. A copy of the original Bigeye Tuna Statistical Document accompanying the imported bigeye tuna must be attached to an WCPFC Bigeye Tuna Re-export Certificate. The copy of the original Bigeye Tuna Statistical Document so attached must be verified by that government organization or by that recognized institution accredited by a government which validated the WCPFC Bigeye Tuna Statistical Document. When re-exported bigeye tuna is again re-exported, all copies of documents, including a verified copy of a Statistical Document and Re-export Certificate which accompanied that bigeve tuna upon importation, must be attached to a new Re-export Certificate to be validated by a re-exporting Contracting Party. All copies of the Documents to be attached to that new Re-export Certificate must be also be verified by a government organization or a recognized institution accredited by a government which validated the WCPFC Bigeye Tuna Statistical Document.

5. Shipments of bigeye tuna that are accompanied by improperly documented Bigeye Tuna Re-export Certificate (i.e., improperly documented means that the Bigeye Tuna Re-export Certificate is either missing from the shipment, incomplete, invalid or falsified) will be considered illegitimate shipments of bigeye tuna, that are contrary to WCPFC conservation efforts, and their entry will be suspended (PENDING RECEIPT OF A PROPERLY COMPLETED DOCUMENT) into the territory of a Contracting Party or subject to administrative or other sanction.

6. WCPFC Contracting Parties that validate Re-export Certificates in accordance with the procedure set forth in paragraph 4 shall require from the re-exporting bigeye dealer necessary documents (e.g. written sales contracts) which are to certify that the bigeye tuna to be re-exported corresponds to the imported bigeye tuna. Contracting Parties which validate Re-export Certificates shall provide flag states and importing states with evidence of this correspondence upon their request.

7. The import of fish parts other than the meat, i.e., head, eyes, roe, guts, tails may be allowed without the document.

Appendi

DOCUMENT	OCUMENT			BIGEYE TUNA		
NUMBER			RE-EXPORT CERTIFICATE			
RE-EXPORT	SECTION:		•			
1.RE-EXPOR	TING COUNTRY / ENT	TTY / FIS	SHING EN	TITY		
2.POINT OF	RE-EXPORT					
3.DESCRIPT	ION OF IMPORTED FI	SH				
F/FR	Product Type(*) F/FR RD/GG/DR/FL/OT		Net Weight (Kg)	Flag country/ Entity/Fishing Entity	Date of Import	
4.DESCRIPT	ION OF FISH FOR RE-	I EXPORT	1		1	
F/FR	Product Type(*) RD/GG/DR/FL/O	Т	Net Weight (Kg)			
* F=FRESH, FL=Fillet	FR=Frozen, RD=Round,	GG=Gill	ed and Gu	tted, DR=Dresse	ed,	
OT=Other(I	escribe the type of prod	uct)				
	TER CERTIFICATION: e and correct to the best				is	
Name/Comp applicable)	any Name Address S	Signature	e Date	License Num	ber (if	
6.GOVERNM	ENT VALIDATION: 13	validate t	hat the ab	ove information i	is complete	

true and correc	et to the best of my l	xnowledge and b	elief.	
Name & Title	Signature	Date	Gove	ernment Seal
IMPORT SEC	TION:			
	CERTIFICATION: ] et to the best of my ]			rmation is complete,
Importer Certi	fication (Intermedia	te Country / En	tity / Fishin	ng Entity)
Name applicable)	Address	Signature	Date	License # (if
Importer Certi	fication (Intermedia	ite Country / En	tity / Fishin	ng Entity)
Name applicable)	Address	Signature	Date	License # (if
Importer Certi	fication (Intermedia	ite Country / En	tity / Fishin	ng Entity)
Name applicable)	Address	Signature	Date	License # (if
Final Point of I	Import			
City	State/Province 	Cot	untry / Enti	ty / Fishing Entity

NOTE: If a language other than English is used in completing this form. Please add the English translation on this document.

#### INSTRUCTIONS

DOCUMENT NUMBER: Block for the issuing Country/Entity/Fishing Entity to designate a Country/Entity/Fishing Entity coded document number.

## (1) RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY

Fill in the name of the Country/Entity/Fishing Entity which re-exports the bigeye tuna in the shipment and issued this Certificate. According to the Recommendation, only the re-exporting Country/Entity/Fishing Entity can issue this Certificate.

#### (2) POINT OF RE-EXPORT

Identify the City/State Province and Country/Entity/Fishing Entity from which the bigeye tuna was re-exported.

#### (3) DESCRIPTION OF IMPORTED FISH

The exporter must provide, to the highest degree of accuracy, the following information: NOTE: One row should describe one product type. (1)Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: Net product weight in kilograms. (3) Flag Country/Entity/Fishing Entity: the name of the Country/Entity/Fishing Entity of the vessel that harvested the bigeye tuna in the shipment. (4) Date of import: Imported date.

