

**TO ALL COMMISSION MEMBERS, COOPERATING NON-MEMBERS,
PARTICIPATING TERRITORIES, AND OBSERVERS**

**Circular No.: 2021/88
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No. pages: 03**

Compliance Monitoring Scheme: Risk-based Assessment Framework Workshop

Dear Colleagues

I hope you are all well and rested, following Technical Compliance Committee 17.

I am writing to follow-up on the brief discussion at TCC17 on the risk-based assessment framework (RBAF), as part of the Compliance Monitoring Scheme work programme.

This is a reminder that feedback on the RBAF Discussion Document and RBAF spreadsheet are required **by 1 November**. Specifically, feedback is requested on the overall approach to a RBAF and views on proposed consequence ratings for each obligation in the spreadsheet.

The documents can be found on the WCPFC website under TCC17: [Compliance Monitoring Scheme: Risk-Based Assessment Framework - revision 2 | WCPFC Meetings](#). They can also be found in the “Review and Enhancement of the CMS” section of the WCPFC website: [Review and Enhancement of the CMS Scheme | WCPFC](#)

Feedback should be emailed to me, as lead of the RBAF work, (heather.ward@mpi.govt.nz), and should also be copied to Emily Crigler, as TCC Vice Chair and Chair of the Compliance Monitoring Scheme Inter-sessional Working Group (emily.crigler@noaa.gov).

I would also like to advise that a Risk-Based Assessment Framework workshop is scheduled for **11.00am to 3.00pm Pohnpei time, 10 November 2021**.

The proposed agenda is attached. Those intending to participate will need to register through the WCPFC meeting website.

A consolidated RBAF spreadsheet reflecting the feedback from members, and an updated Discussion Document, will be circulated ahead of the workshop in early November.

I look forward to your feedback and working together collectively on this project.

Ngā Mihi,

Heather Ward

WCPFC Lead on Risk-Based Assessment Framework (NZ)



Workshop on Risk-Based Assessment Framework for the Compliance Monitoring Scheme
11.00am – 3.00pm (Pohnpei time) 10 November 2021 (with a 30 minute break)

AGENDA

AGENDA ITEM 1: Opening of Meeting

- i. Welcome and Prayer**
- ii. Adoption of Agenda**
- iii. Update from Chair of Compliance Monitoring Scheme Intersessional Working Group**
- iv. Update from TCC Chair on Aggregated Tables**

AGENDA ITEM 2: General discussion on RBAF

- i. Approach to Likelihood?**
- ii. Approach to Consequence?**
- iii. Approach to Risk-ratings?**

AGENDA ITEM 3: Risk-rating the obligations:

- i. Consequence ratings for the obligations?**
- ii. Resulting risk-ratings for the obligations?**

AGENDA ITEM 4: Test driving the RBAF: could it be helpful to inform the WCPFC process of developing a possible priority list of obligations?

- i. Could the list of obligations be informed by risk-rating (Severe, High, Moderate, Low)?**
- ii. Could the RBAF assist in the development of a forward schedule of obligations for assessment?**
- iii. How should the RBAF intersect with other elements of the CMS work programme?**

AGENDA ITEM 5: Next steps

- i. Consider whether further feedback by email on the RBAF and its possible use to inform the WCPFC's development of a priority list of obligations would be useful.**