#### (4) DESCRIPTION OF FISH FOR RE-EXPORT

The exported must provide, to the highest degree of accuracy, the following information: NOTE: One row should describe one product type. (1) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: Net product weight in kilograms.

#### (5) RE-EXPORTER CERTIFICATION

The person or company re-exporting the bigeye tuna shipment must provide his/her name, address, signature, date the shipment was re-exported, and re-exporter's license number (if applicable).

#### (6) GOVERNMENT VALIDATION

Fill in the name and full title of the official signing the Certificate. The official must be employed by a competent government authority of the re-exporting Country/Entity/Fishing Entity appearing on the Certificate, or other individual or institution authorized to validate such certificates by the competent government authority.

#### (7) IMPORTER CERTIFICATION

The person or company that imports bigeye tuna must provide his/her name, address, signature, date the bigeye tuna was imported, license number (if applicable) and reexported final point of import. This includes imports into intermediate Countries/Entities/Fishing Entities. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer. RETURN A COPY OF THE COMPLETED CERTIFICATE TO: (the name of the office of the competent authority of the re-exporting Country/Entity/Fishing Entity).

Annex 3

# REPORT OF THE WCPFC BIGEYE TUNA STATISTICAL DOCUMENT

# Period \_\_\_\_\_\_ to \_\_\_\_\_, \_\_\_\_ IMPORT COUNTRY/ENTITY/FISHING ENTITY

Month	Month	Yea	ar			
Flag Country/Entity/Fishin g Entity	Area Code		Point of Export	P	roduct Type	Product Wt.(Kg)
				F/FR	RD/GG/DR/FL/OT	

<u>Gear Code</u>	<u>Gear Type</u>
BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
$\operatorname{LL}$	Longline
MWT	Mid-water trawl
$\mathbf{PS}$	Purse seine
$\mathbf{RR}$	Rod & reel
SPHL	Sport Handline
SPOR	Sport fisheries unclassified
SURF	Surface fisheries unclassified
$\mathrm{TL}$	Tended line
TRAP	Trap
TROL	Troll
UNCL	Unclassified methods
OTH	Other type (Indicate the type of gear):

Produ	<u>ict type</u>	<u>Area Code</u>
$\mathbf{F}$	Fresh	WCP Western Central Pacific (other than PA-D)
$\mathbf{FR}$	Frozen	PAD Pacific(duplicated area with IATTC)
RD	Round	PAO Pacific(other than WCP and PA-D)
$\mathbf{G}\mathbf{G}$	Gilled & gutted	AT Atlantic Ocean
DR	Dressed ID	ID Indian Ocean
$\operatorname{FL}$	Fillet	
0.00		

OT Other form, describe the type of products in the shipment

## REPORT OF THE WCPFC BIGEYE TUNA RE-EXPORT CERTIFICATE

# Period \_\_\_\_\_\_ to \_\_\_\_\_, \_\_\_\_ IMPORT COUNTRY/ENTITY/FISHING ENTITY

Month Month	n Year					
Flag	Re-export	Point	]	Product Type	Product Wt.(Kg)	
	Country/Entity/Fishin g Entity		of Re- export			
			F/FR	RD/GG/DR/FL/O T		

#### Product type

### <u>Area Code</u>

- F Fresh WCP Western Central Pacific (other than PAD)
- FR Frozen PAD Pacific(duplicated area with IATTC)
- RD Round PAO Pacific(other than WCP and PAD)
- GG Gilled & gutted AT Atlantic Ocean
- DR Dressed ID Indian Ocean
- FL Fillet
- OT Other form, describe the type of products in the shipment

Annex 4:

## Information On Validation Of WCPFC Statistical Documents

- 1. Flag
- 2. Government/Authority Organization(s) accredited to validate Statistical Documents

Organization Name	Organization Address	Sample Seal

NOTE: For each organization, attach a list with the names, titles and addresses of the individuals authorized to validate Documents.

3. Other institutions accredited by the government/authority to validate Statistical Documents

Organization Name	Organization Address	Sample Seal

4. NOTE: For each organization, attach a list with the names, titles and addresses of the individuals authorized to validate Documents.

## Instructions

Contracting Parties, non-Contracting Parties, Entities, Fishing Entities having vessels that harvest species whose international trade must be accompanied by Statistical Documents are requested to submit the information on this sheet to the Secretary of WCPFC, and to ensure that any changes to the above are also transmitted to the Secretary on a timely fashion.

\*The Secretary of WCPFC: (address)

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